

# **Seismic Retrofit of the Existing RC buildings in Japan**

June 2017

Building Research Institute,  
Japan

Matsutaro SEKI

# Contents

- 1. Basic concept of seismic retrofit**
- 2. Retrofit design procedure**
- 3. Examples of retrofitted buildings**
- 4. Temporary repair and permanent strengthening after earthquakes**
- 5. Conclusions**

**2001 revised version**

**Guidelines for Seismic Retrofit of  
Existing Reinforced Concrete  
Buildings, 2001**

**The Japan Building Disaster Prevention  
Association(JBDPA)**

2001年改訂版

既存鉄筋コンクリート造建築物の

**耐震改修設計指針**

同解説

監修 国土交通省住宅局建築指導課  
発行 財団法人 日本建築防災協会

**JBDPA seminar note**

# History of Seismic Retrofit Guideline

1977 First version was issued

- ★ Back data of experiments and practical examples are included in the guideline

1990 Revised

1995 Hyogoken Nanbu (Kobe) Earthquake

- ★ Practical examples of seismic evaluation and retrofit are performed.
- ★ Development of easy construction technology
- ★ Development of new technology

1998 Revision of Japanese seismic code

2001 Revised

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# History of seismic retrofit technologies

<b>1977 version</b>	<ul style="list-style-type: none"><li>• RC column</li><li>• RC shear wall</li><li>• RC wing wall</li></ul>
<b>1990 version</b>	<ul style="list-style-type: none"><li>• Steel frame</li></ul>
<b>2001 version</b>	<ul style="list-style-type: none"><li>• Carbon fiber sheet</li><li>• External frame</li><li>• Precast Concrete frame</li><li>• (SI unit)</li></ul>

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# Technical terms

Repair	Recovering the original capacity by repairing
Strengthening	Improving the capacity by strengthening more than original capacity
Restoration	Making reusable by repairing and/or strengthening
Retrofit	The general term of repair, strengthening and restoration

# Target capacity by retrofit

P.57

## ◆ Is index after retrofit ( ${}_RIs$ )

$${}_RIs \geq \alpha \cdot Iso = \alpha \cdot Es \cdot Z \cdot G \cdot U$$

## ◆ Accumulative strength index after retrofit ( ${}_RC_{TU} \cdot {}_RS_D$ )

$${}_RC_{TU} \cdot {}_RS_D \geq \alpha \cdot 0.3 \cdot Z \cdot G \cdot U$$

Where,

$\alpha$  : Construction factor (1.0 ~ 1.2)

Z : Zone index

G : Ground index

U : Usage index

${}_RS_D$  : Irregularity index after retrofi

$Es$  : Basic seismic demand index

1<sup>st</sup> evaluation :  $Es=0.8$

2<sup>nd</sup> evaluation :  $Es=0.6$

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## ★Demand Iso index

Iso=0.6~0.7

Discussion with owner of building

## ★Recommended retrofit method

Strength type;

It can avoid the concentration of damage of structural member and/or the non-structural elements

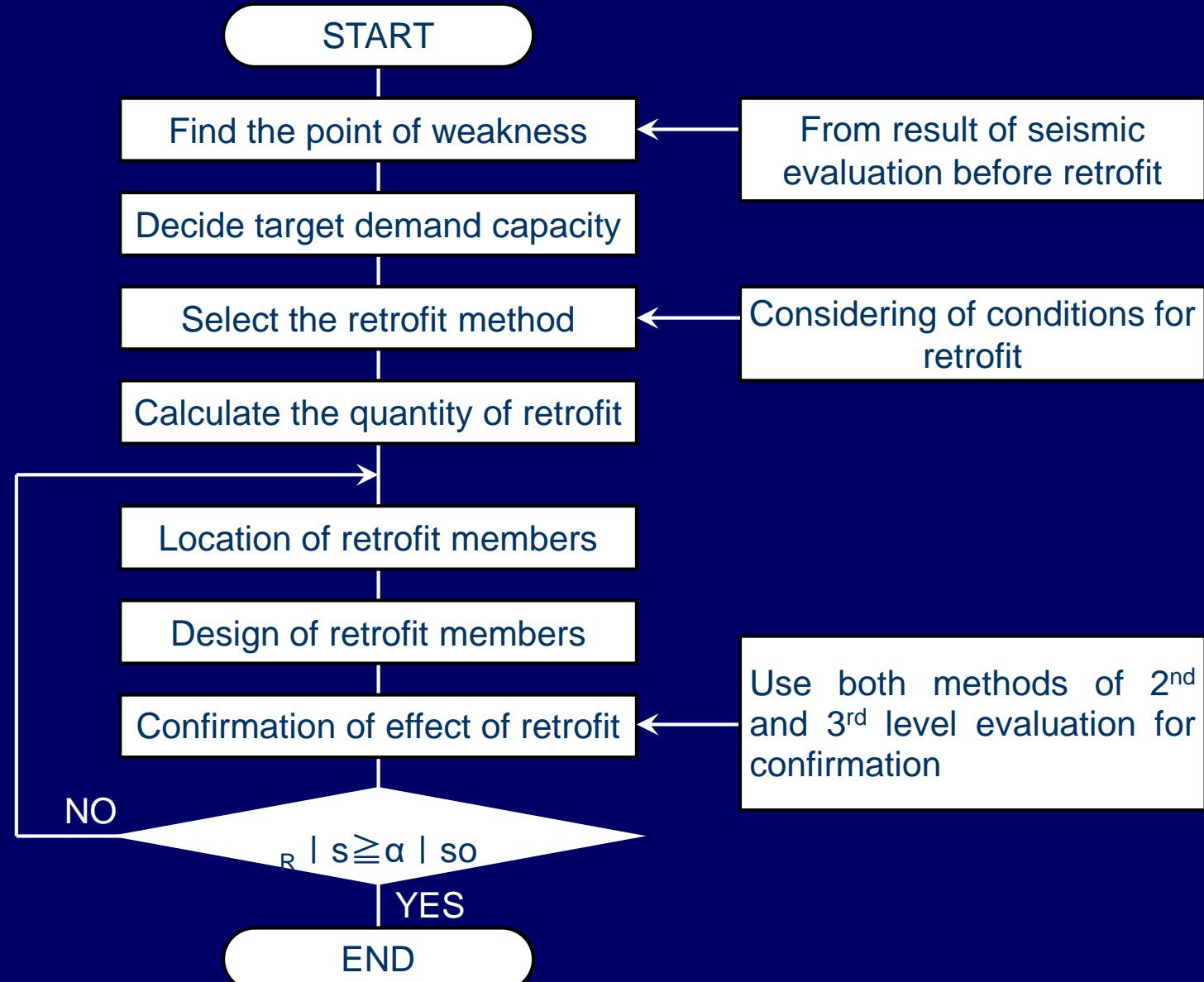
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# Basic concept of retrofit design

## ■ Point to consider for retrofit design

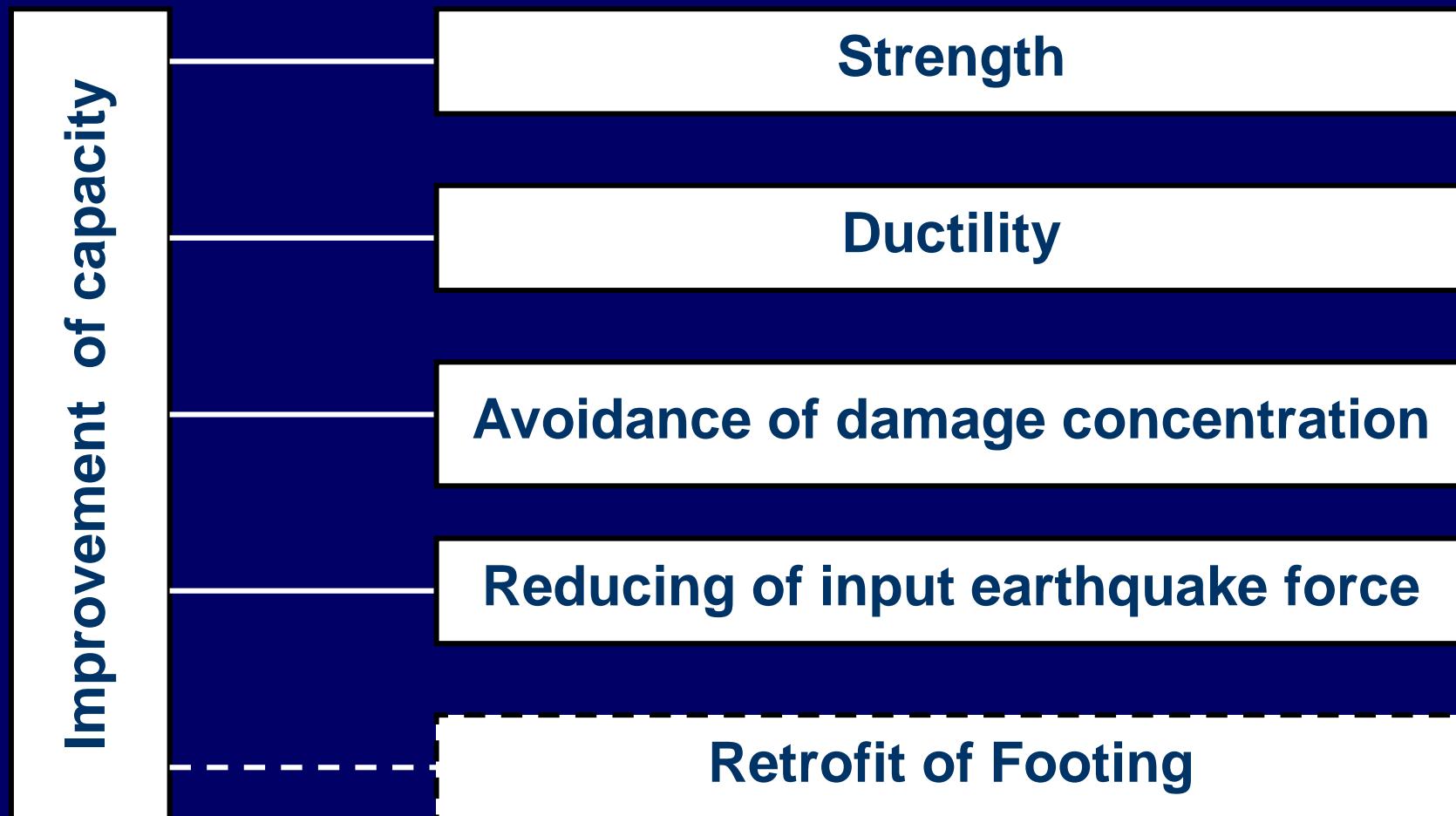
- ★ Target demand seismic capacity
- ★ Basic principle of retrofit
- ★ Selection of optimum retrofit technology
- ★ keeping the function of buildings
- ★ Easiness of retrofit construction work

# Flow of retrofit design



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# Classification of retrofit methodology

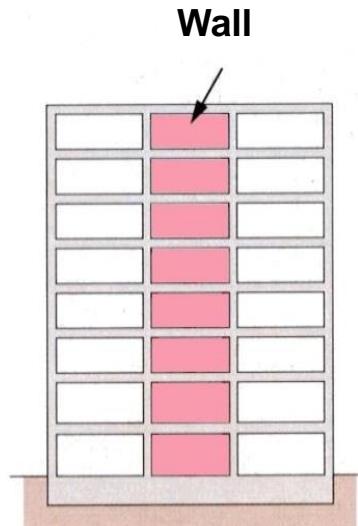


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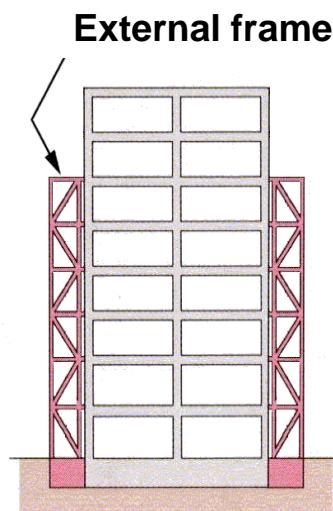
# Classification of retrofit methodology

## Strength

Wall, Brace

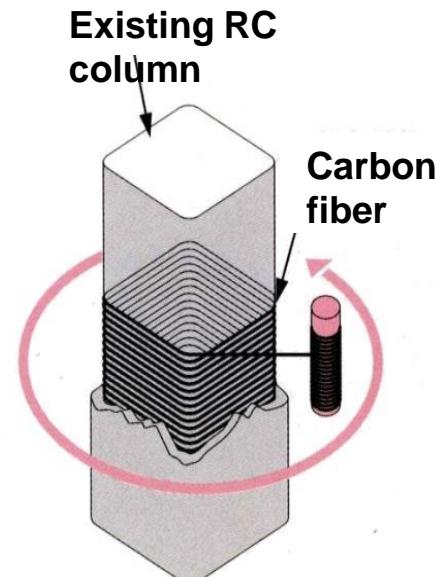


External Frame

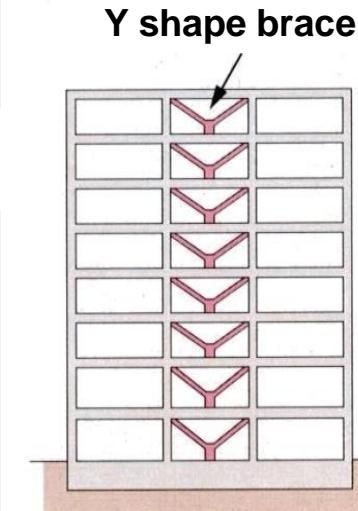


## Ductility

Carbon fiber  
(Steel plate)

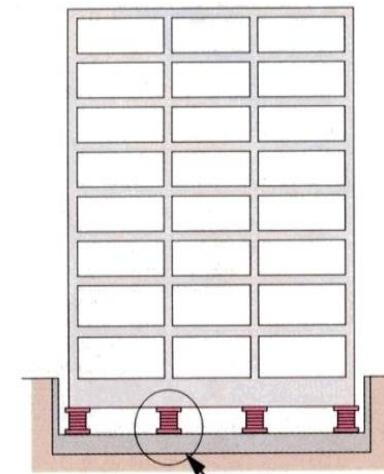


Vibration control  
(Brace, Oil damper)

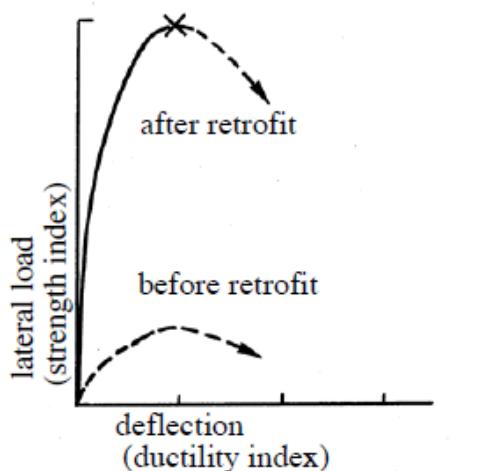
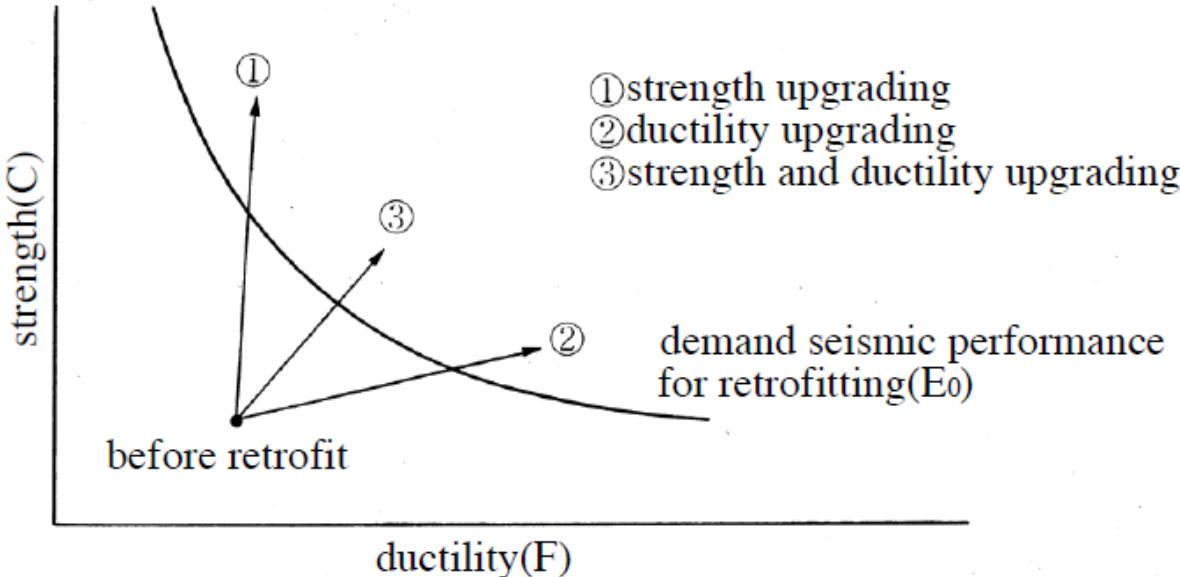


## Reducing of input earthquake force

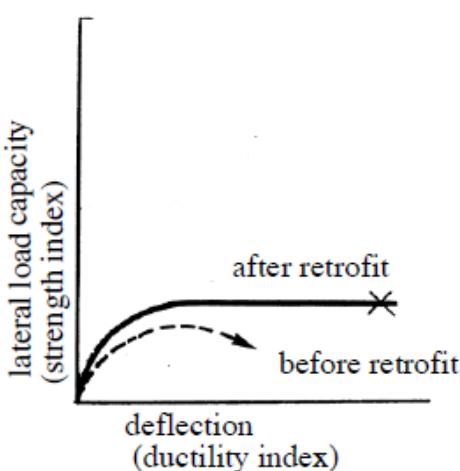
Seismic isolation  
(Isolator, Dampers)



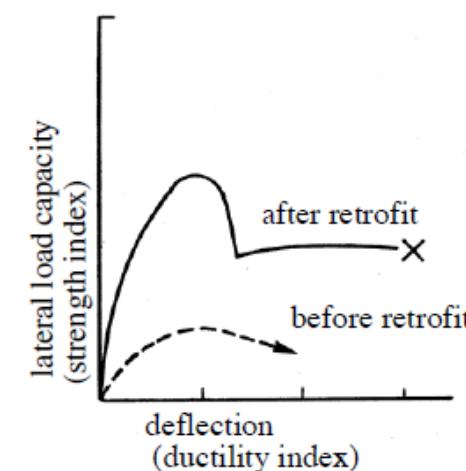
# Improvement of Capacity



① strength upgrading



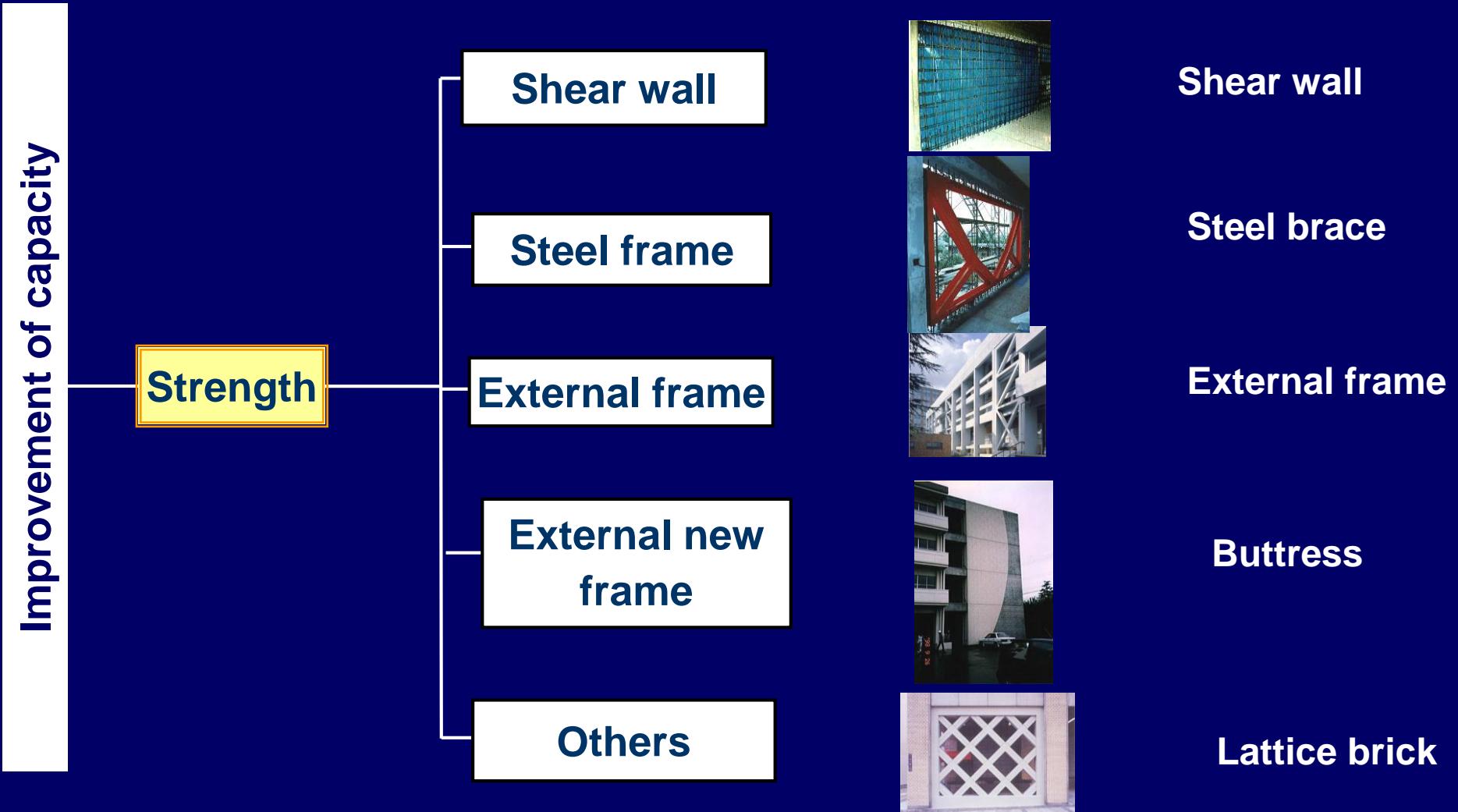
② ductility upgrading



③ strength and ductility upgrading

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# Classification of retrofit methodology

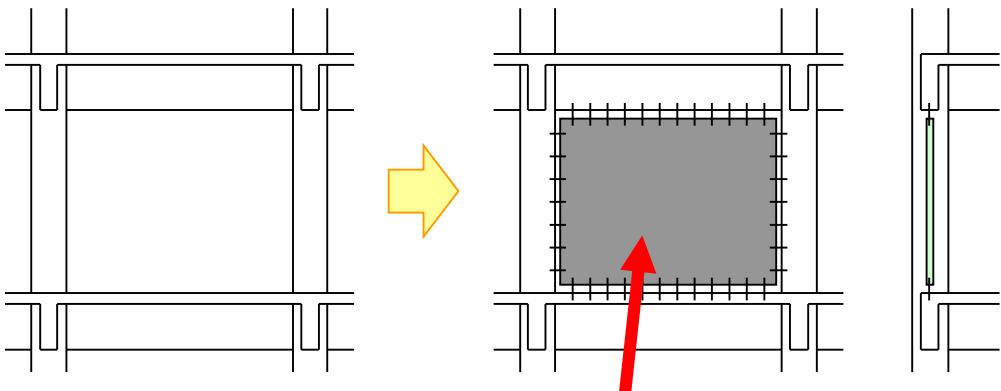


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# Classification of retrofit methodology

## Post installed RC wall (strength type)

### Shear wall



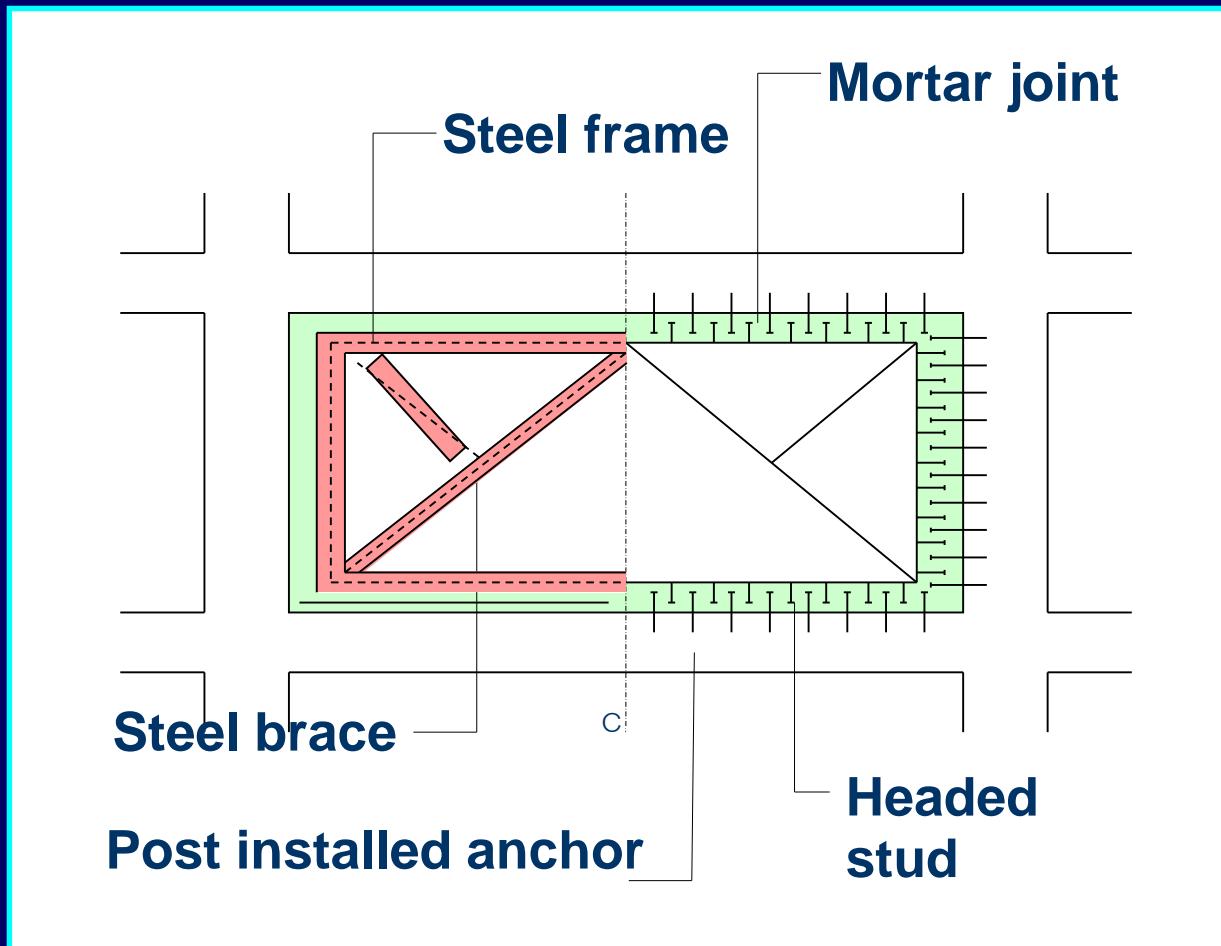
Shear wall



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# Classification of retrofit methodology

## Steel brace retrofit (strength type)

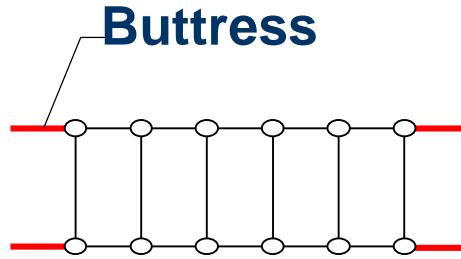


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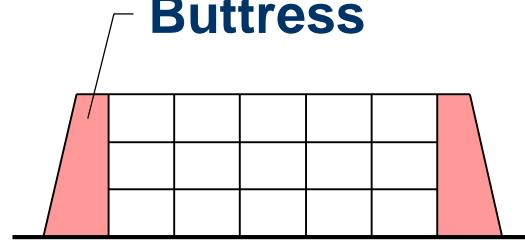
# Classification of retrofit methodology

## External retrofit (strength type)

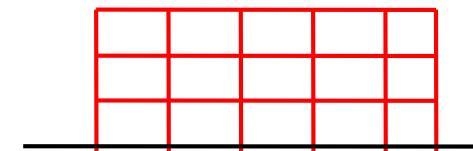
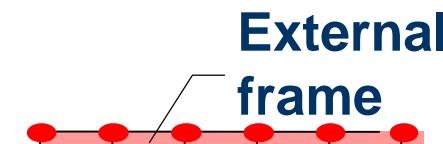
### Buttress



### Buttress



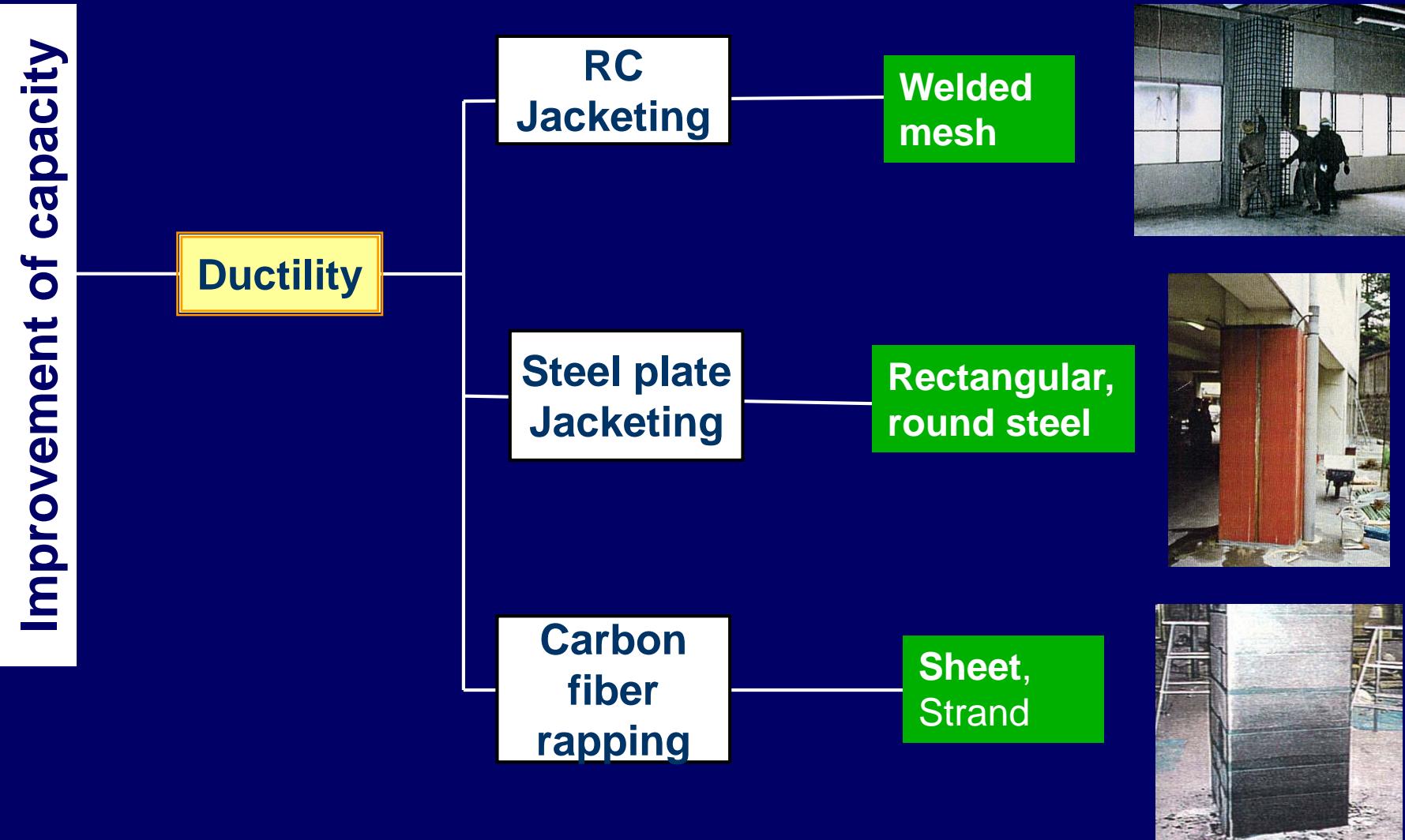
### External frame



**Buttress**

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# Classification of retrofit methodology

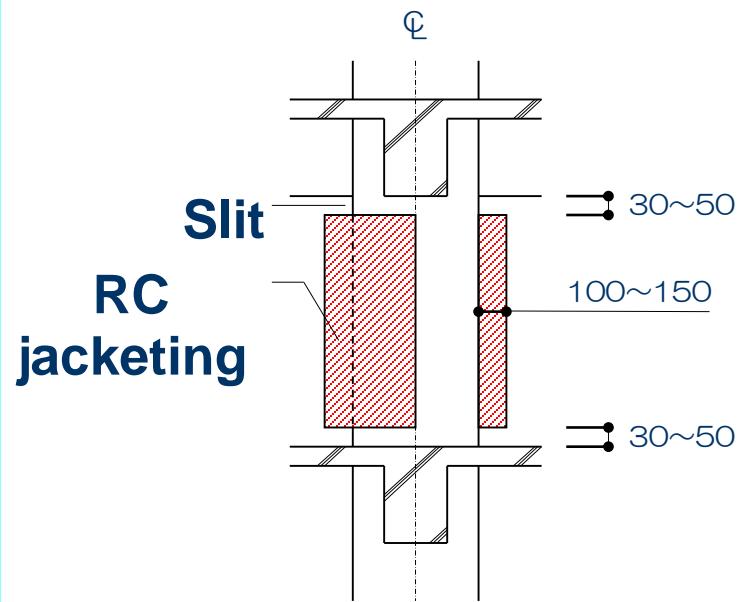


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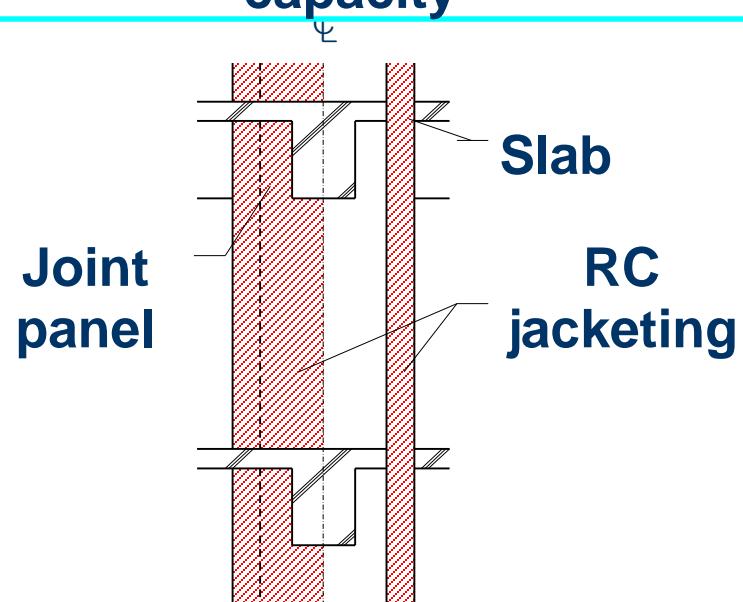
# Classification of retrofit methodology

## Reinforced concrete Jacketing (ductile type)

### Increase of shear capacity



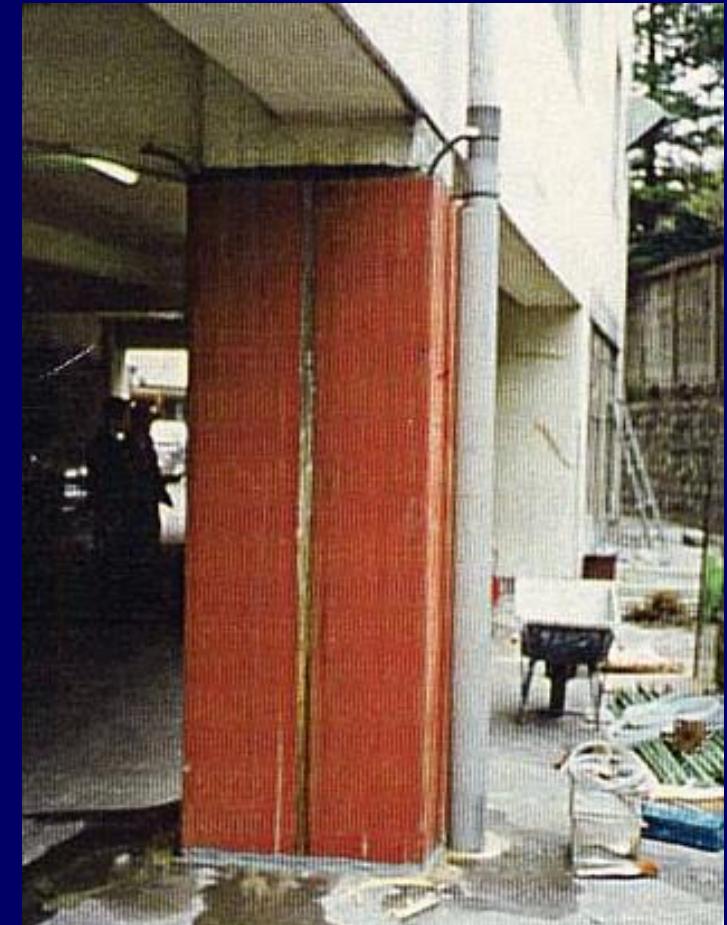
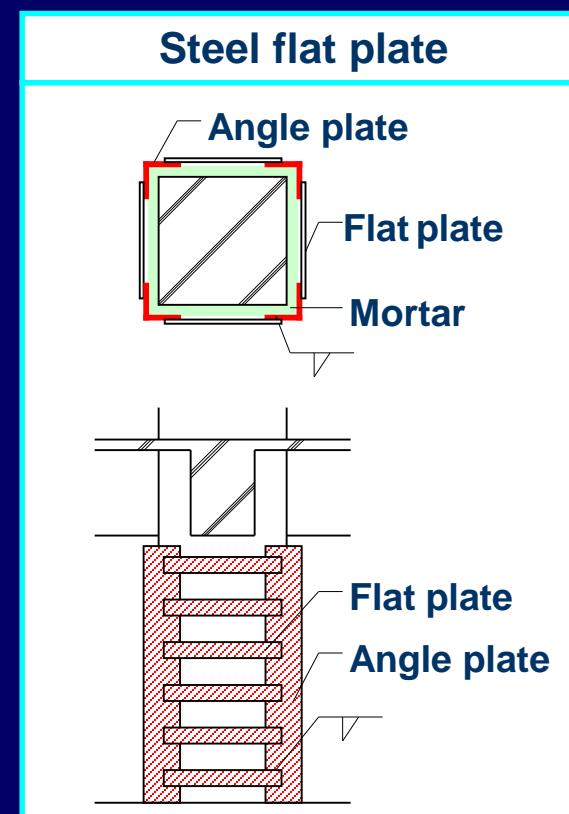
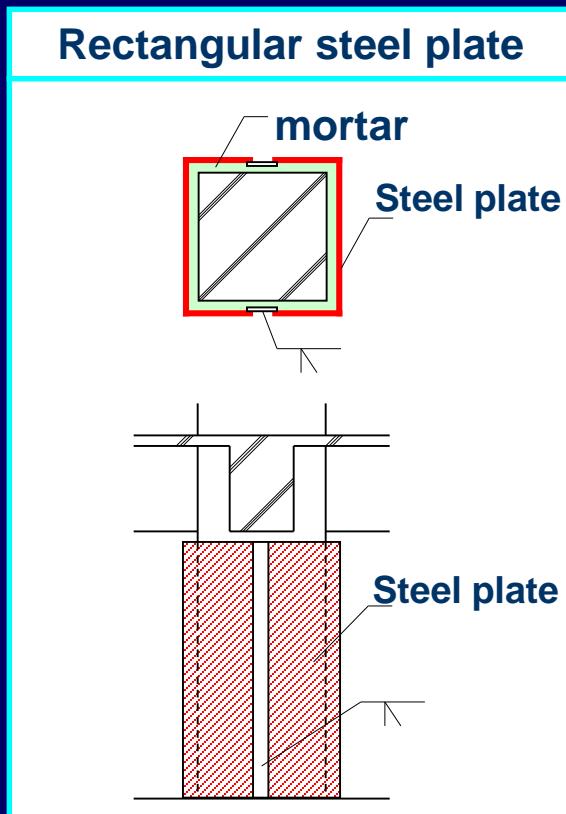
### Increase of shear and flexural capacity



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# Classification of retrofit methodology

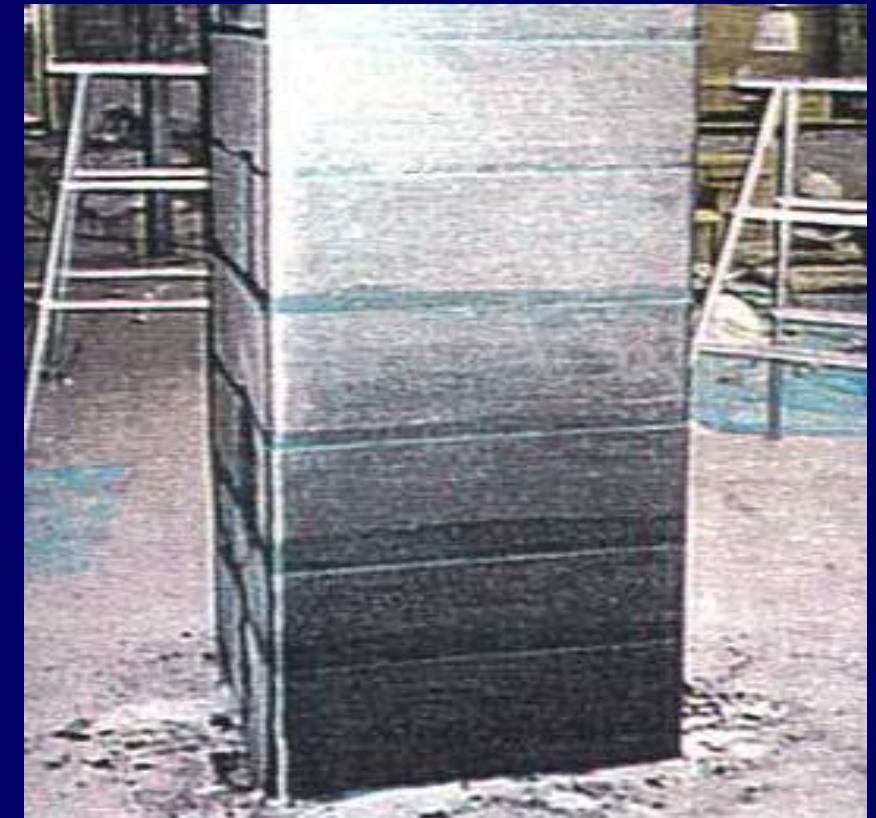
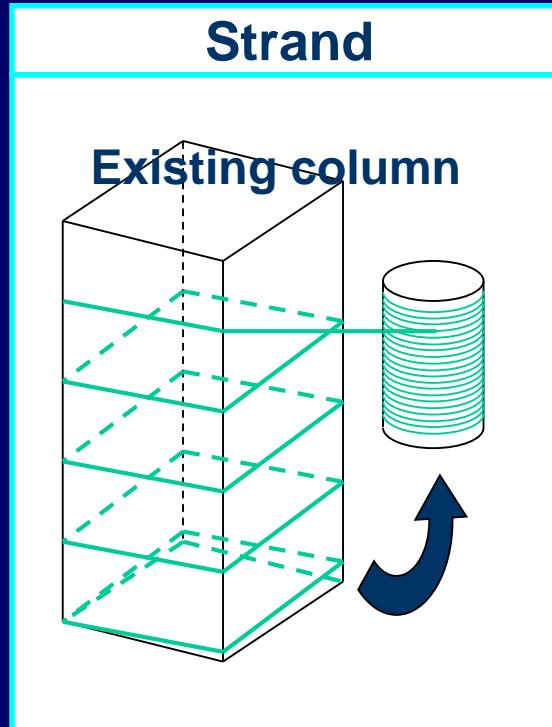
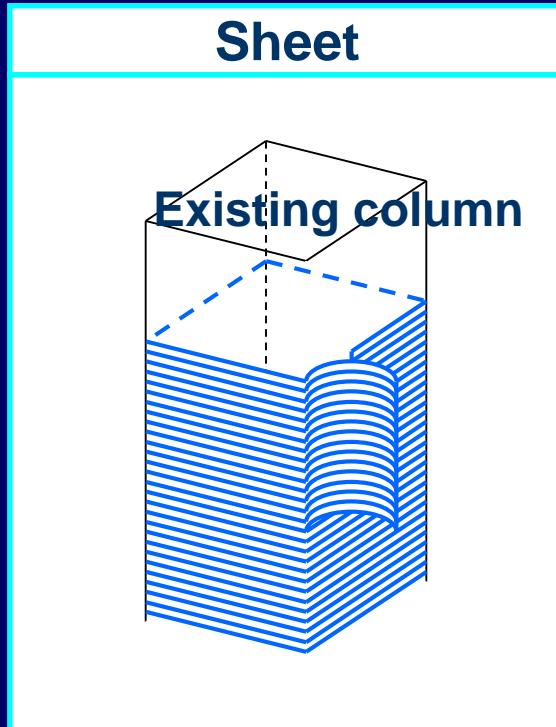
## Column retrofitted by steel plate (ductile type)



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# Classification of retrofit methodology

## Column retrofitted by carbon fiber sheet (ductile type)



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# Column retrofitted by carbon fiber sheet (ductile type)

Spandrel  
wall



Existing RC  
column  
(Taking off  
cover mortar)



1  
Rapping of  
carbon sheet



2  
After Rapping



3  
Finishing

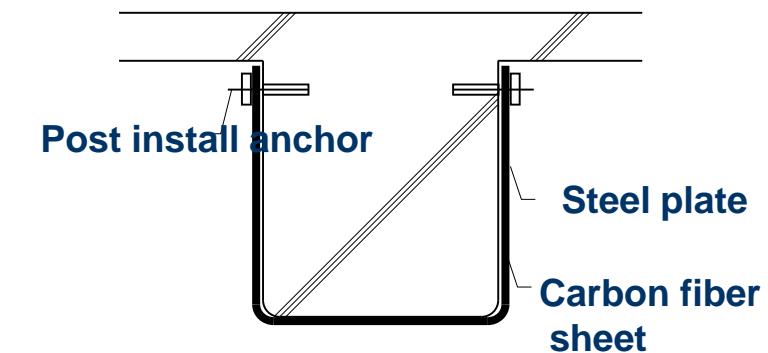
## Construction process

# Classification of retrofit methodology

## Beam retrofit by carbon fiber sheet (ductile type)



Beam retrofit by carbon fiber sheet

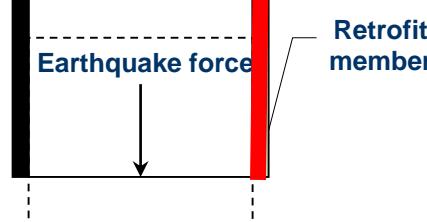
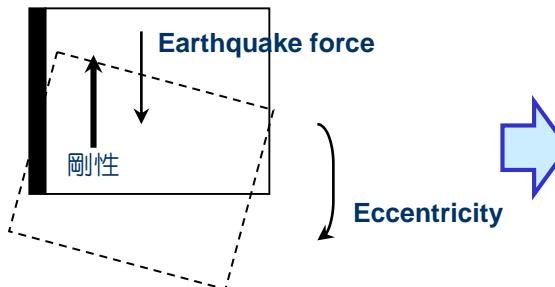


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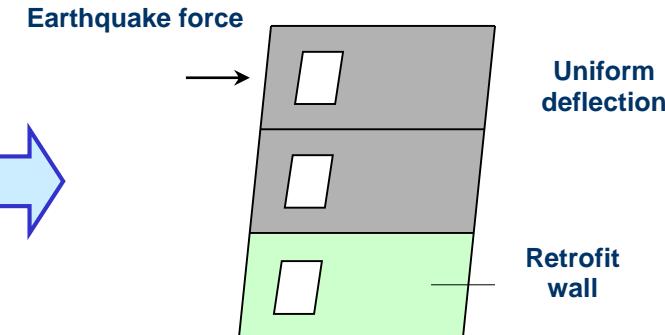
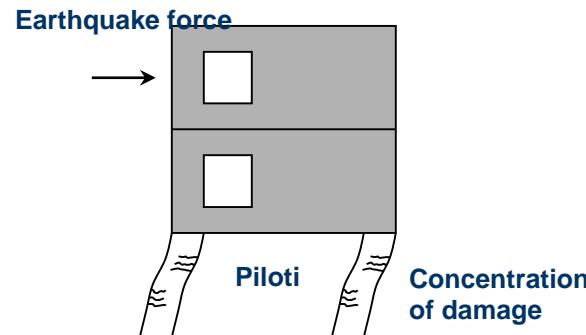
# Retrofit design

## Avoidance of the concentration of damage

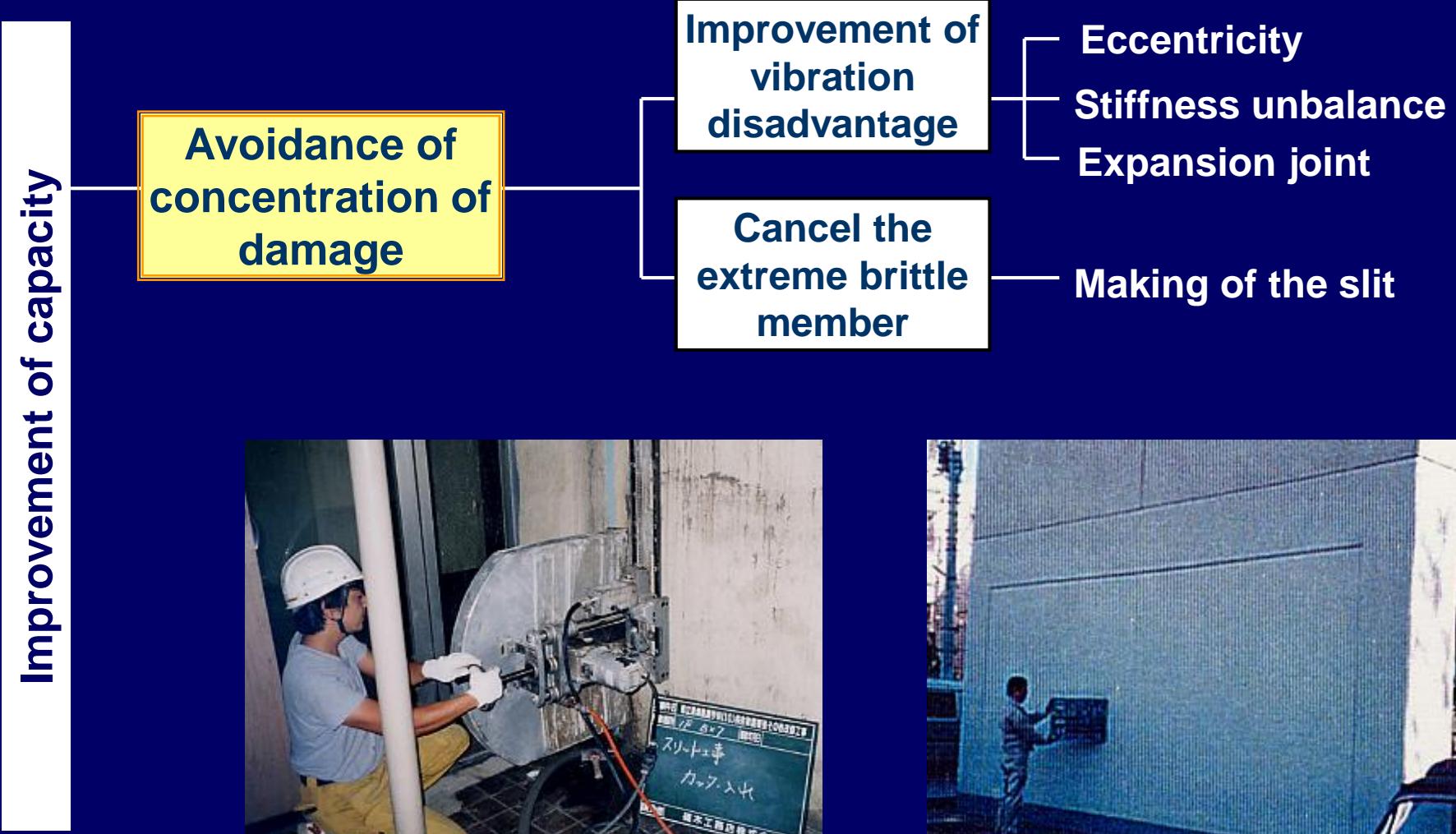
Improvement of eccentricity



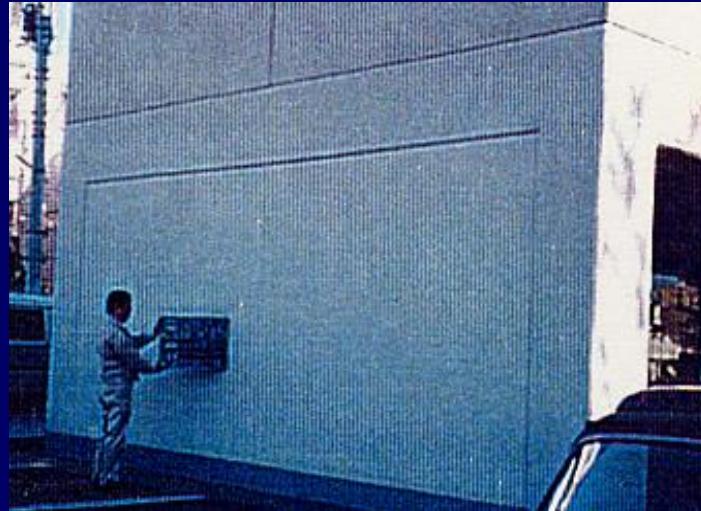
Improvement of stiffness ratio



# Classification of retrofit methodology



Making of slit



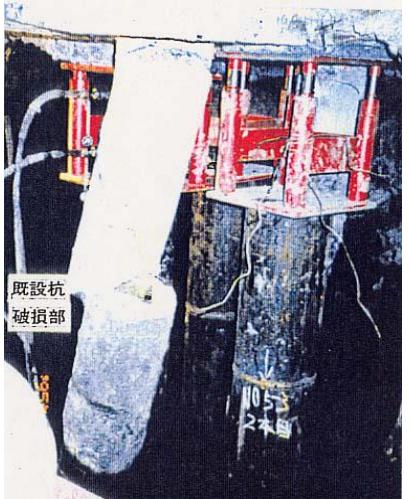
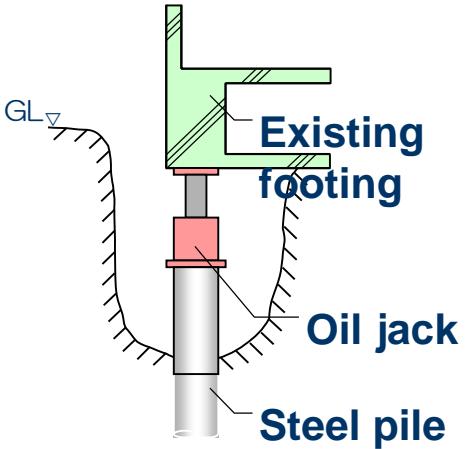
Improvement of eccentricity

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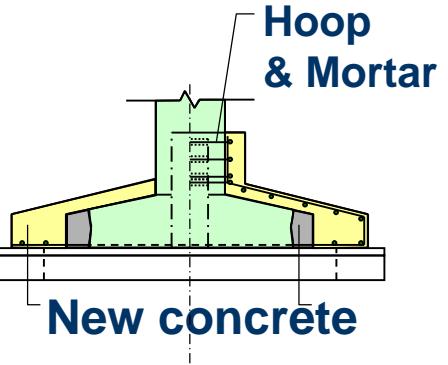
# Classification of retrofit methodology

## Footing and Pile

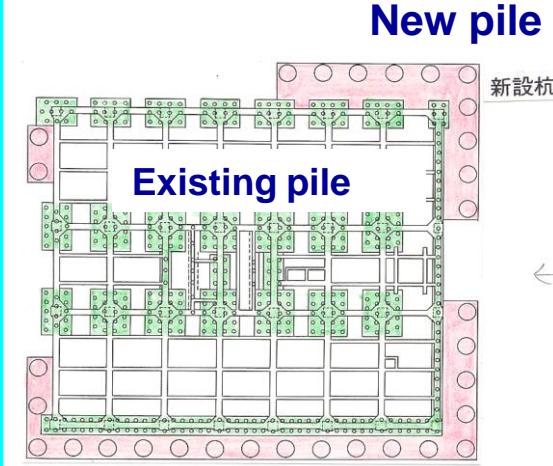
Under pinning



Expansion of footing

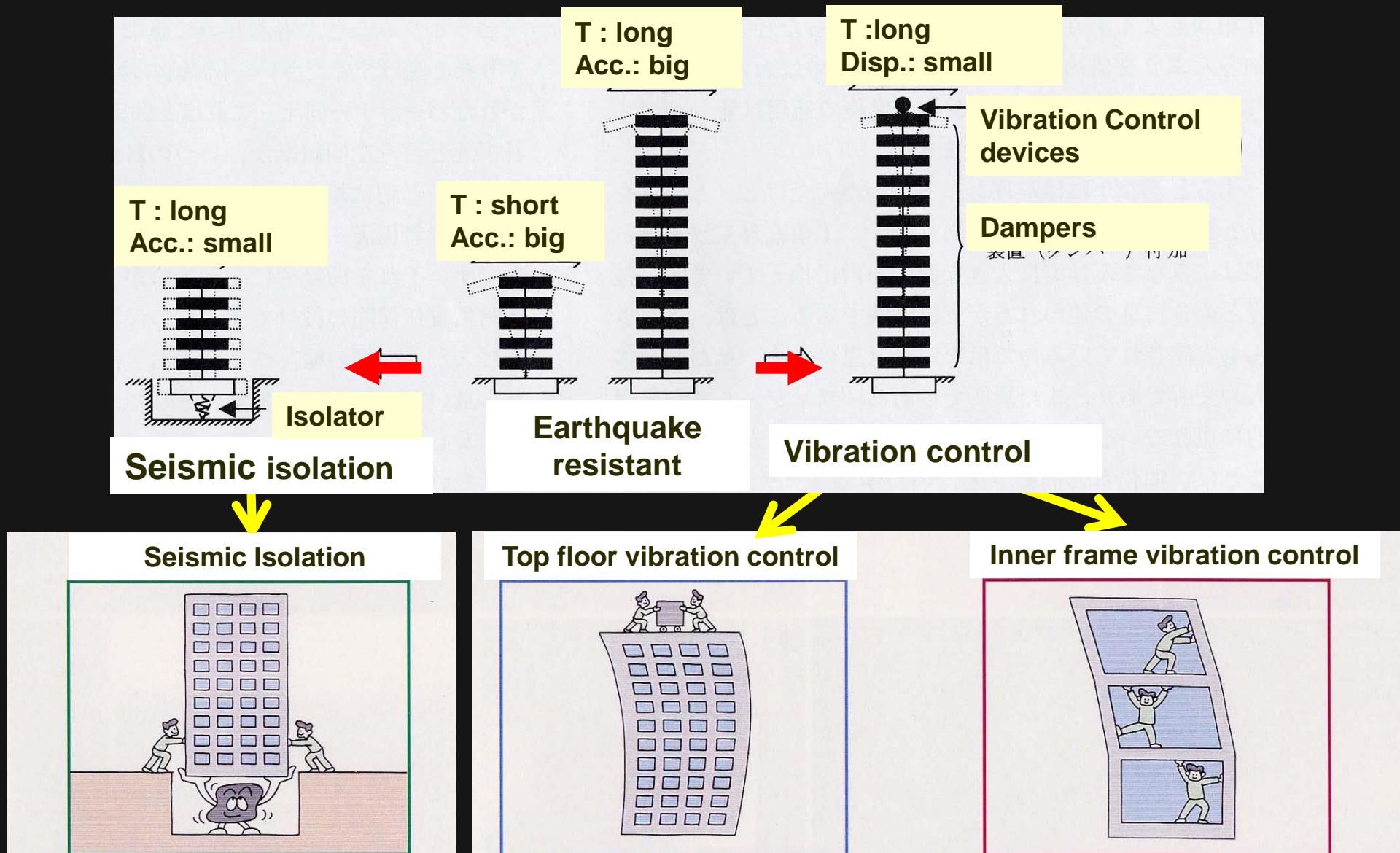


Increasing of piles



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# Retrofit by seismic isolation and vibration control



# Basic concept of retrofit design

## Required strength

$$\Delta Q_i = \Delta C_1 \times \Sigma W_i = \left( \frac{n+i}{n+1} \right) \times \frac{1}{F'} \left( \frac{R I_s}{S_{D'} \cdot T'} - \frac{I_s i}{S_D \cdot T} \right) \times \Sigma W_i$$

 $\Delta Q_i$ 

: Lack of strength

 $I_{si}$ 

: Is index before retrofit

 $\Delta C_i$ 

: Lack of C index

 $S_D, S_{D'}$ 

: irregularity before and after retrofit

 $F'$ 

: F index after retrofit

 $T, T'$ 

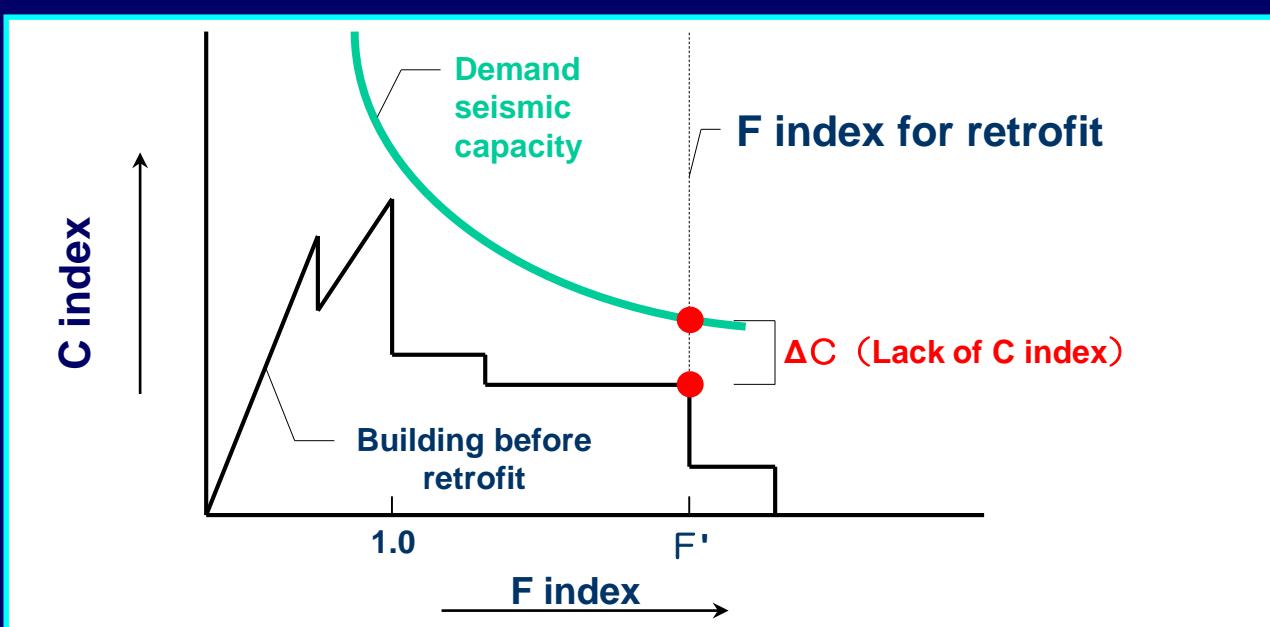
: T index before and after retrofit

 $R | s$ 

: Is index after retrofit

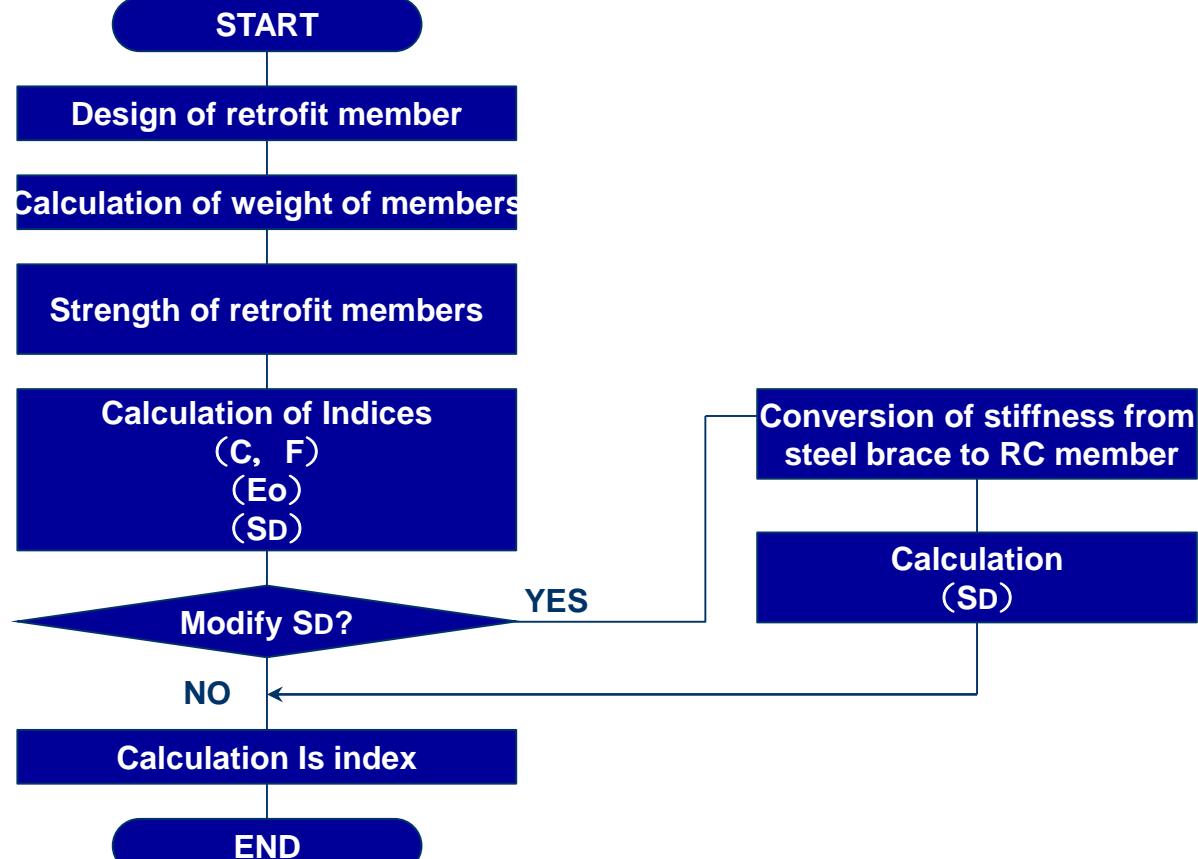
 $\Sigma W_i$ 

: Summation of weight of building



# Confirmation of retrofit effect

## Calculation of Is index after retrofit



# Verification of retrofit effect

1. Shaking table test
2. Static Loading test

# E-Defense (National Research Institute for Earth Science and Disaster Resilience)

Miki city, Kobe



# Shaking table test of RC Buildings

Three stories school buildings



Before retrofit

After retrofit by  
steel braces



# Shaking table test of RC Buildings

Before strengthening



After strengthening



# Shaking table test of Timber Houses



独立行政法人

NIED

防災科学技術研究所

National Research Institute for Earth Science and Disaster Prevention



E-Defense

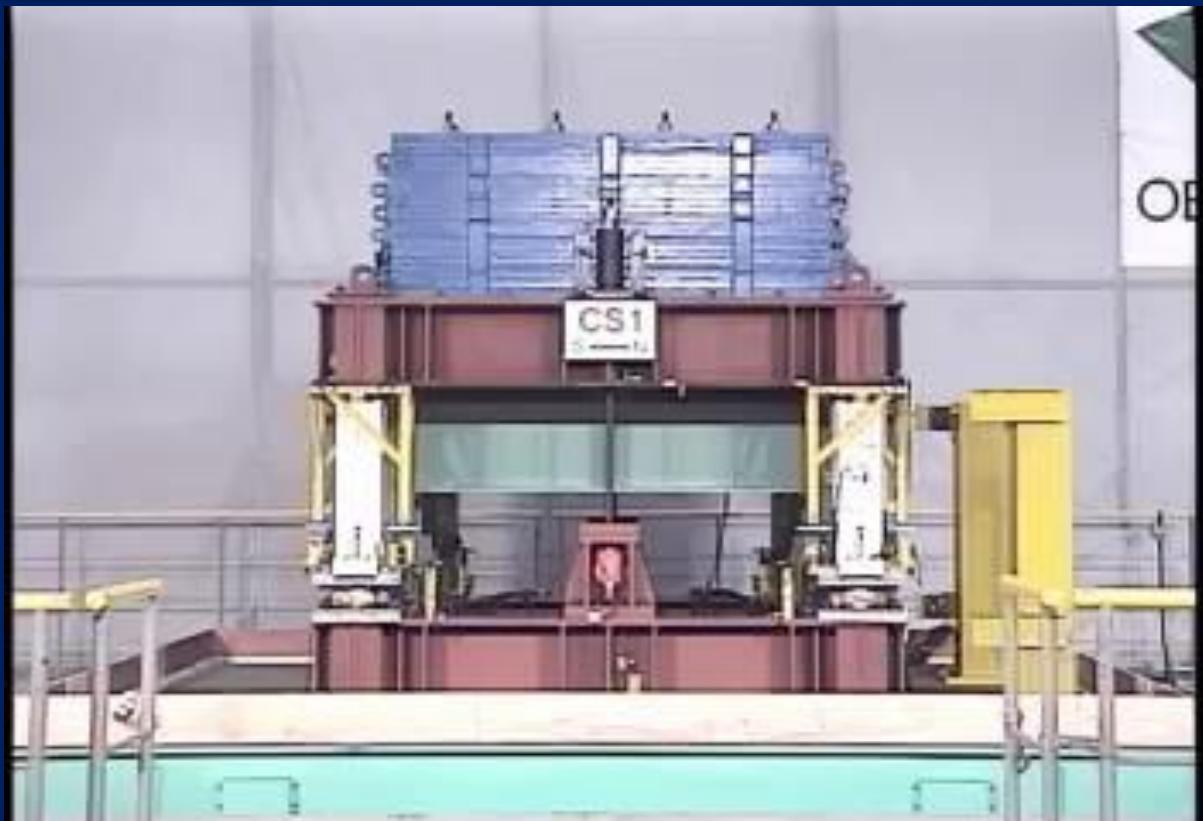
E- ディフェンス

Hyogo Earthquake Engineering Research Center

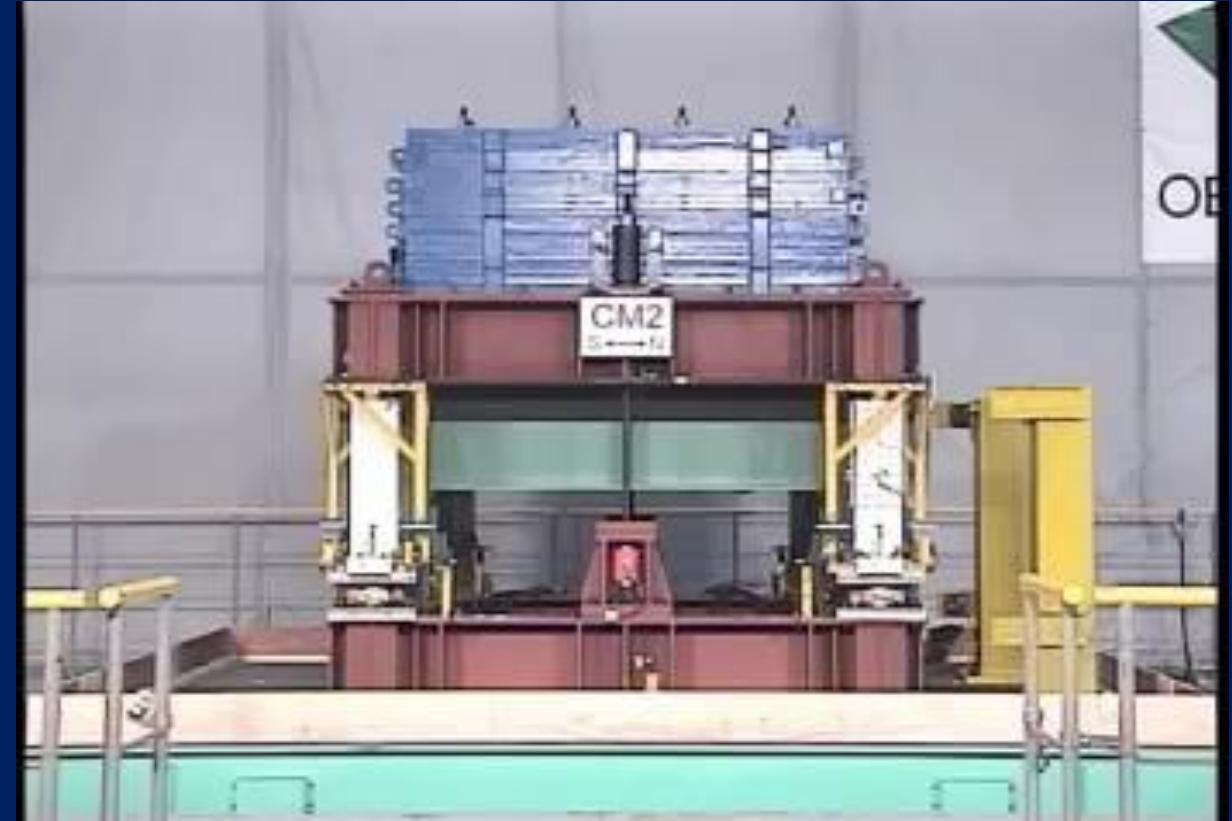
# Shaking table test of RC columns

VIDEO

Obayashi corporation



Shear failure column



Flexural failure column

# Shaking table test of RC columns

VIDEO

Obayashi corporation



Shear failure column

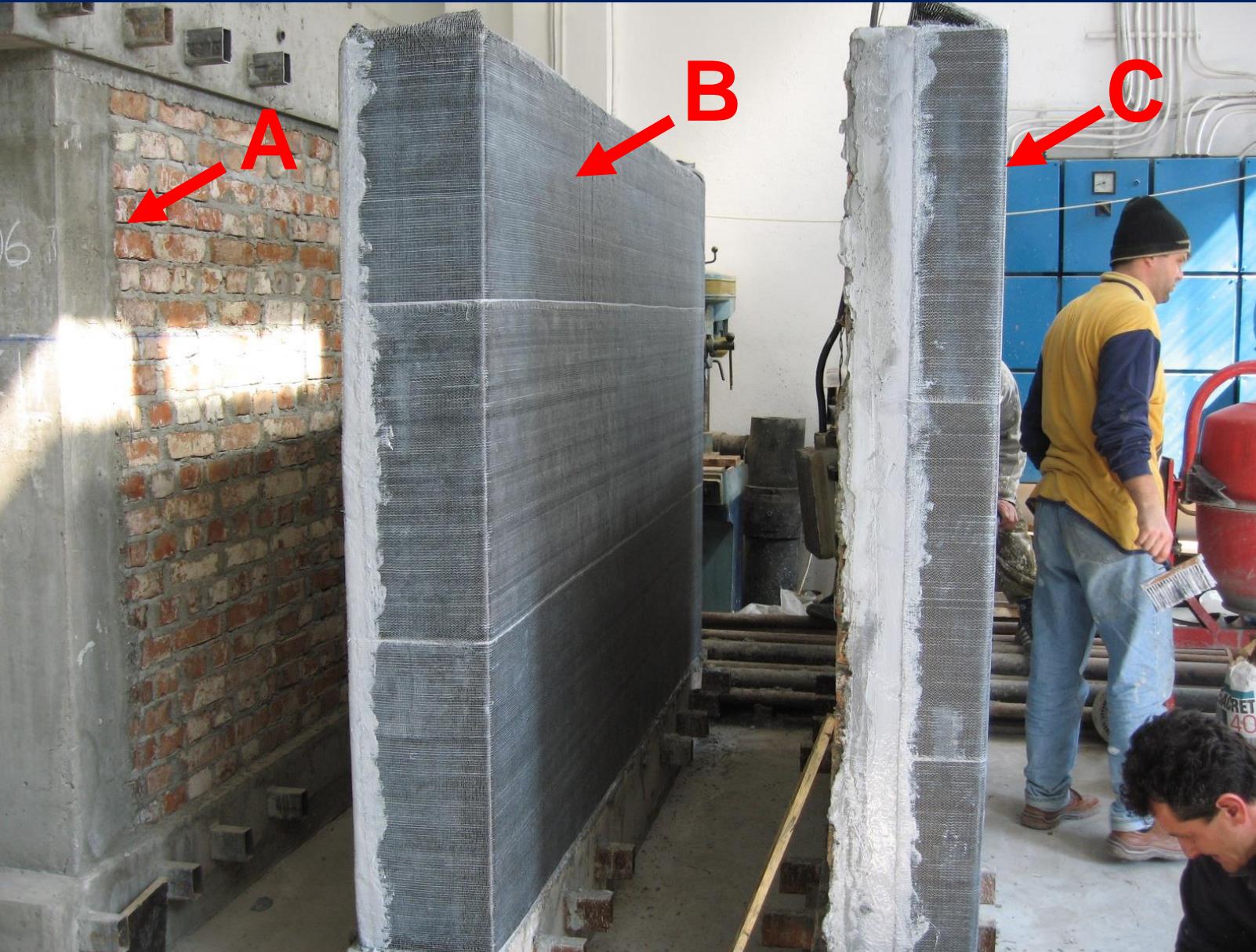


Column retrofitted  
by carbon fiber

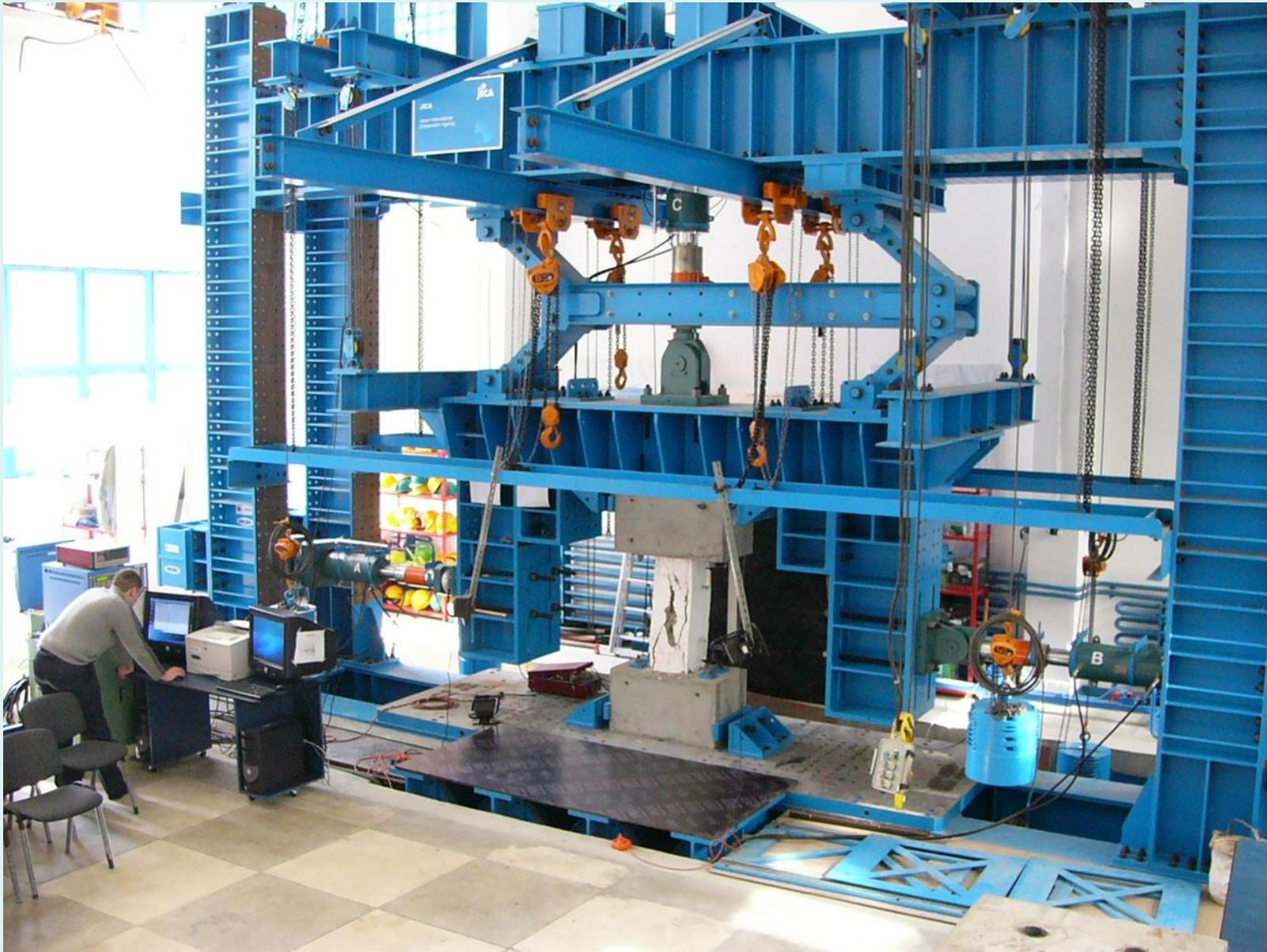
# **CYCLIC SHEAR TESTS ON PLAIN AND FRP RETROFITTED MASONRY WALLS**

**M. Seki, R. Vacareanu, T. Saito,  
D. Cotofana, E. Lozinca,  
V. Popa, A. B. Chesca**

# Test specimens

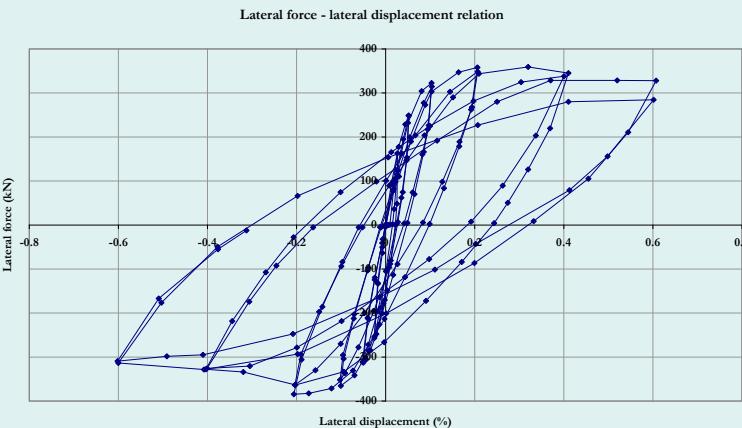


# Reaction frame at UTCB

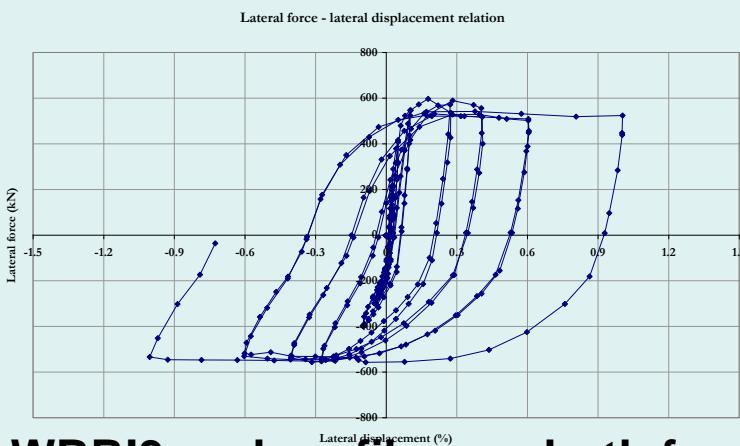


# TESTS' RESULTS

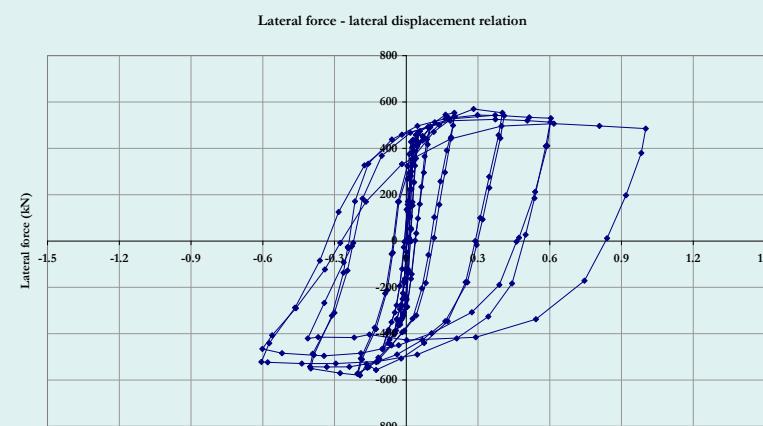
## Lateral force (kN)-lateral drift (%) curve



WBRI1 (A)



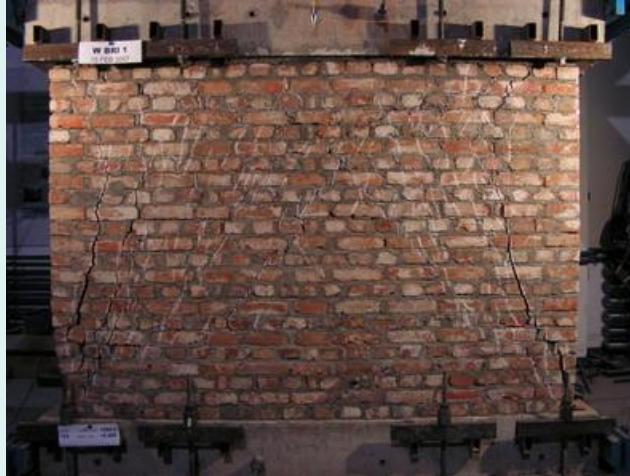
WBRI3-carbon fiber on both faces  
(B)



WBRI4 – carbon fiber on one face  
(C)

## TESTS' RESULTS

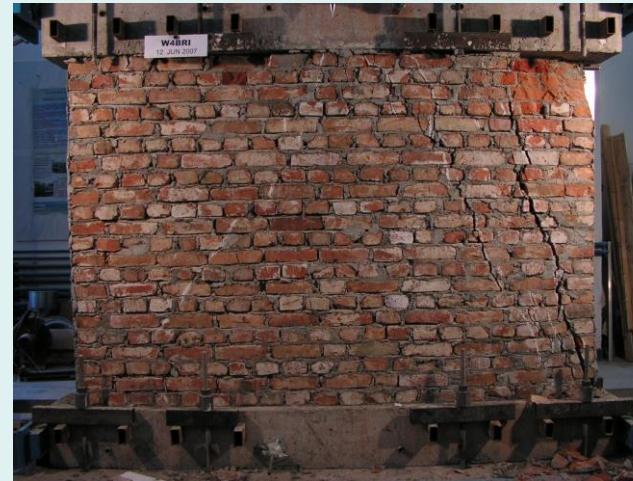
### Damage state of the Specimens at Collapse



WBRI1 (A)



WBRI3-carbon fiber on both faces  
(B)



WBRI4 – carbon fiber on one face  
(C)

# Ultimate Damage



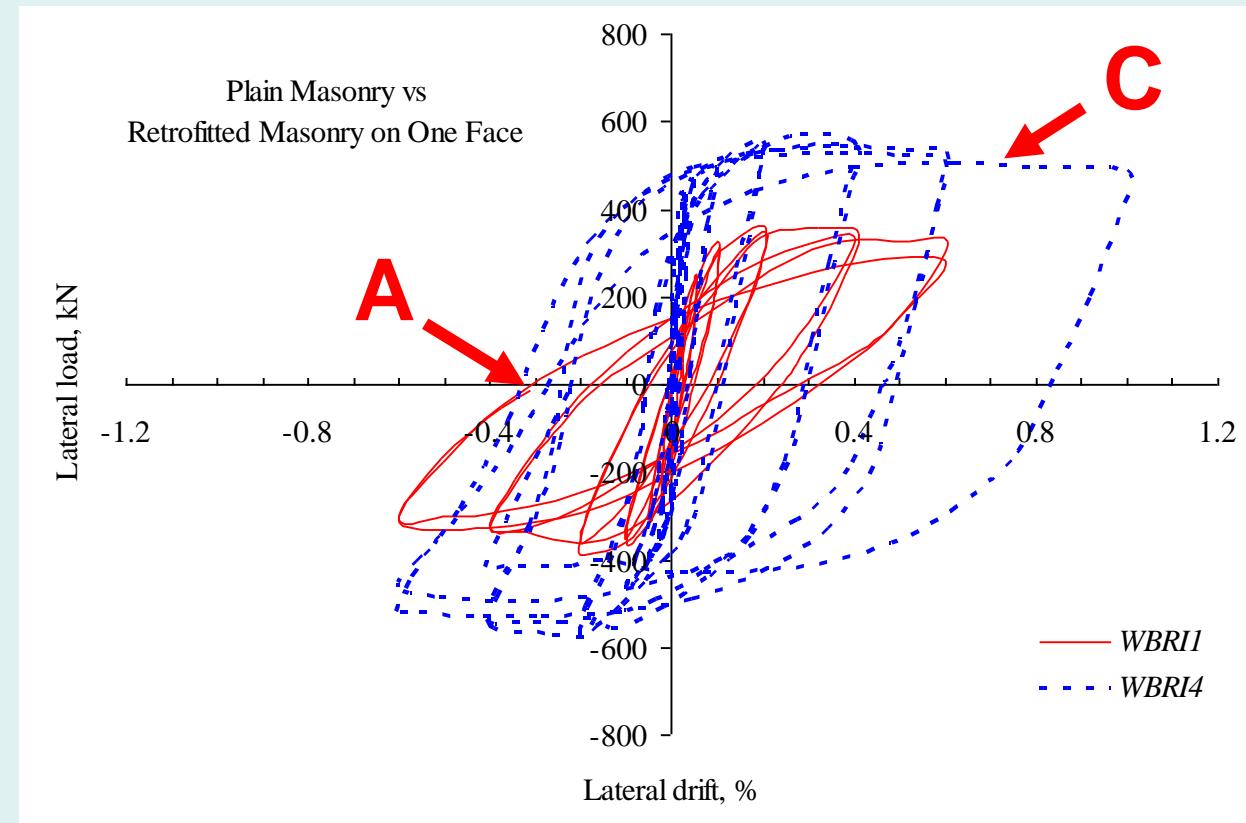
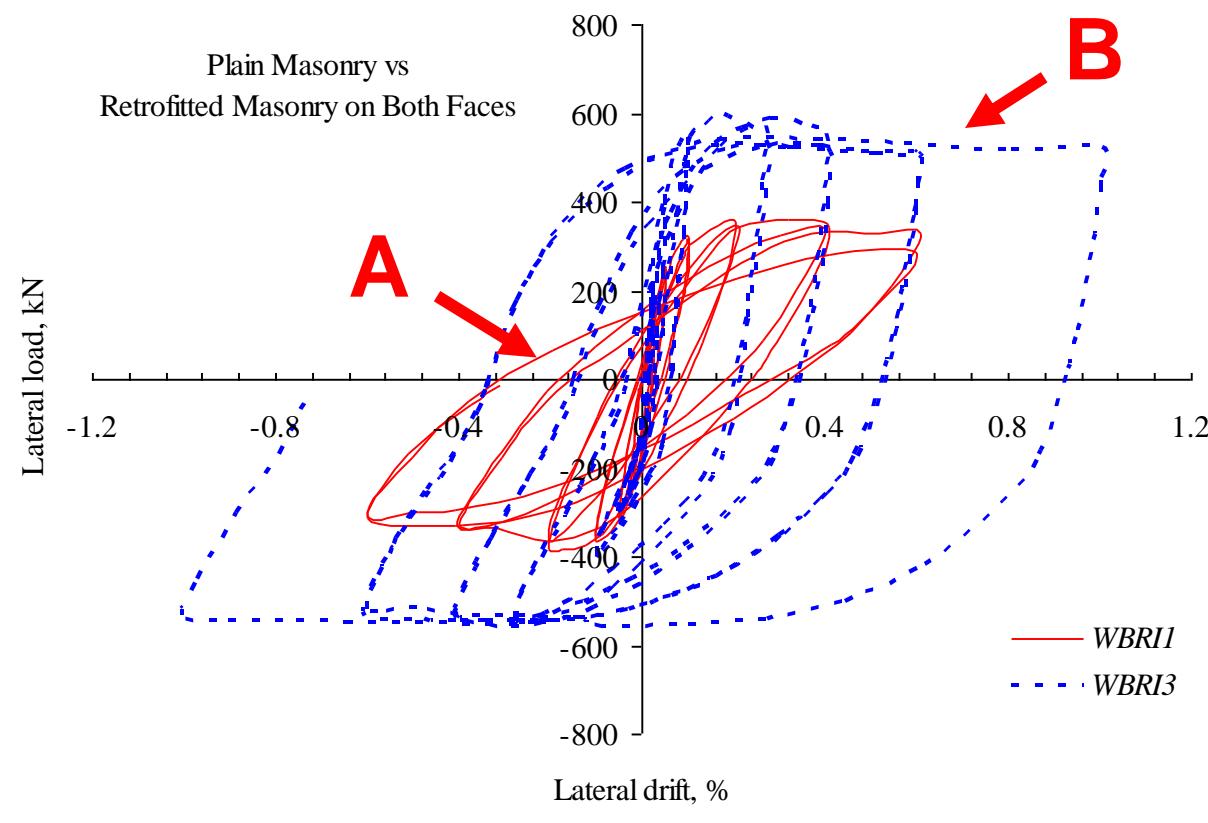
WBRI3-carbon fiber on both faces (B)

# Ultimate Damage



WBRI4 – carbon fiber on one face(C)

# Comparison of Load –deflection curve



**Lateral force – lateral drift curves**  
**Plain Masonry vs. Retrofitted**  
**Masonry on Both Faces**

**Lateral force – lateral drift curves**  
**Plain Masonry vs. Retrofitted**  
**Masonry on One Face**

# **Examples of retrofit buildings**

# Retrofit of Department store by outside steel frame

**Usage:** Department store

**Location:** Osaka Toyonaka-city, Japan

**Story:** 7 stories, 1 basement , 2 pent house

**Structure:** Reinforced concrete: B1F-5F, Steel: 6-7 F, PH  
Moment resisting frame with shear wall (RC),  
Moment resisting frame (S)

**Total floor area:** 20,368 m<sup>2</sup>

**Original construction:** 1970

**Retrofit construction:** 2007-2008

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Before  
retrofit



After  
retrofit

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# After retrofit



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# After retrofit



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# Retrofit concept

*1. Reservation of Architectural façade design*

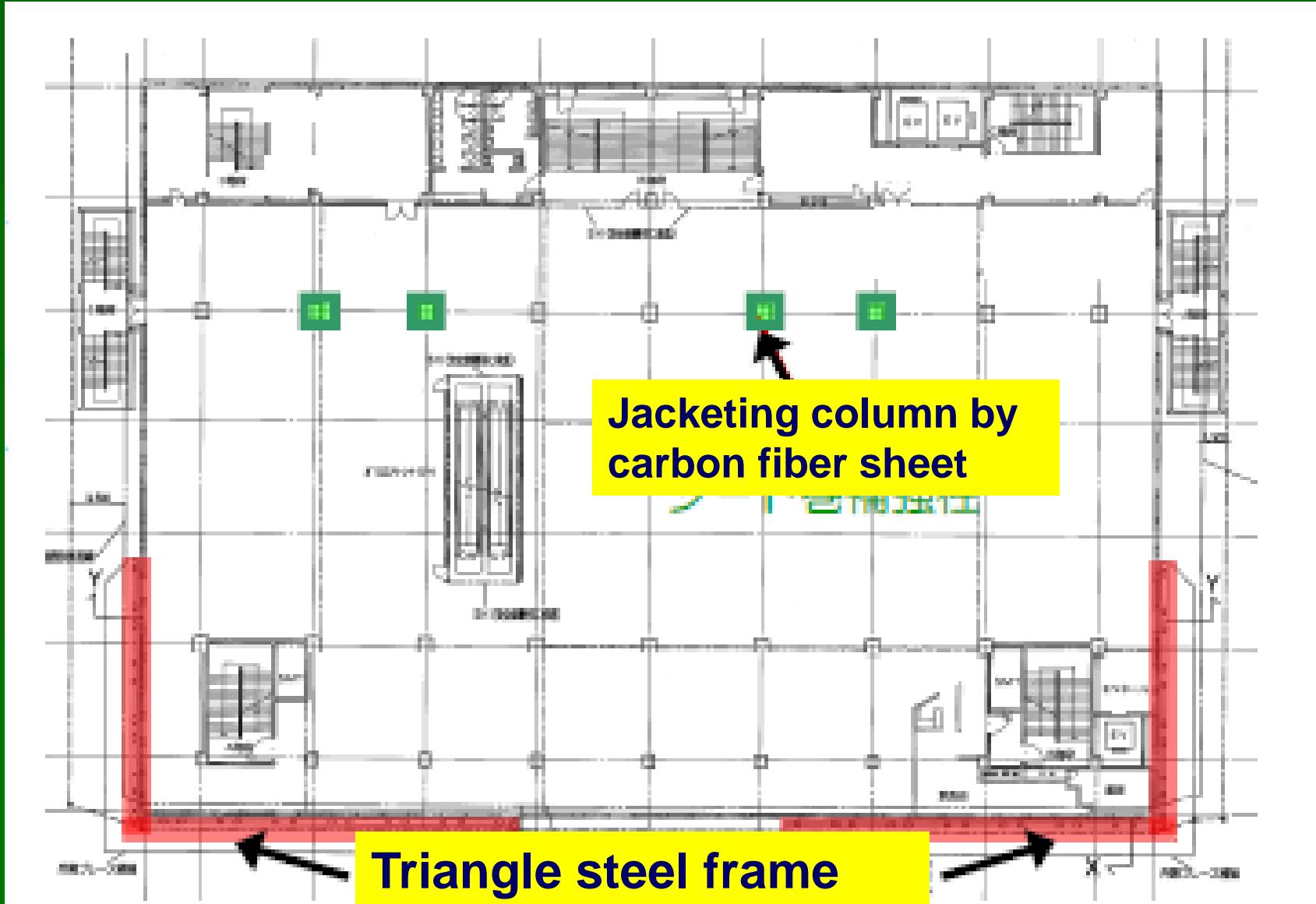
→ **Triangle steel frame**

*2. Retrofit without disturbing the habitability*

→ **Outside steel frame**

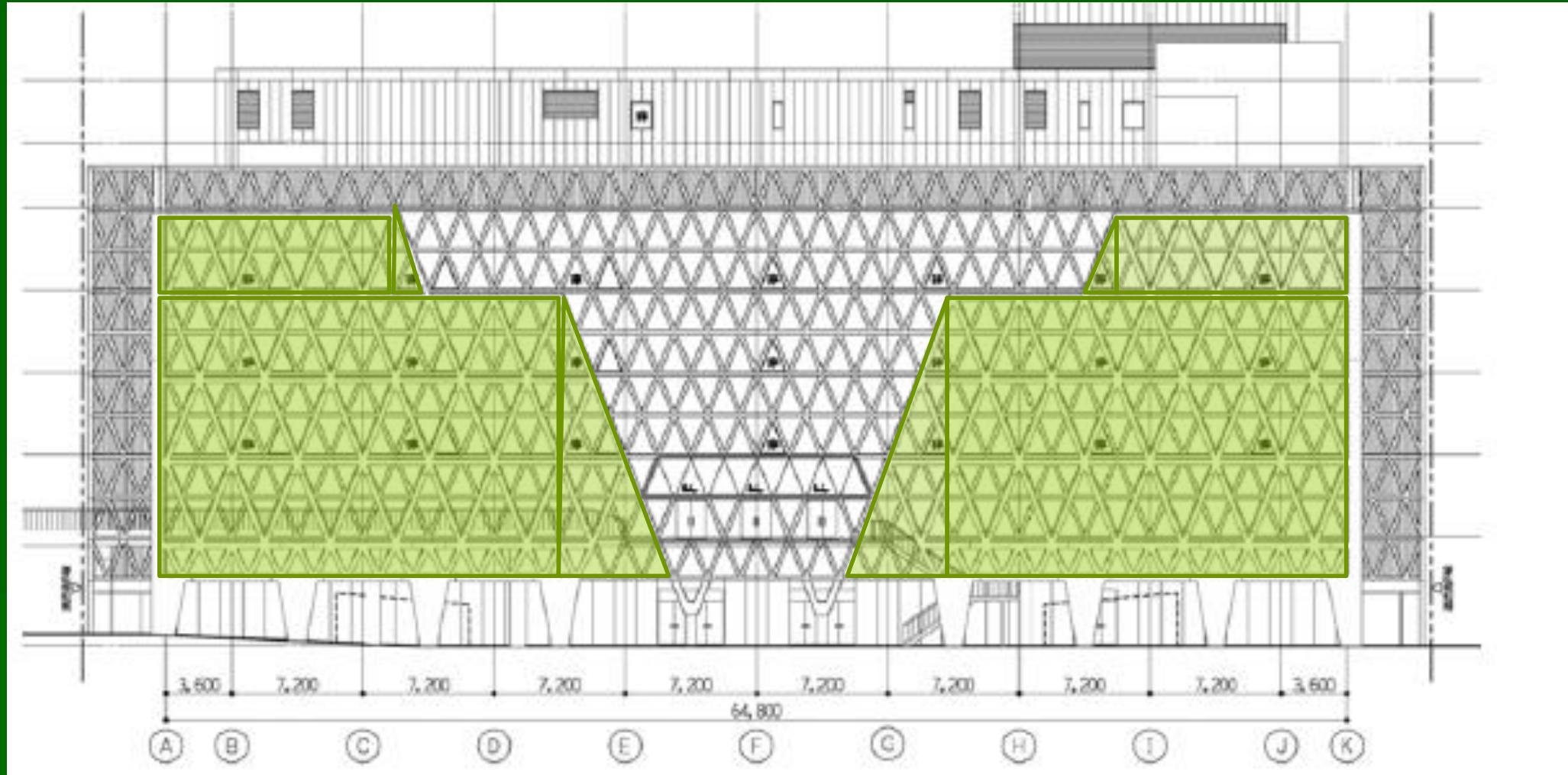
*3. Construction procedure considering the approach of customers*

# Retrofit plan (4F)

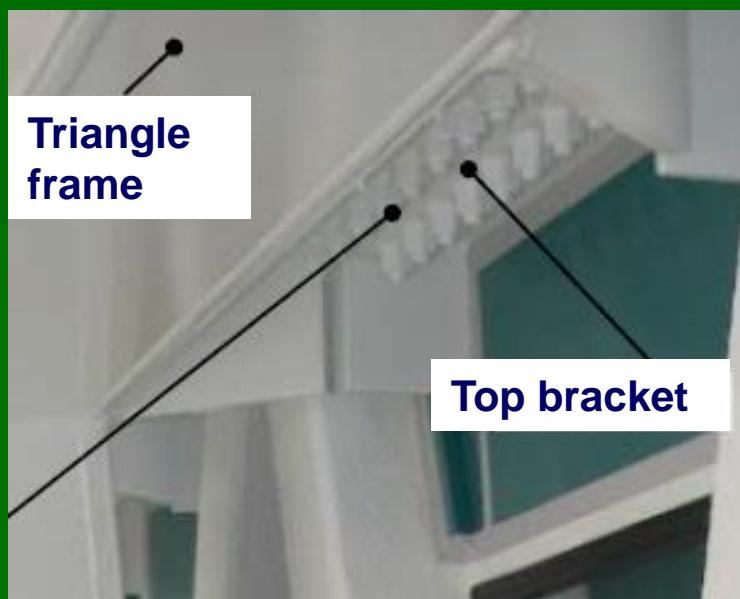
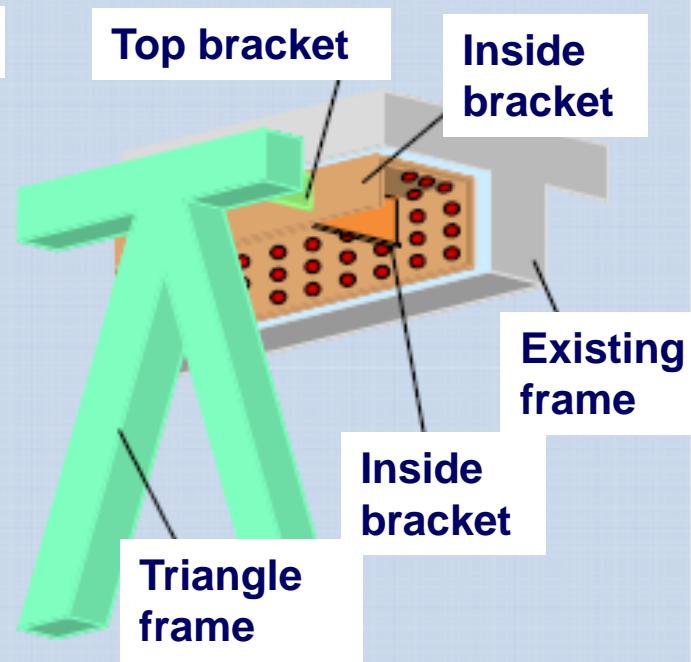
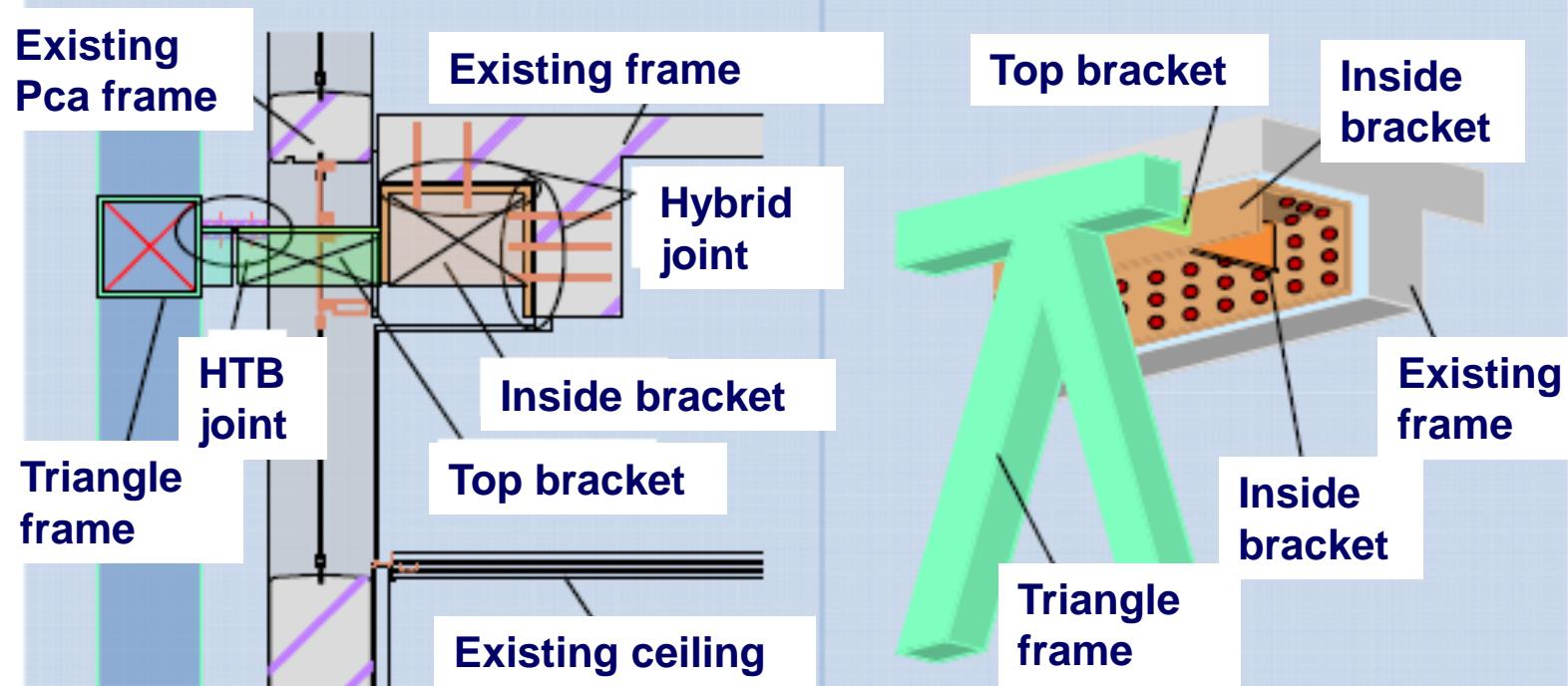


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# West elevation drawing

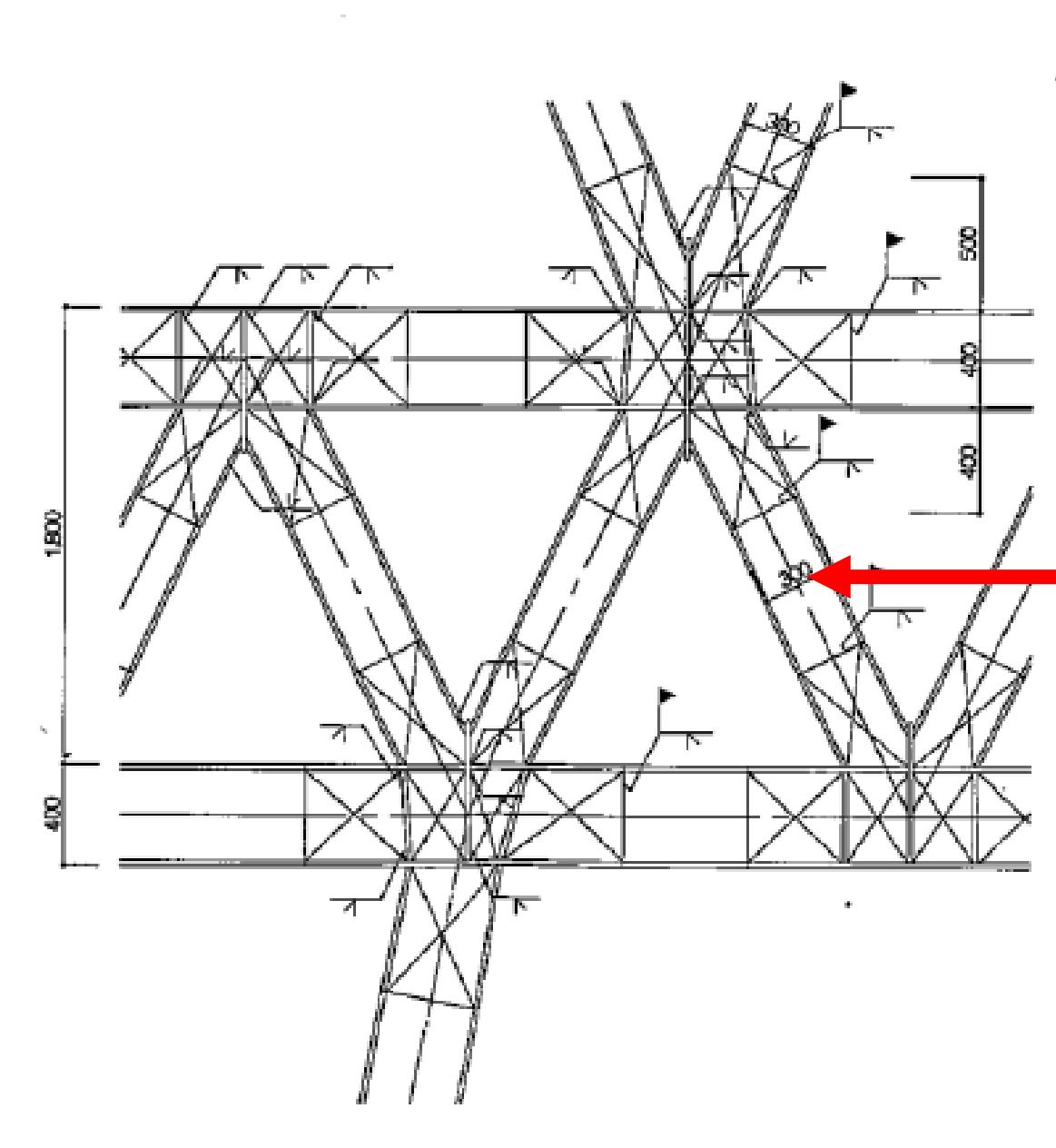


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Joint of triangle frame and top bracket

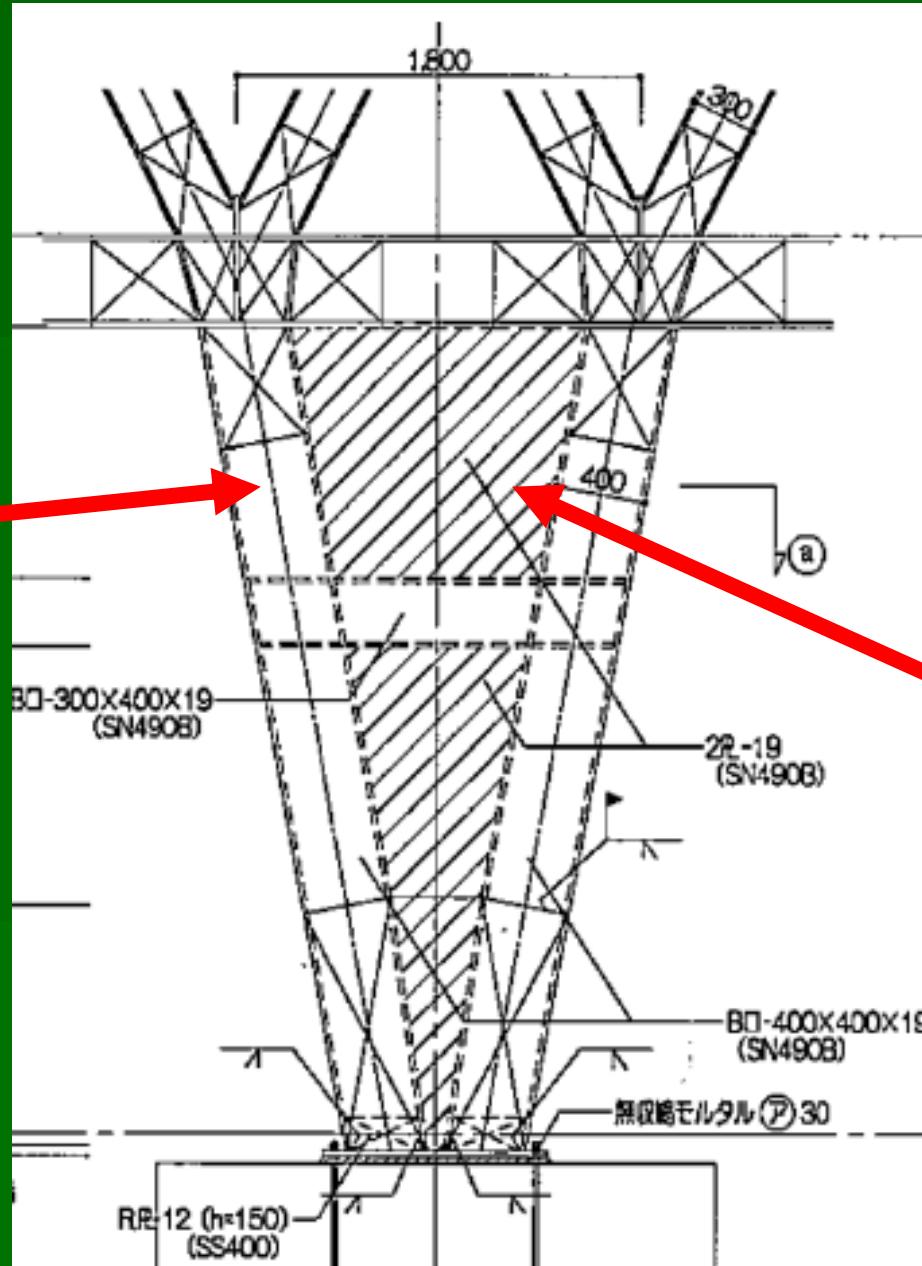
# Triangle steel frame (1)



**Square steel tube**  
□— $300 \times 300 \times 19$

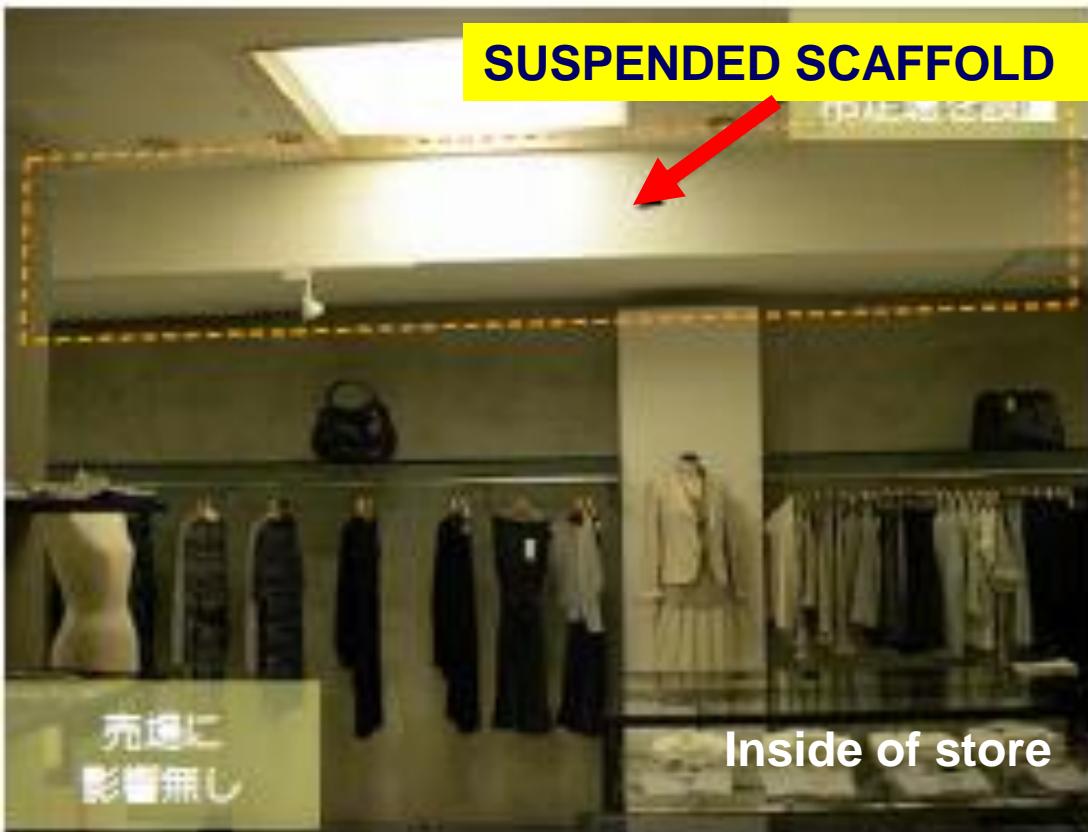
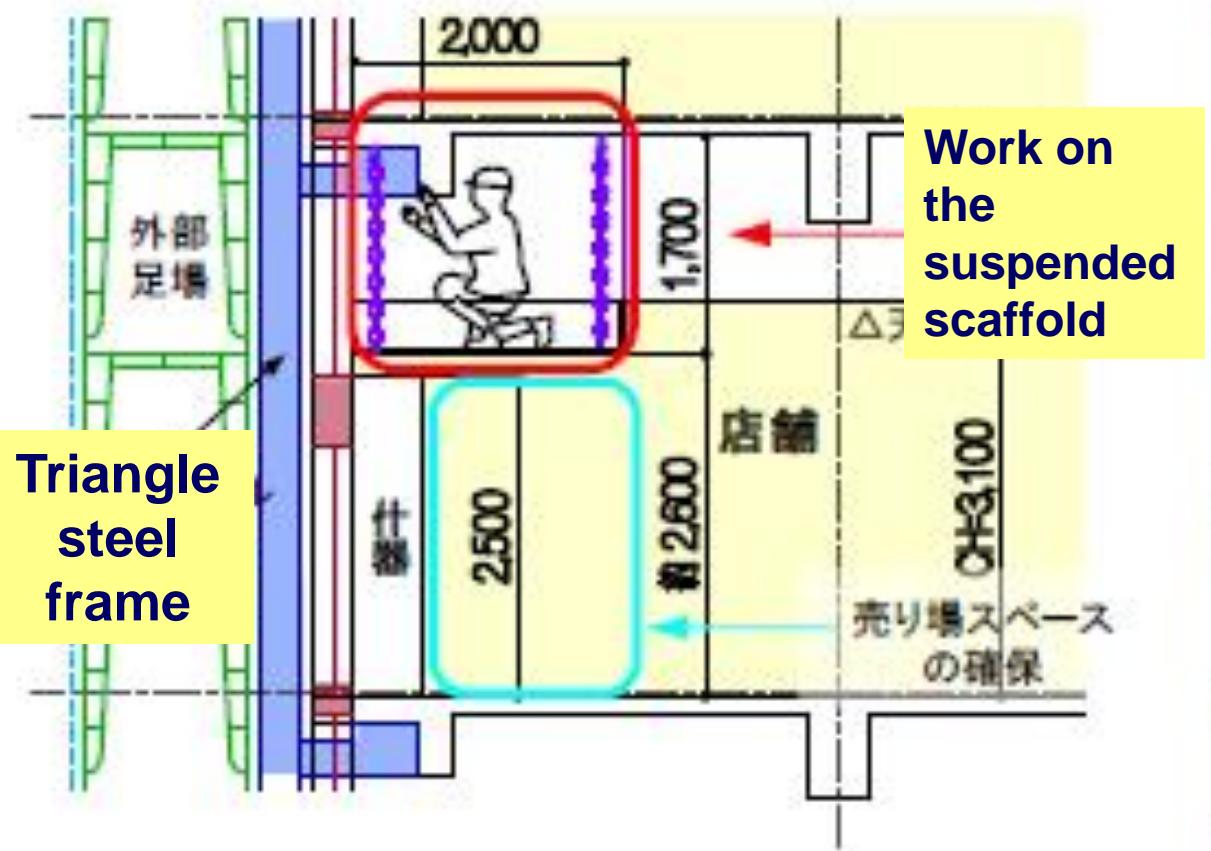
# Triangle steel frame (2)

**Square Steel tube**  
 $\square - 400 \times 400 \times 19$



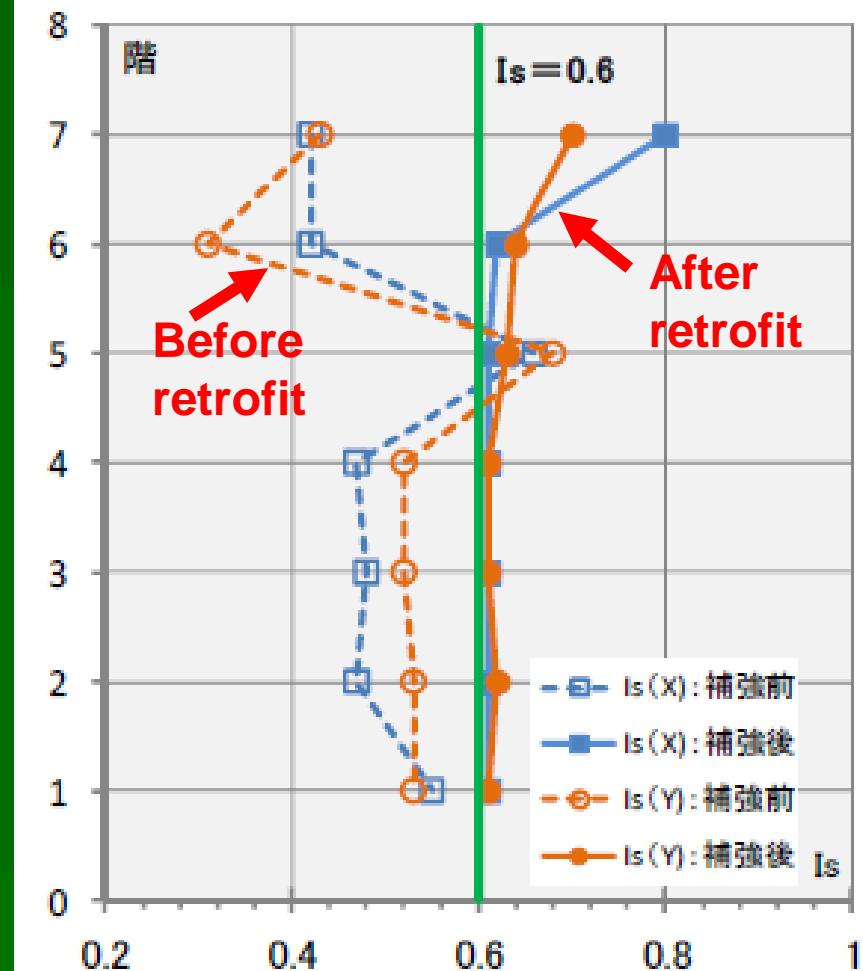
**Steel plate PL-19**

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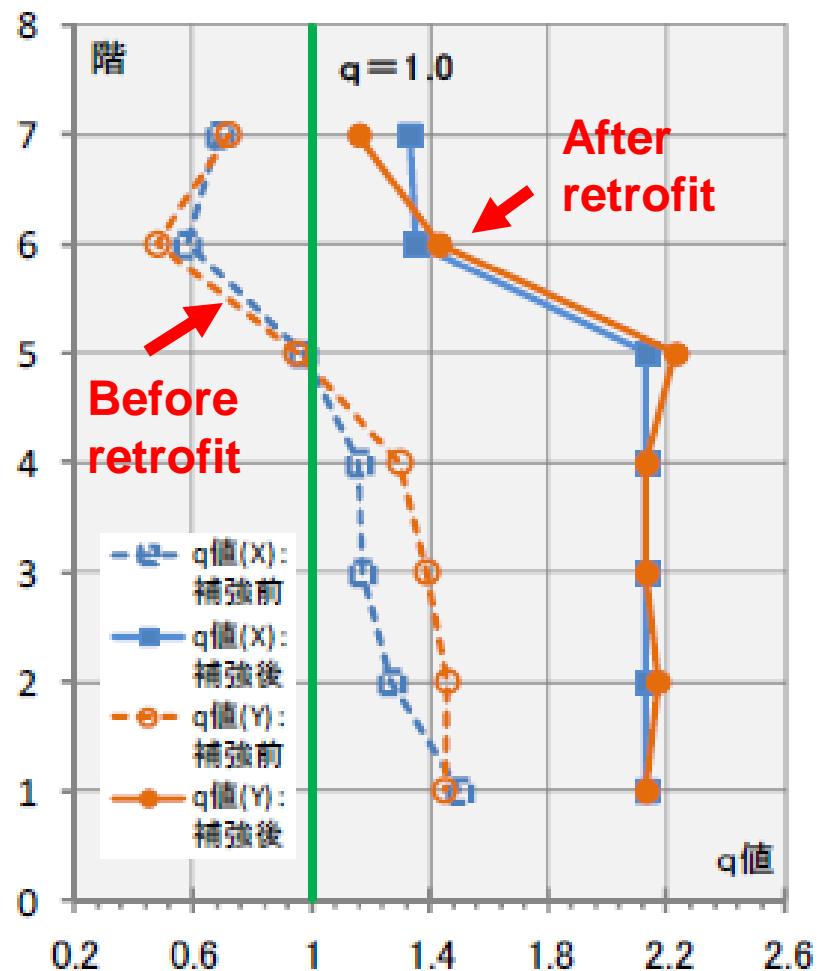


# Retrofit without disturbing the habitability

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Comparison of Is index



Comparison of q index (Ratio of strength and required strength)

# Construction process of triangle steel frame



① excavating for pile

② Top of pile

③ Rebars of footing

④ Casting of footing concrete



⑤ Setting of inside bracket



⑥ Tightening of bolt



⑦ Setting of top bracket



⑧ Injection of epoxy resin



⑨ Suspending bottom part



⑩ Setting of bottom part



⑪ Setting of triangle frame



⑫ Welding of joint part



⑬ Rust prevention painting



⑭ The finish painting



⑮ After setting



⑯ Bottom part

JBDPA 2014

# Retrofit by outside steel frame

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M.Seki (BRI,IHSEE)

# Retrofit by outside steel frame

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JBDPA

# Retrofit by outside steel buttress frame

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M.Seki (BRI,IHSEE)

# Retrofit of National Museum of Western Art, Japan



国土交通省 関東地方整備局  
Ministry of Land, Infrastructure, Transport and Tourism, Kanto Regional Development Bureau.

# **Outline of Building**

**Location : Tokyo**

**Land area : 9,287.88m<sup>2</sup>**

**Building area : 1,692.61m<sup>2</sup>**

**Story : 3F, B1F, PH 1**

**Structure : Reinforced concrete (RC)**

**(Original construction)**

**Design : Le Corbusier**

**Completion : May,1959**

**(Retrofit construction)**

**Construction term : May 1996 - March 1998**

**Construction Company: Shimizu corporation**

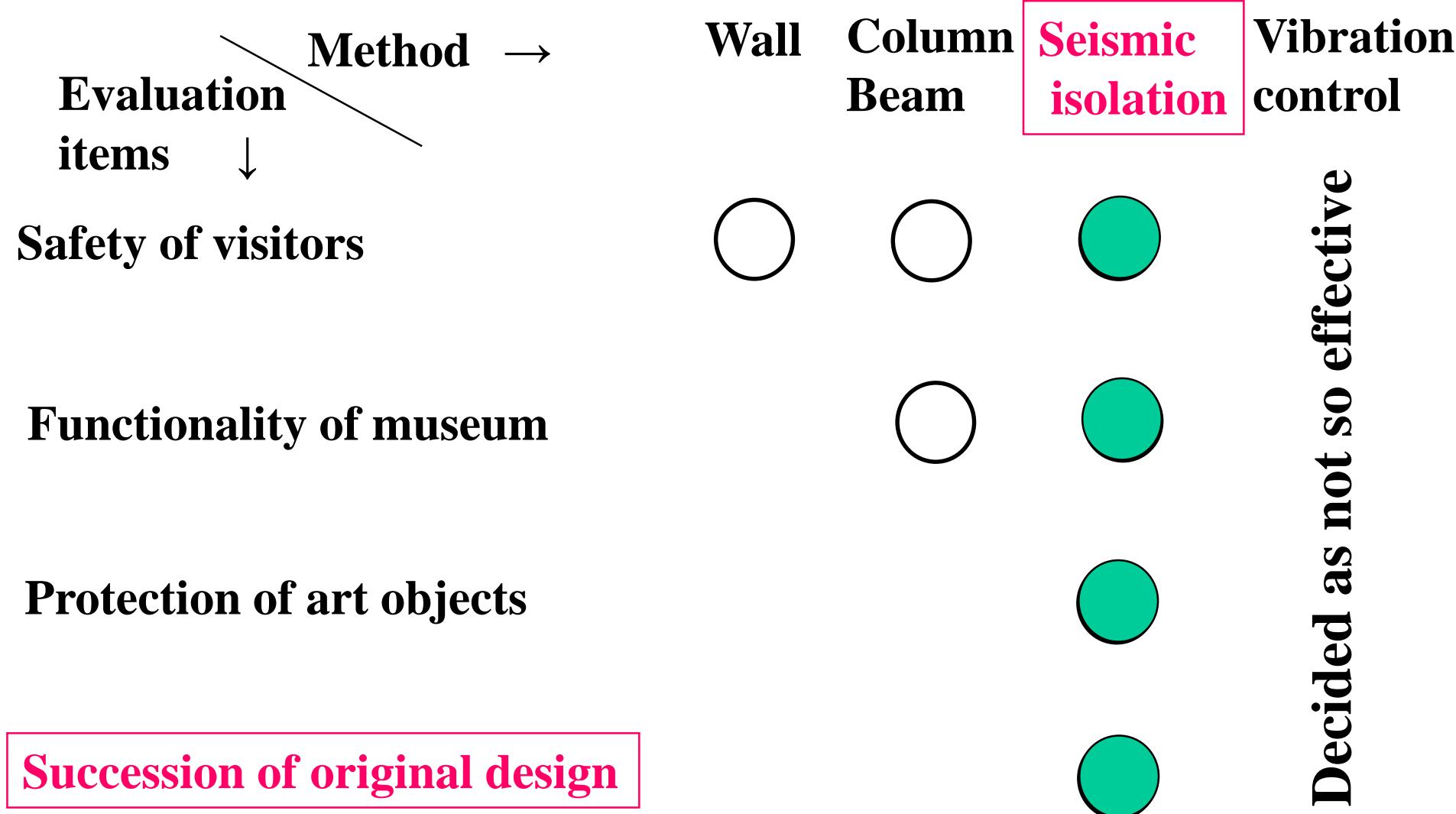
# Designed by Le Corbusier in 1957

[Charles-Edouard Jeanneret-Gris] (1887-1965)

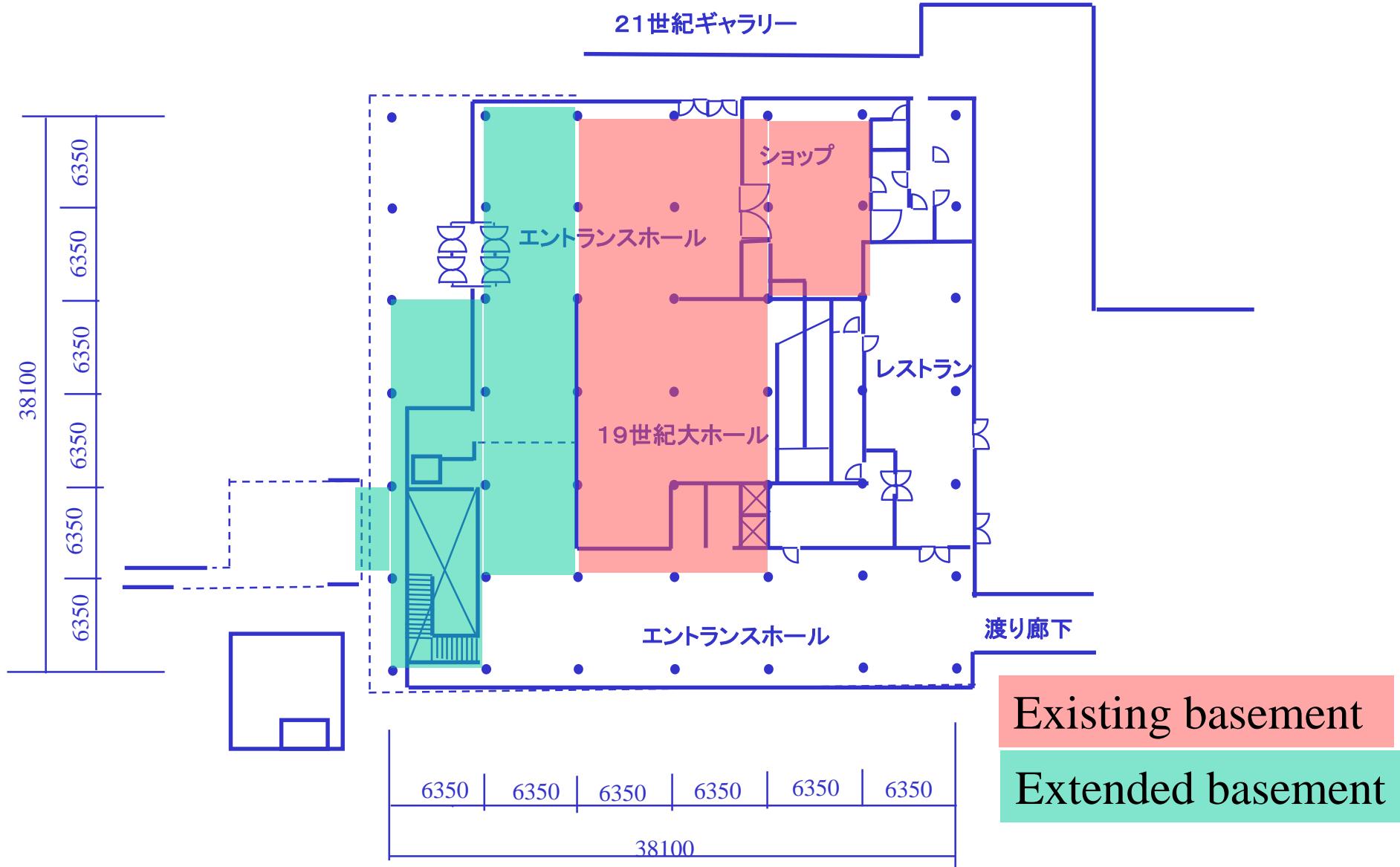
**Characteristic of his architectural design:  
Module, Piloti, Without finishing**



# Preliminary investigation of retrofit methods

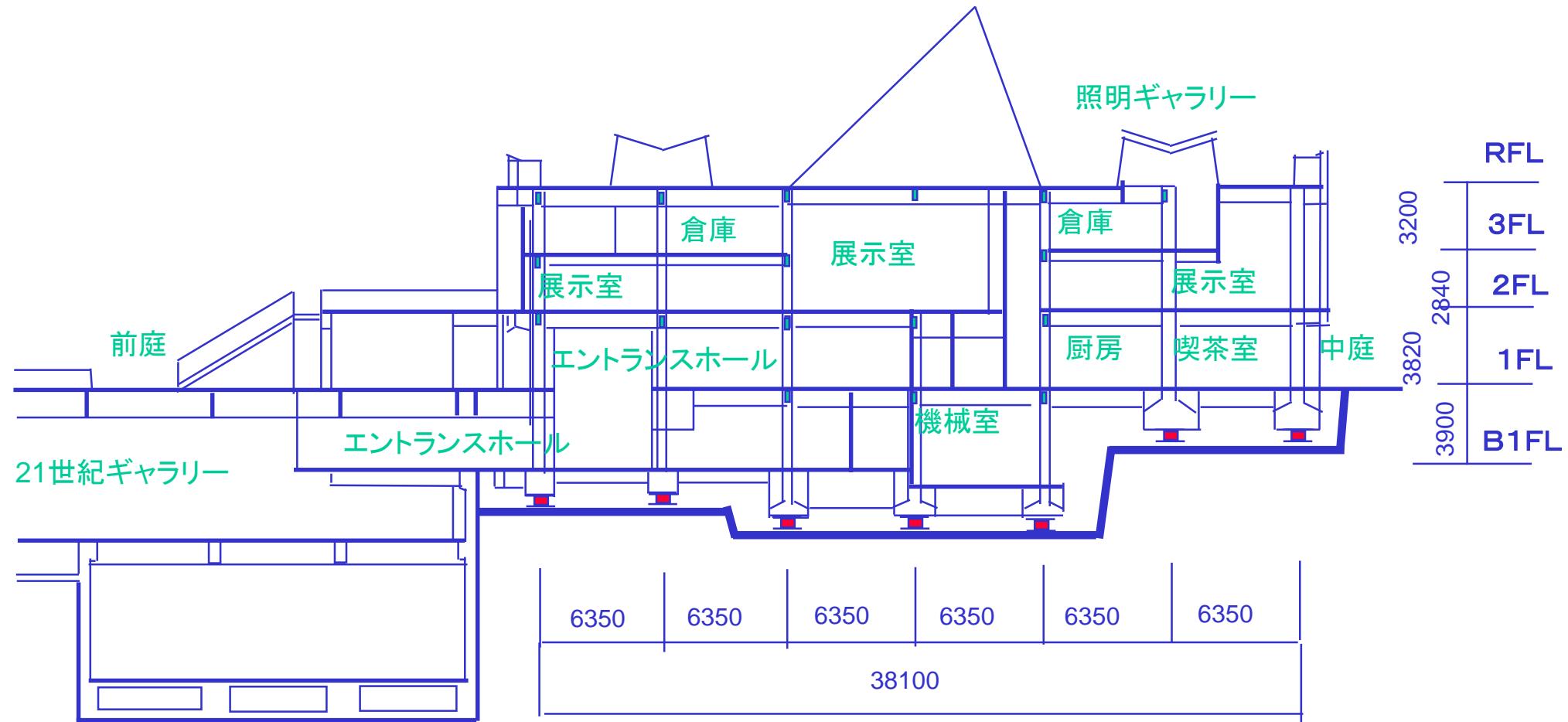


© Tsukagoshi (Shimizu Co.)



1st floor plan

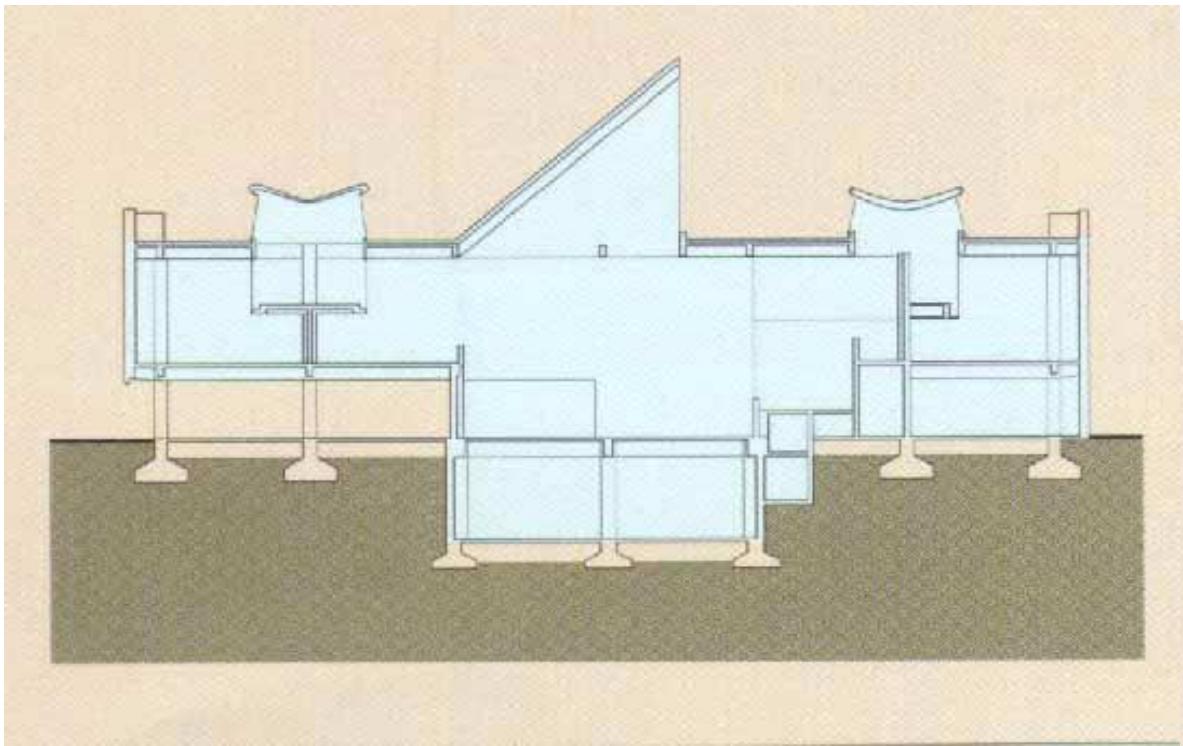
© Tsukagoshi (Shimizu Co.)



# Section

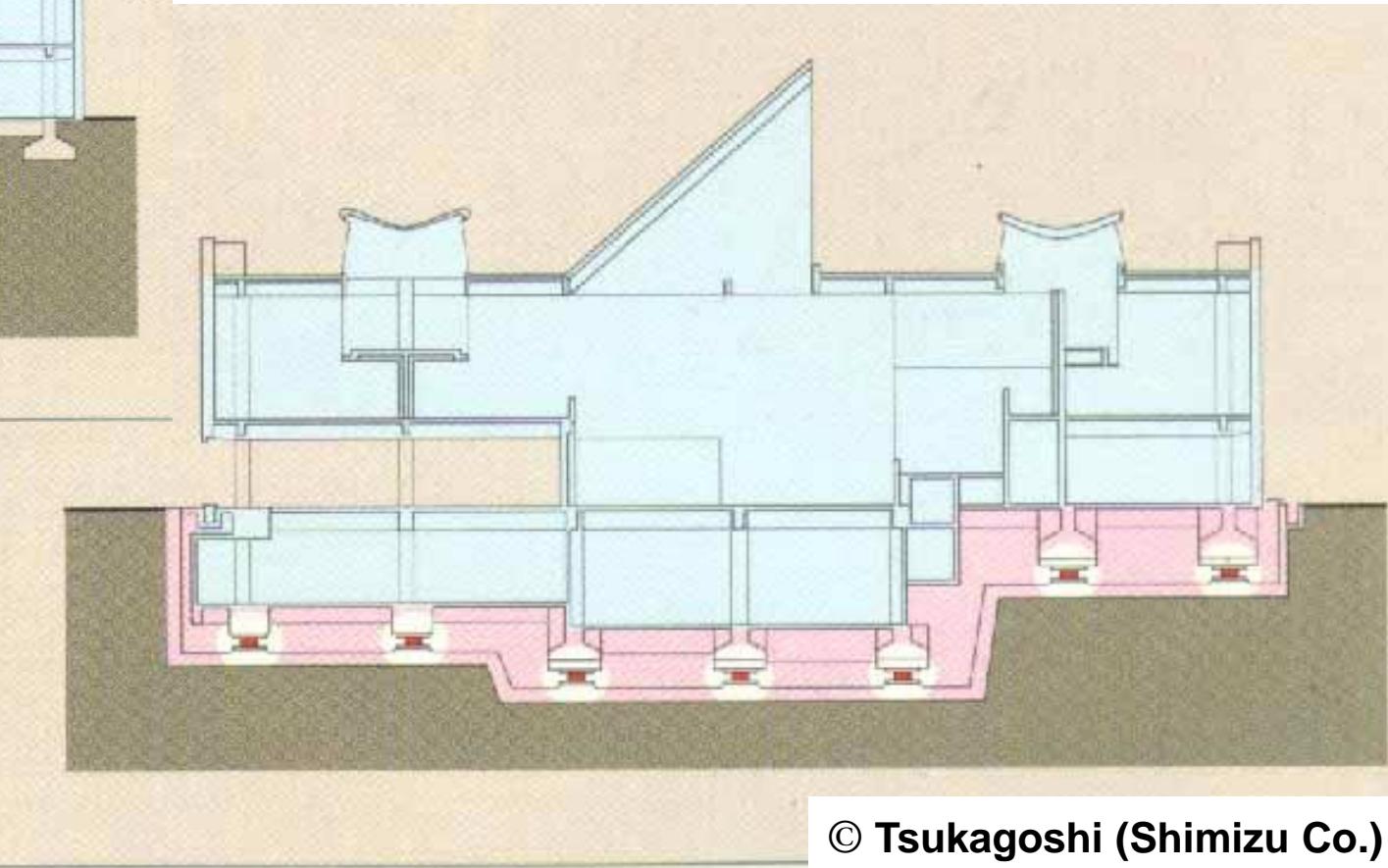
© Tsukagoshi (Shimizu Co.)

# Section of museum



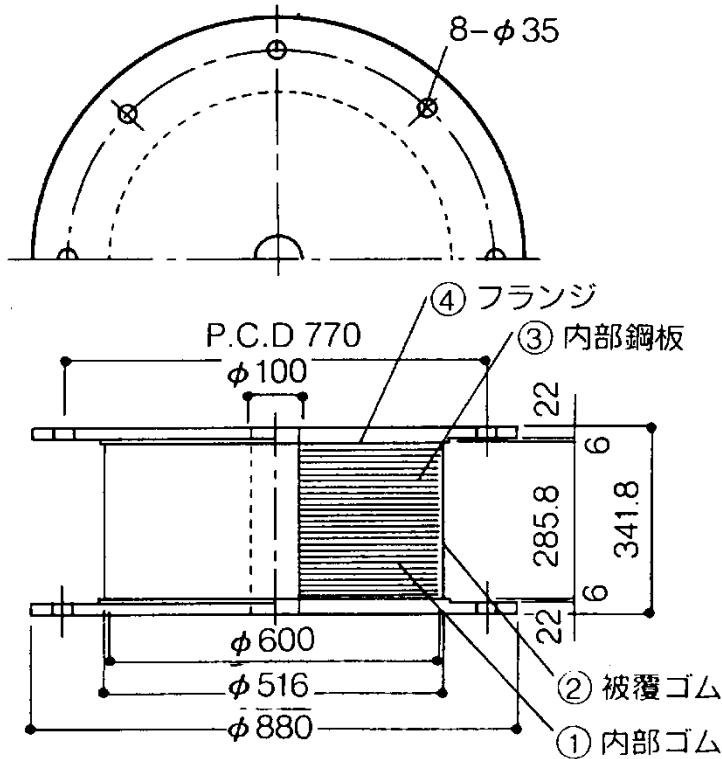
Before retrofit

After retrofit

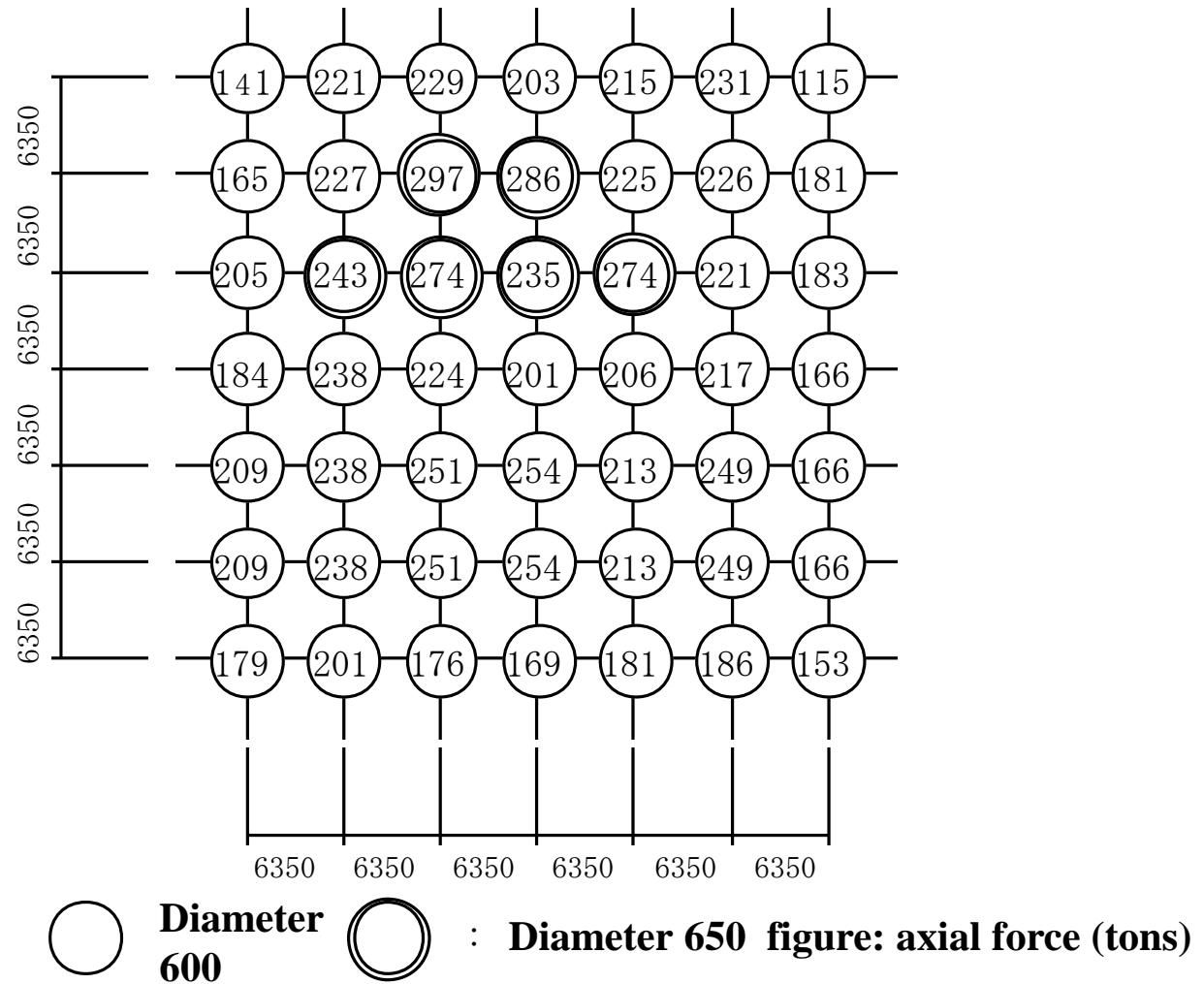


© Tsukagoshi (Shimizu Co.)

# Property and location of isolators

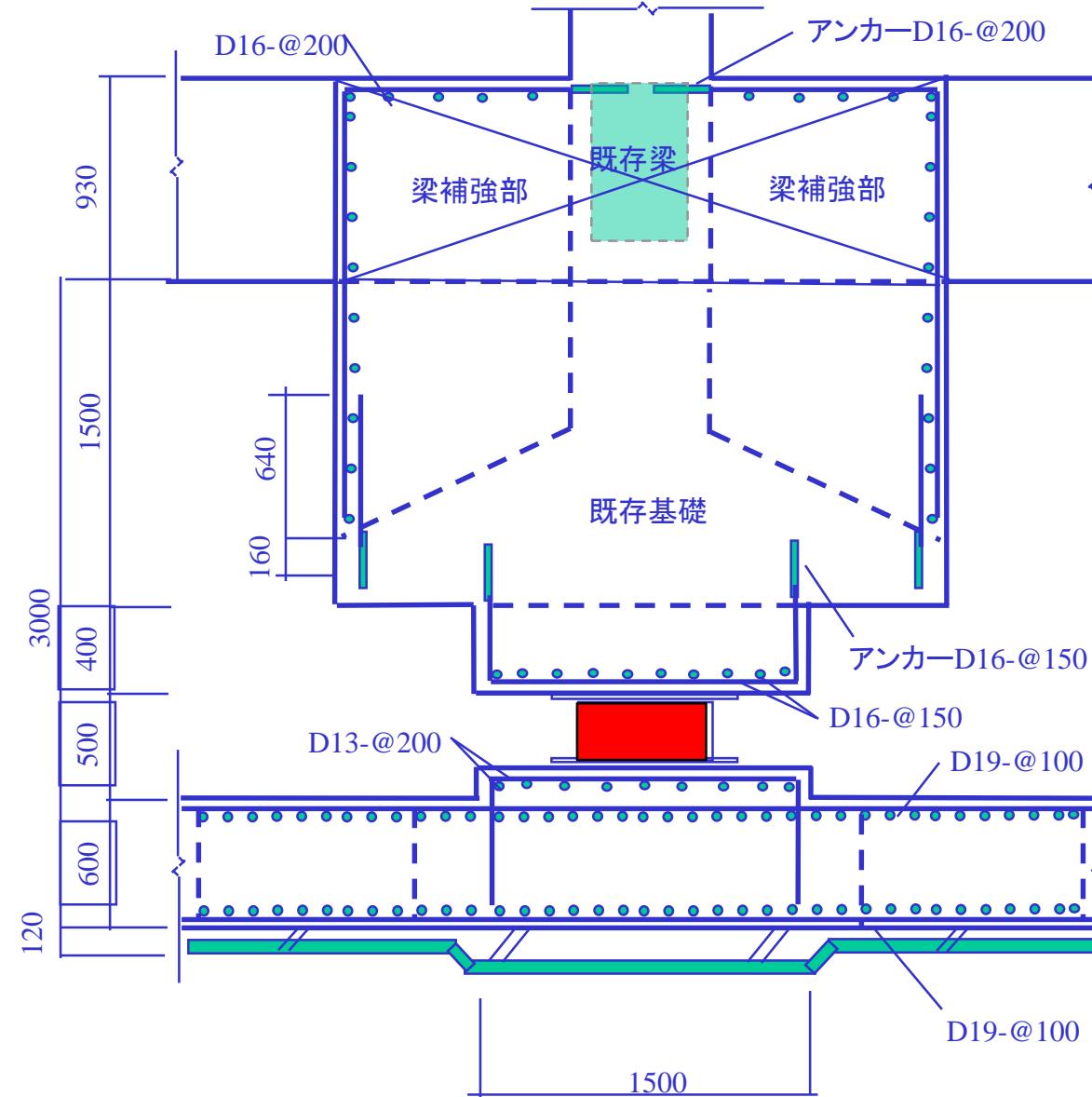


High damping rubber bearing



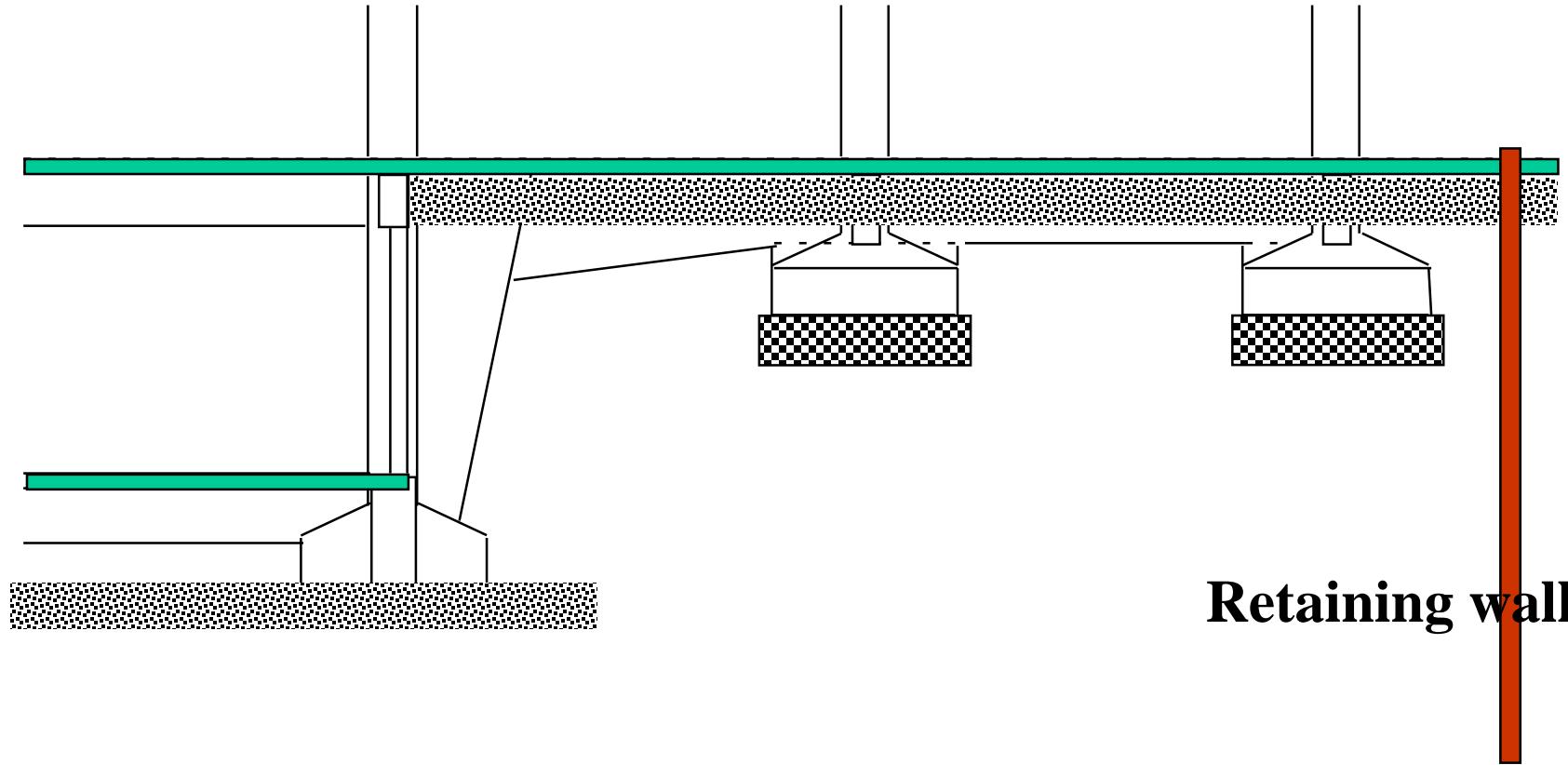
© Tsukagoshi (Shimizu Co.)

# Construction process



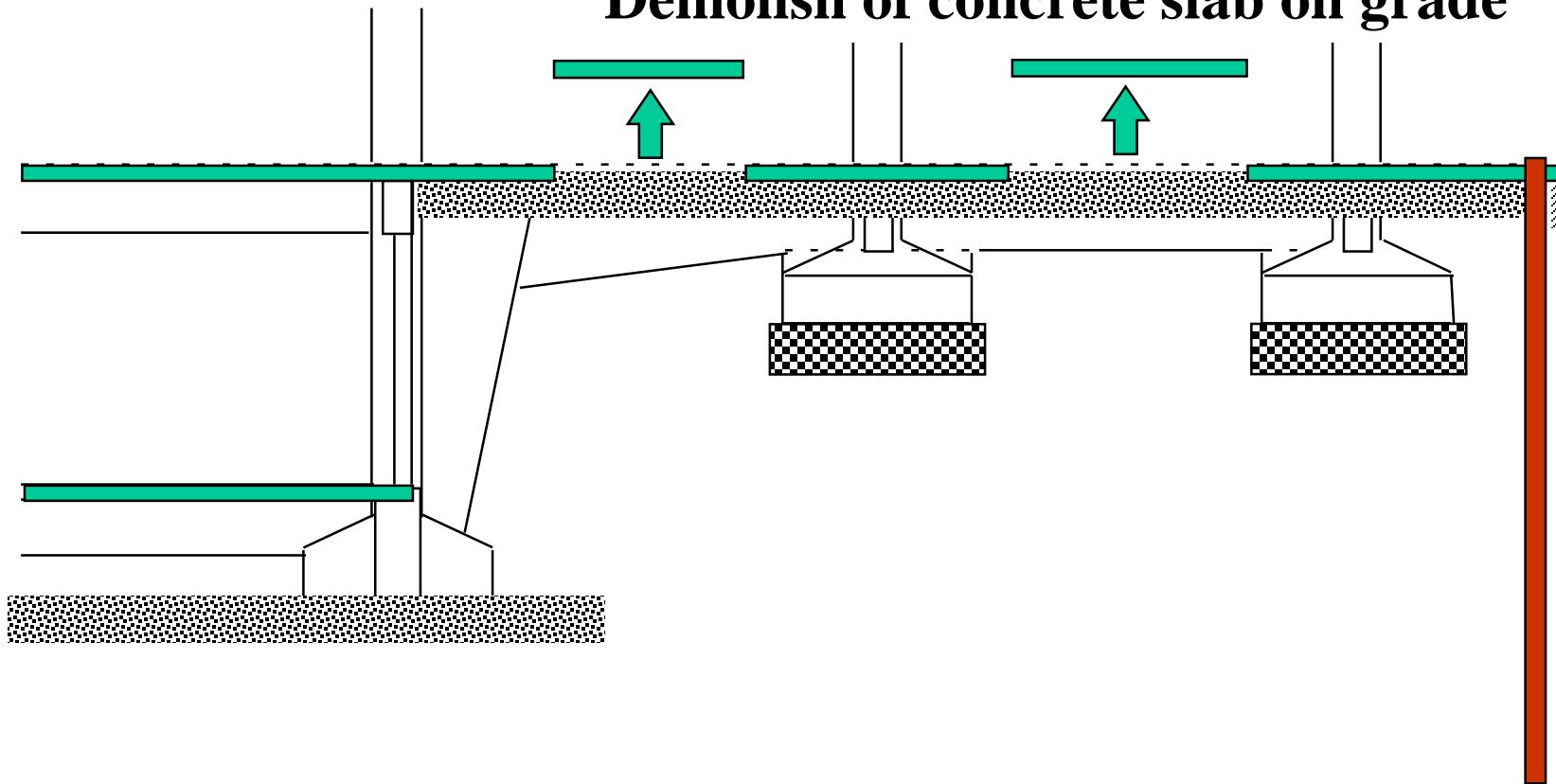
**Section of Isolator surrounding detail  
after completion**

© Tsukagoshi (Shimizu Co.)

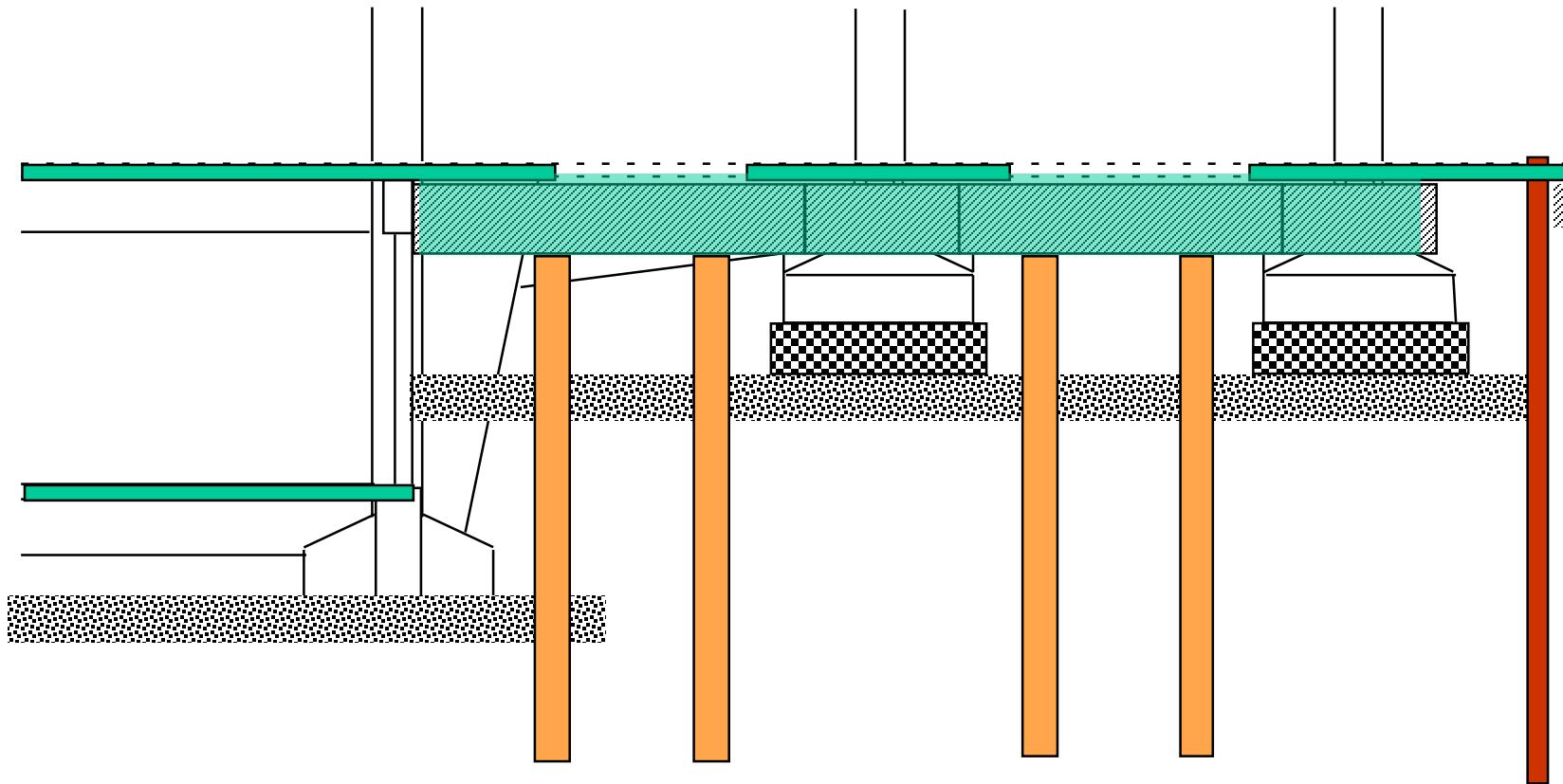


© Tsukagoshi (Shimizu Co.)

## Demolish of concrete slab on grade

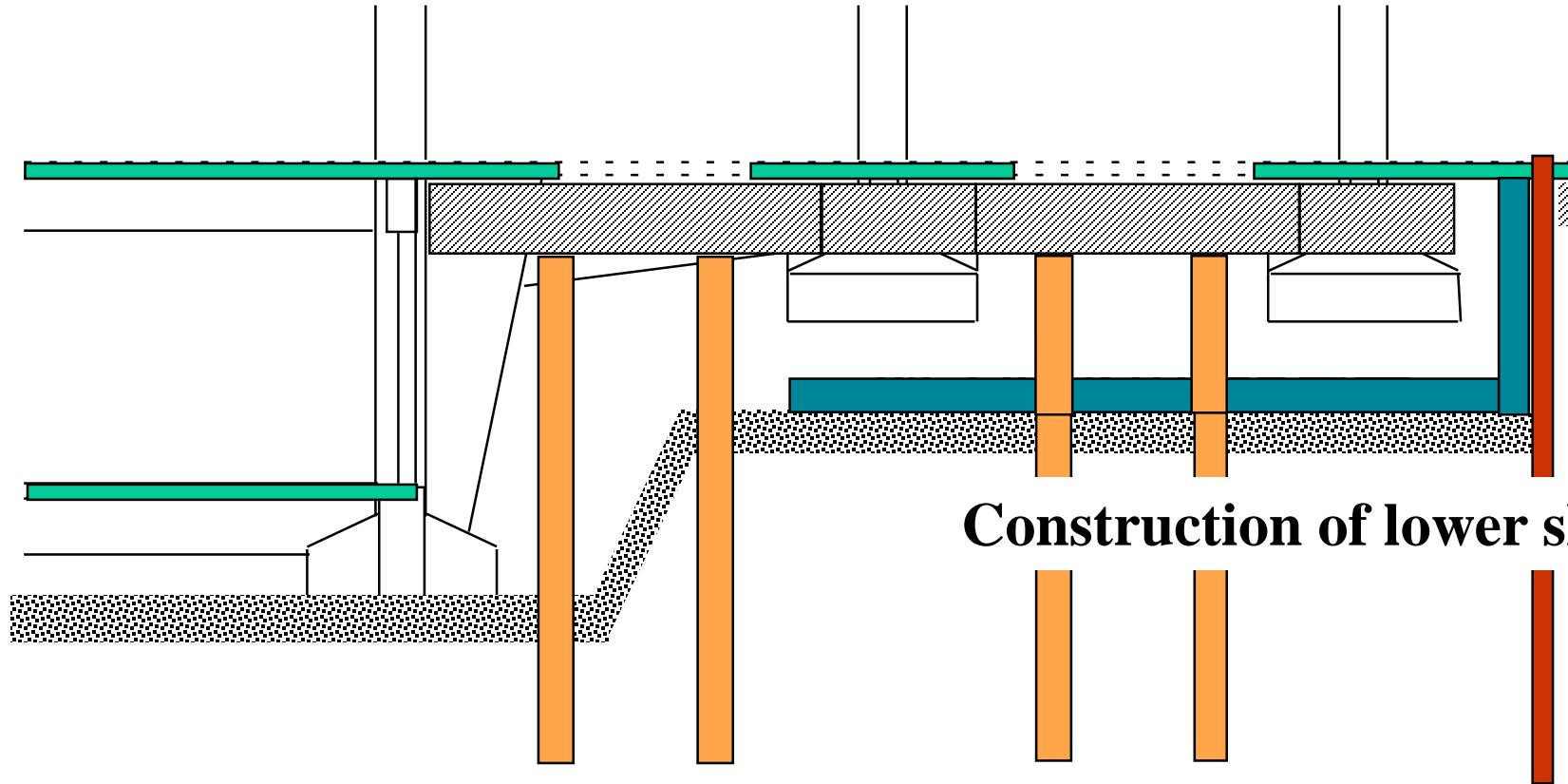


© Tsukagoshi (Shimizu Co.)



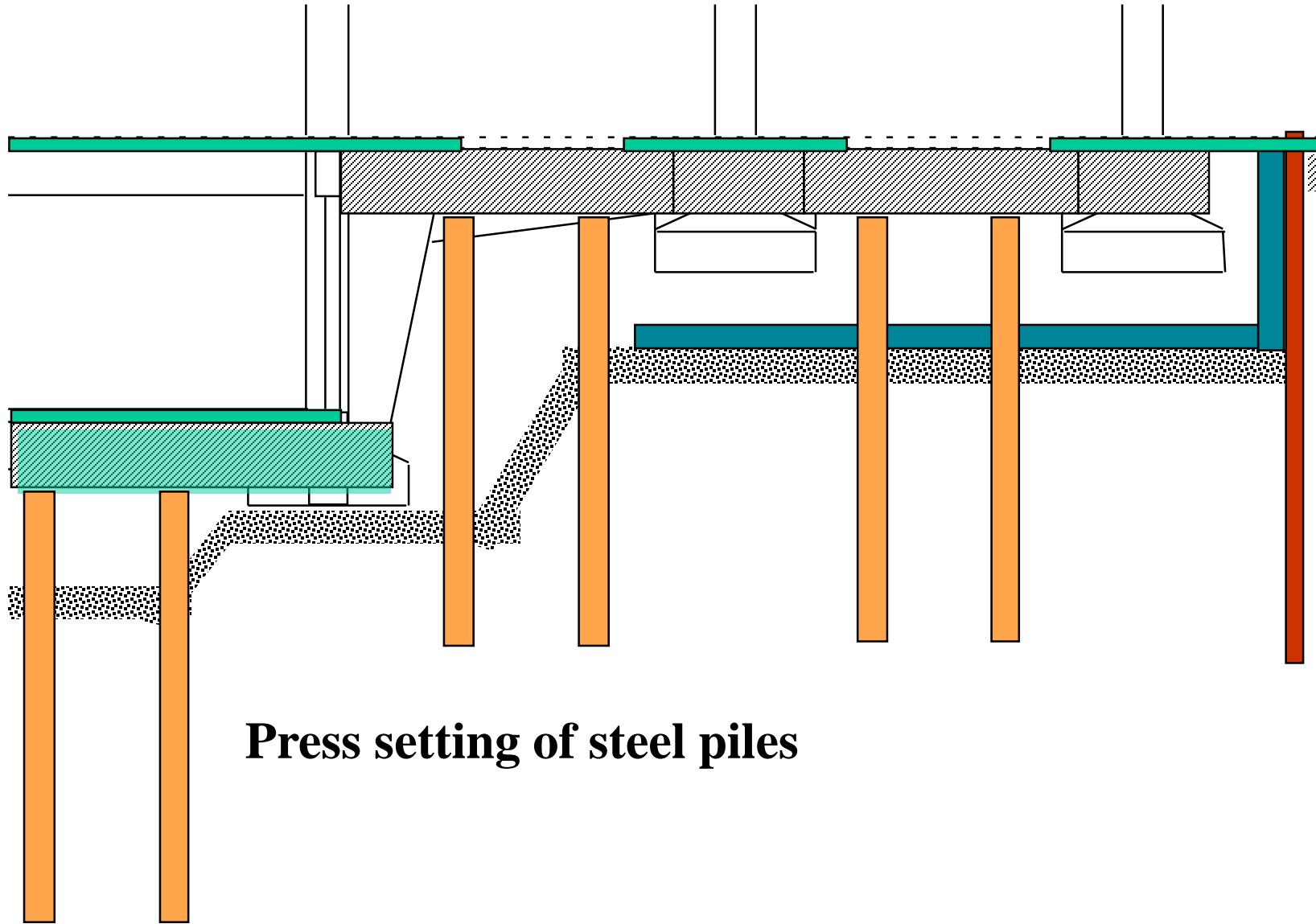
**Press setting of steel piles**

© Tsukagoshi (Shimizu Co.)



**Construction of lower slab**

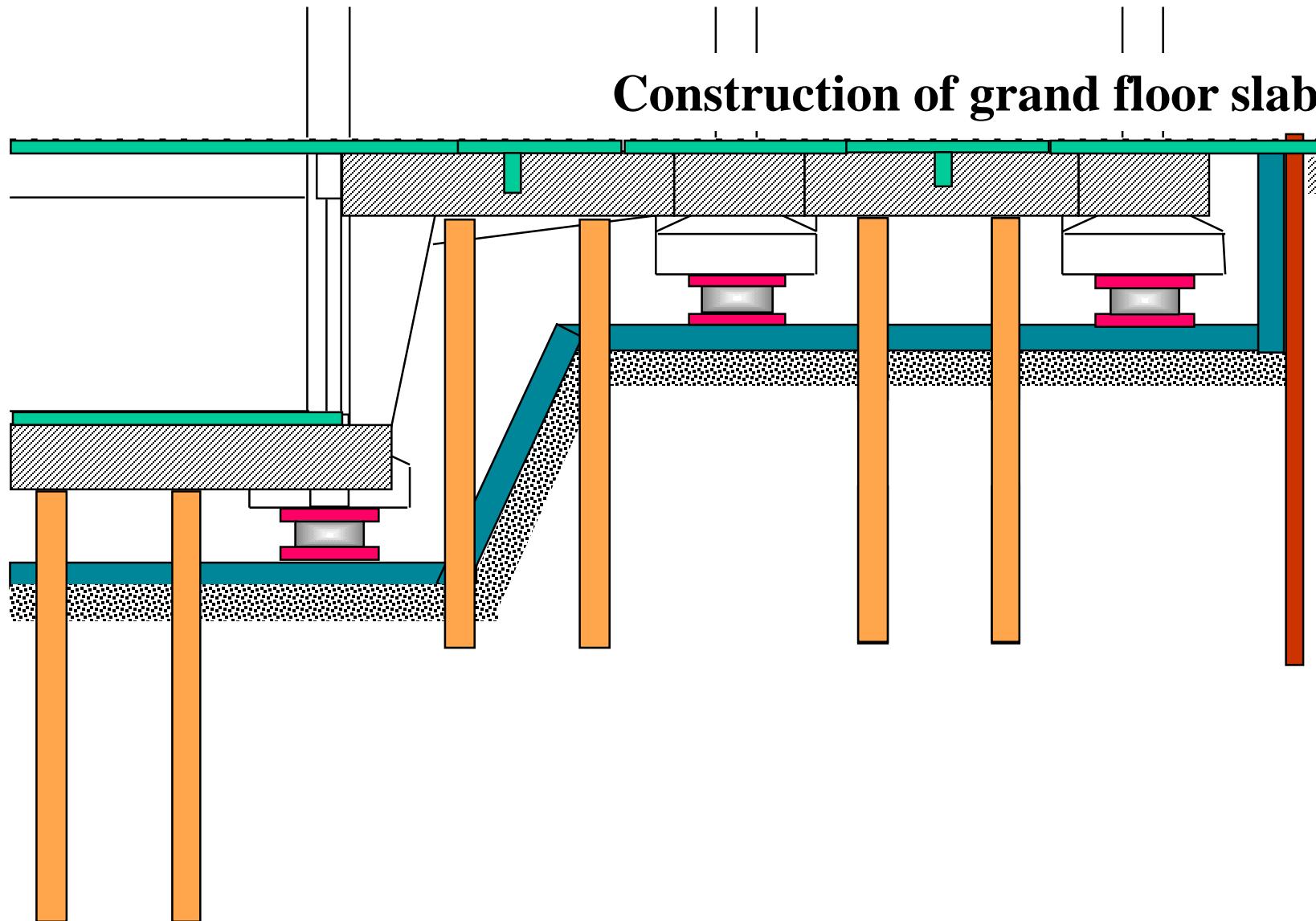
© Tsukagoshi (Shimizu Co.)



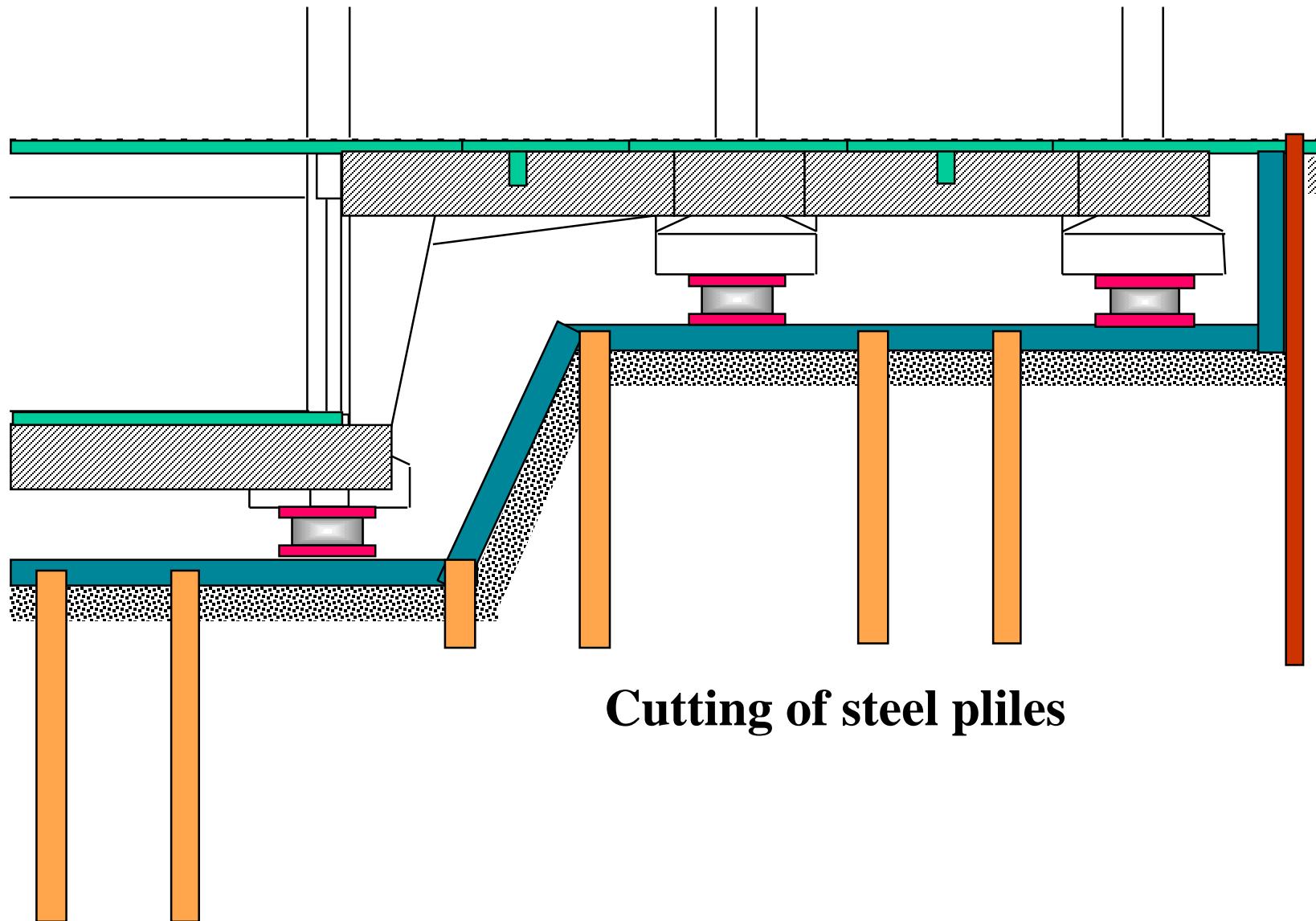
## Press setting of steel piles

© Tsukagoshi (Shimizu Co.)

## Construction of grand floor slab



© Tsukagoshi (Shimizu Co.)



**Cutting of steel piles**

© Tsukagoshi (Shimizu Co.)

# Pressing of steel piles



© Tsukagoshi (Shimizu Co.)

# Excavating machines



© Tsukagoshi (Shimizu Co.)



© Tsukagoshi (Shimizu Co.)

# Removal of rubble concrete



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Seki M., UTCB Lecture note, May 2017, BRI, Japan



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# Setting of seismic isolators



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Seki M., UTCB Lecture note, May 2017, BRI, Japan



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# Seismic isolation retrofit in NZ

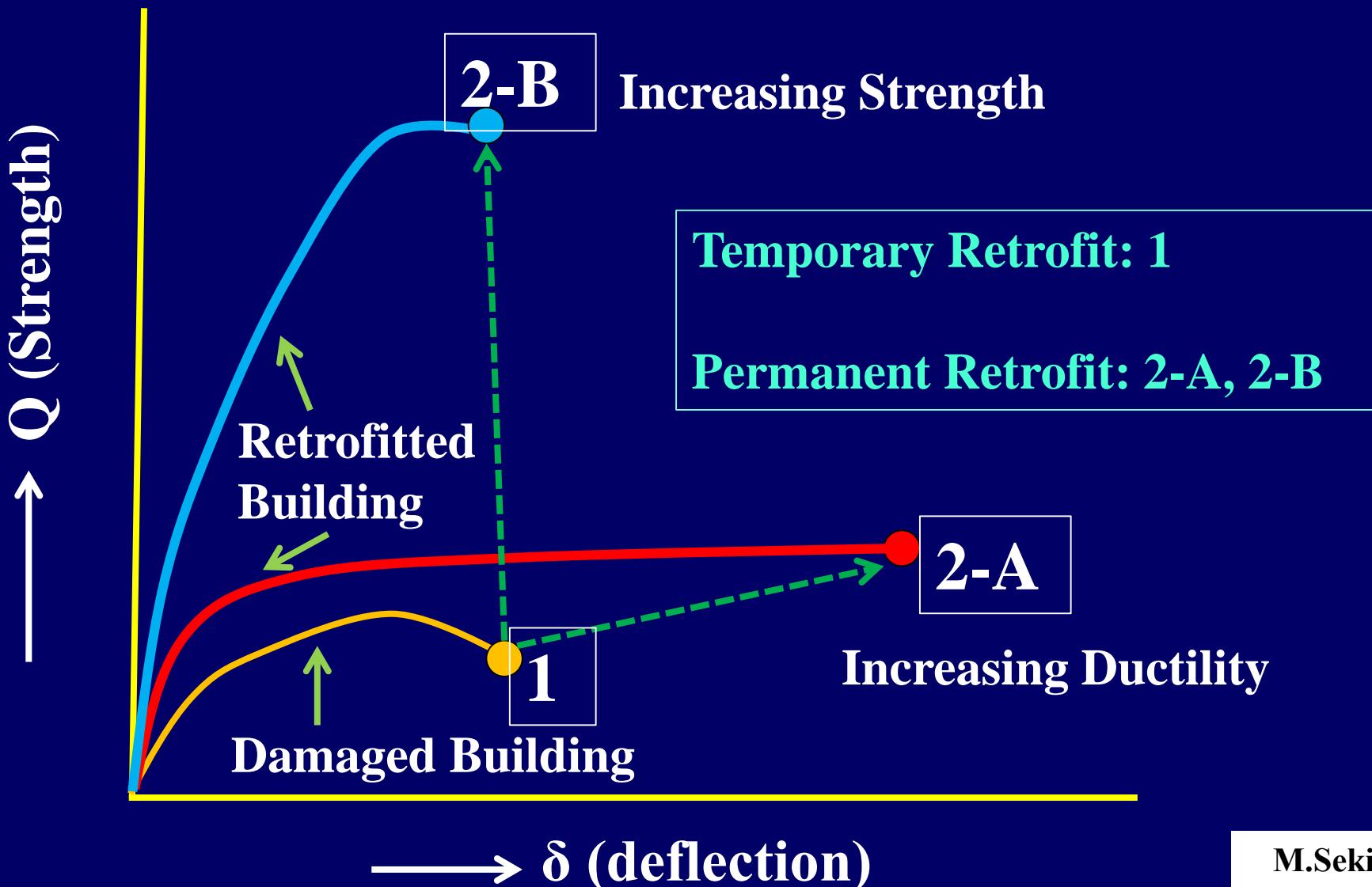


NZ Retrofit Video

# **Temporary repair and permanent strengthening after earthquakes**

# **Temporary Retrofit Permanent Retrofit of damaged buildings in 1995 Hyogoken Nanbu (Kobe) earthquake**

# Definition of Retrofit for Damaged Building



M.Seki (BRI,IISCE)

# Temporary retrofit

Steel post



Photo:M.Seki )

# Temporary retrofit

Steel post



M.Seki (BRI,ISEE)

# Temporary retrofit

Steel post



M.Seki (BRI,IISCE)

# Temporary retrofit

Steel band  
plate



M.Seki (BRI,IISEE)

# Temporary retrofit

Severely  
damaged  
column  
(1994  
Sanriku EQ,  
Japan)



M.Seki (BRI ISEE)

# Temporary retrofit

**Steel post  
and restress  
steel wire  
(1994  
Sanriku EQ,  
Japan)**



M.Seki (BRI,IISEE)

# Temporary retrofit

Residential  
building  
(Superstructure:  
no damage)



M.Seki (BRI,IISSEE)

# Temporary retrofit

Residential  
building  
(Piles: damage)

Steel post



M.Seki (BRI,IISEE)

# Temporary retrofit

Residential  
building  
(Superstructure:  
no damage)



M.Seki (BRI,ISEE)

# Temporary retrofit

Residential  
building  
(Piles: damage)

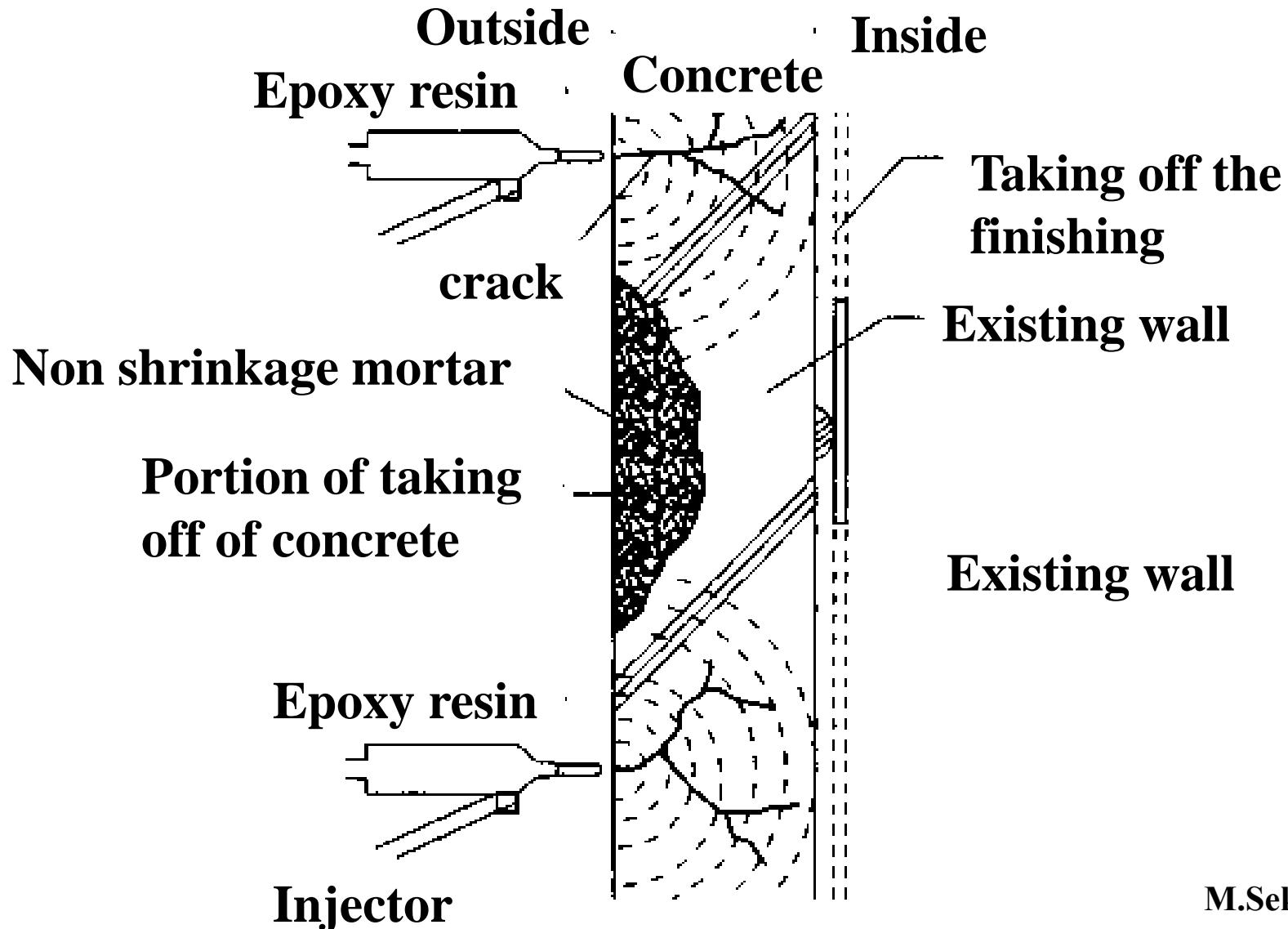
Steel post and  
jack



M.Seki (BRI,IISSE)

# Permanent retrofit

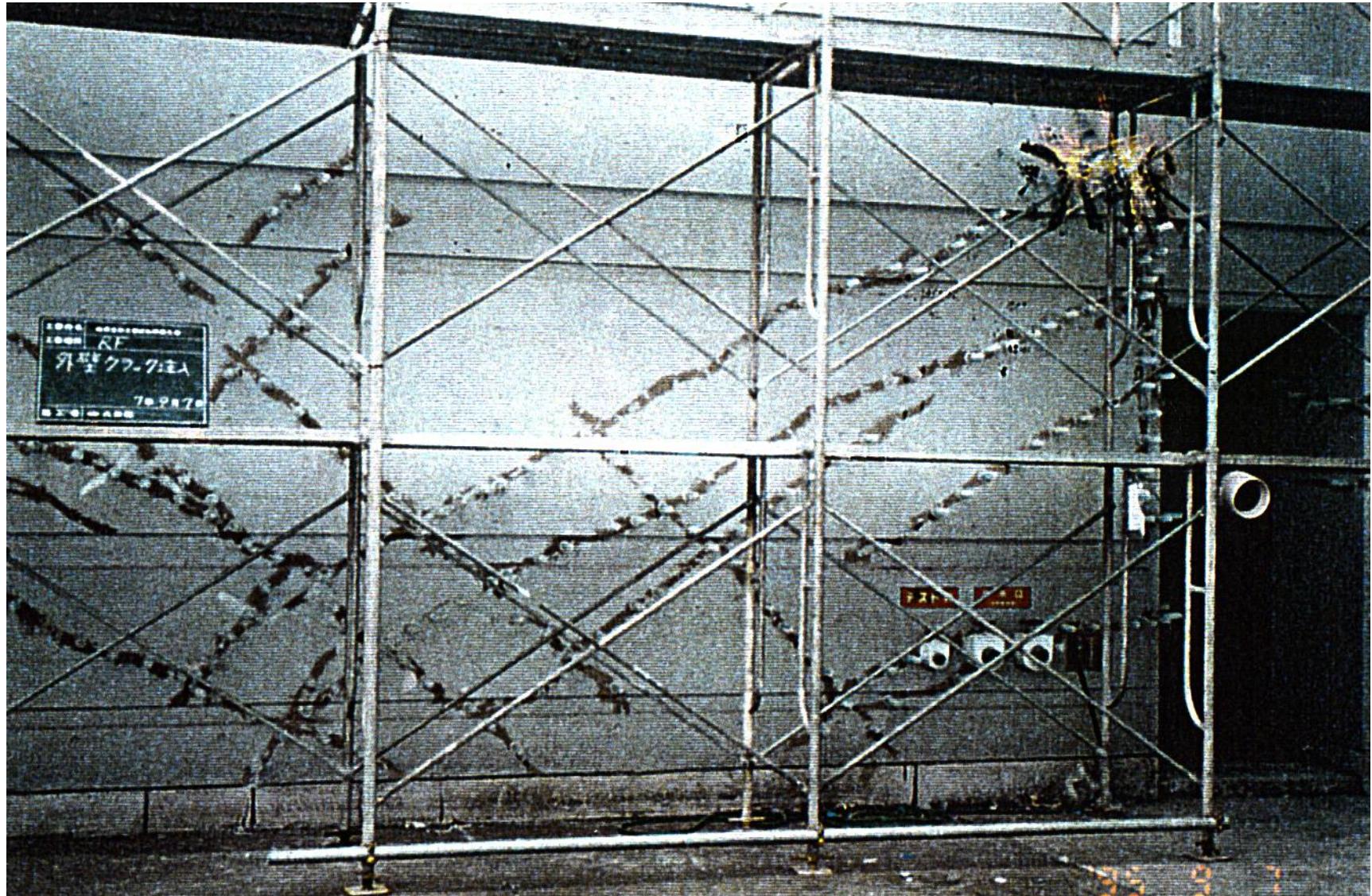
## Epoxy resin injection



M.Seki (BRI,IISCE)

# Permanent retrofit

*Epoxy resin (wall)*



M.Seki (BRI,IISSE)

# Permanent retrofit

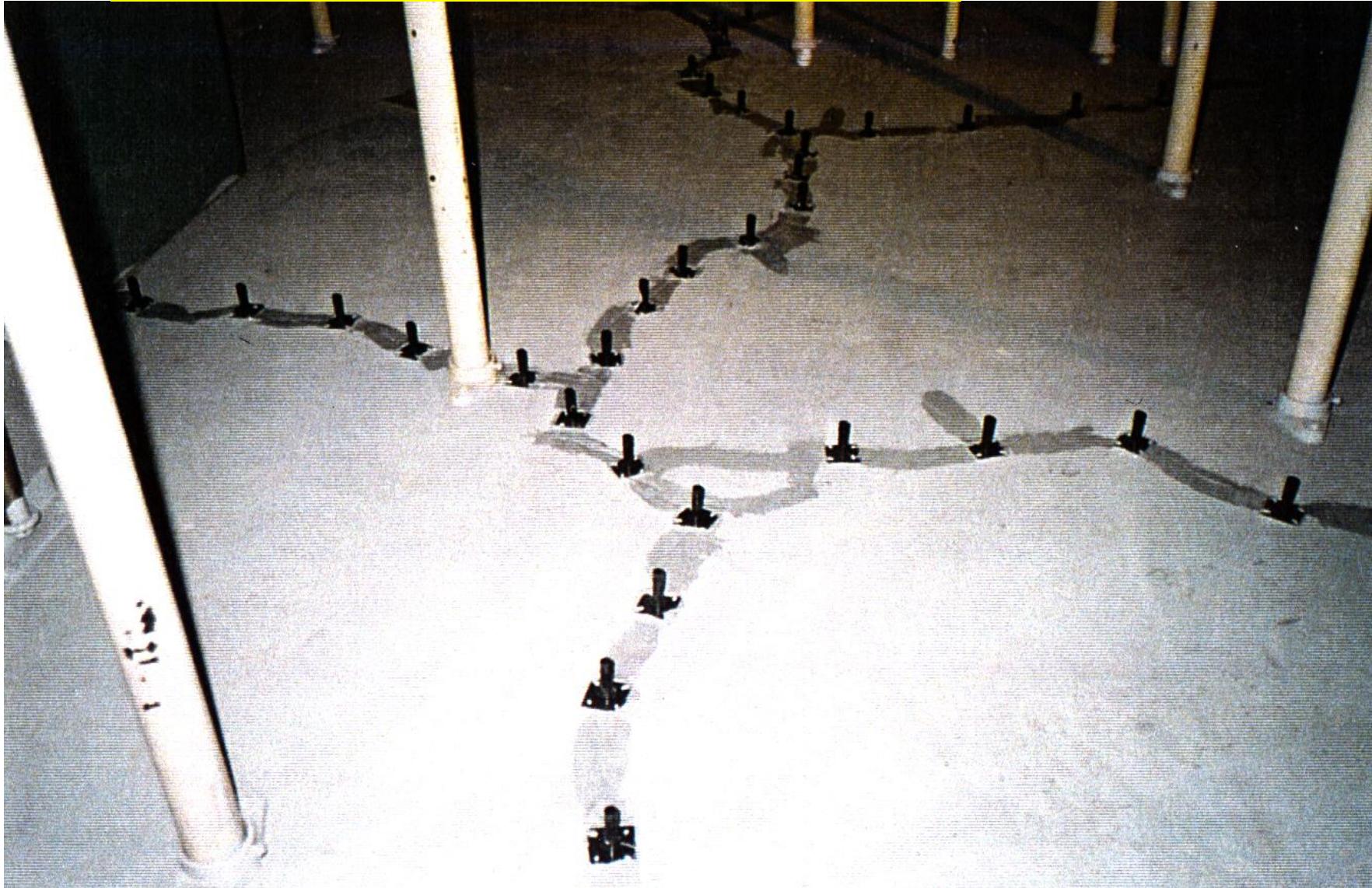
Epoxy resin  
(outside wall)



M.Seki (BRI,IISSE)

# Permanent retrofit

*Epoxy resin  
(floor slab)*



M.Seki (BRI,IISER)

# Permanent retrofit

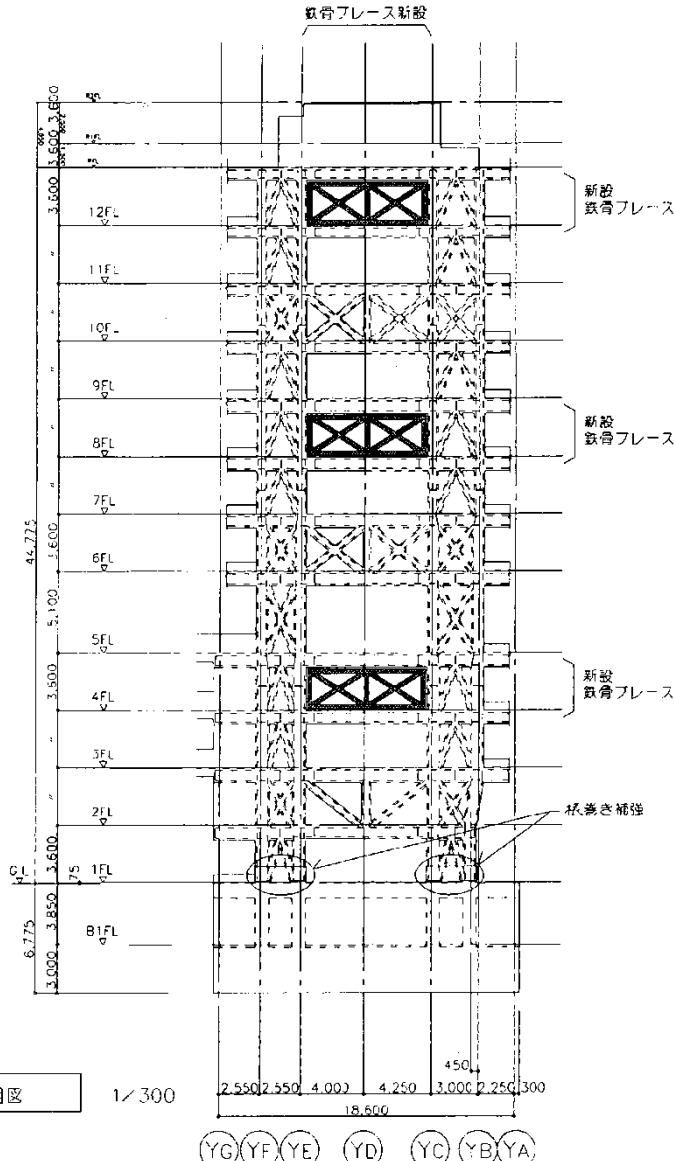
Epoxy resin  
(ceiling)



M.Seki (BRI,IISEE)

# Permanent retrofit

*Elevation of  
damaged  
building*



Steel and  
ceinforced  
concrete building  
(SRC)

M.Seki (BRI,IISSE)

# Permanent retrofit

*Damage of  
SRC brace*

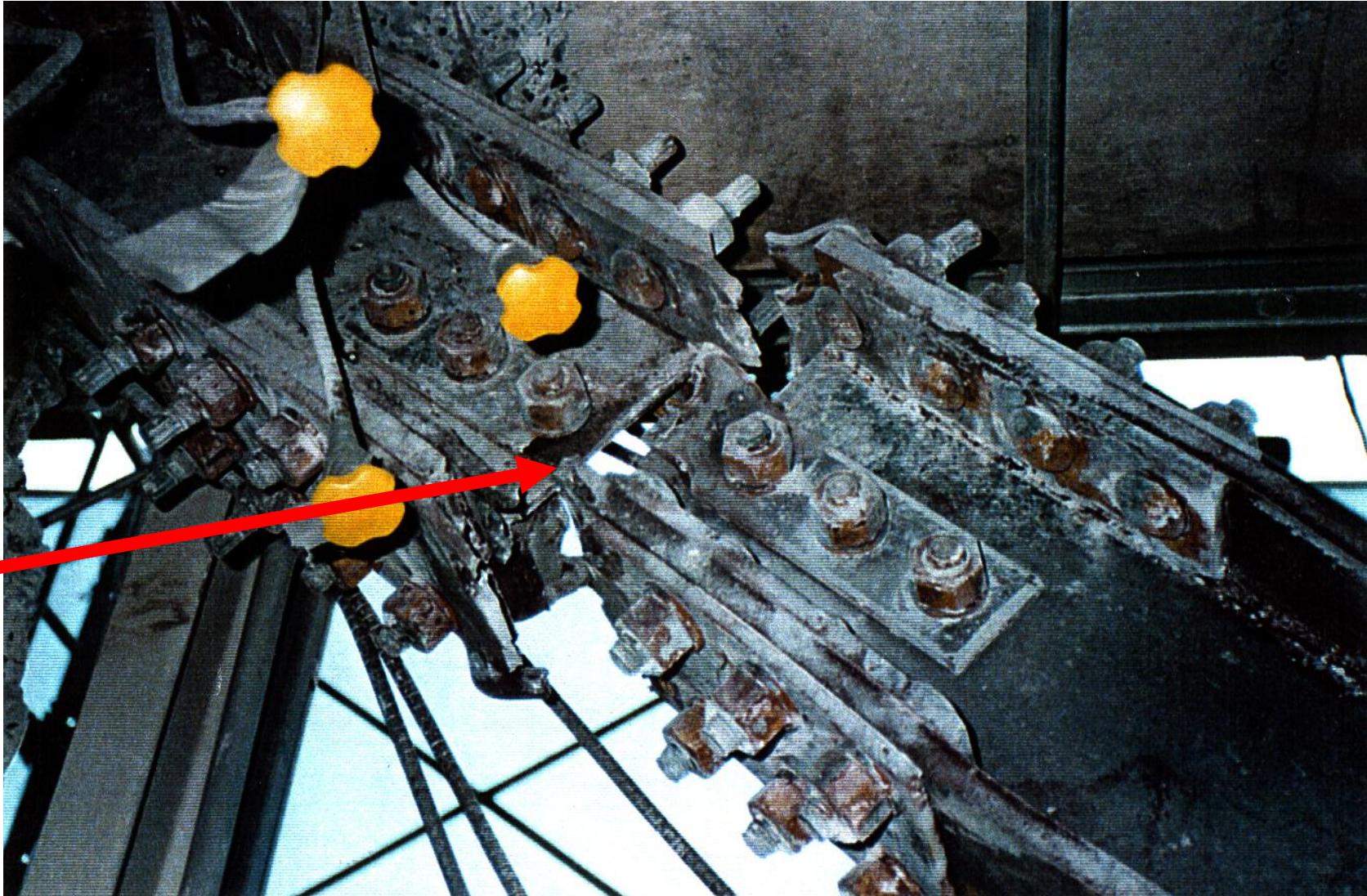


M.Seki (BRIISEE)

# Permanent retrofit

*Damage of  
SRC brace*

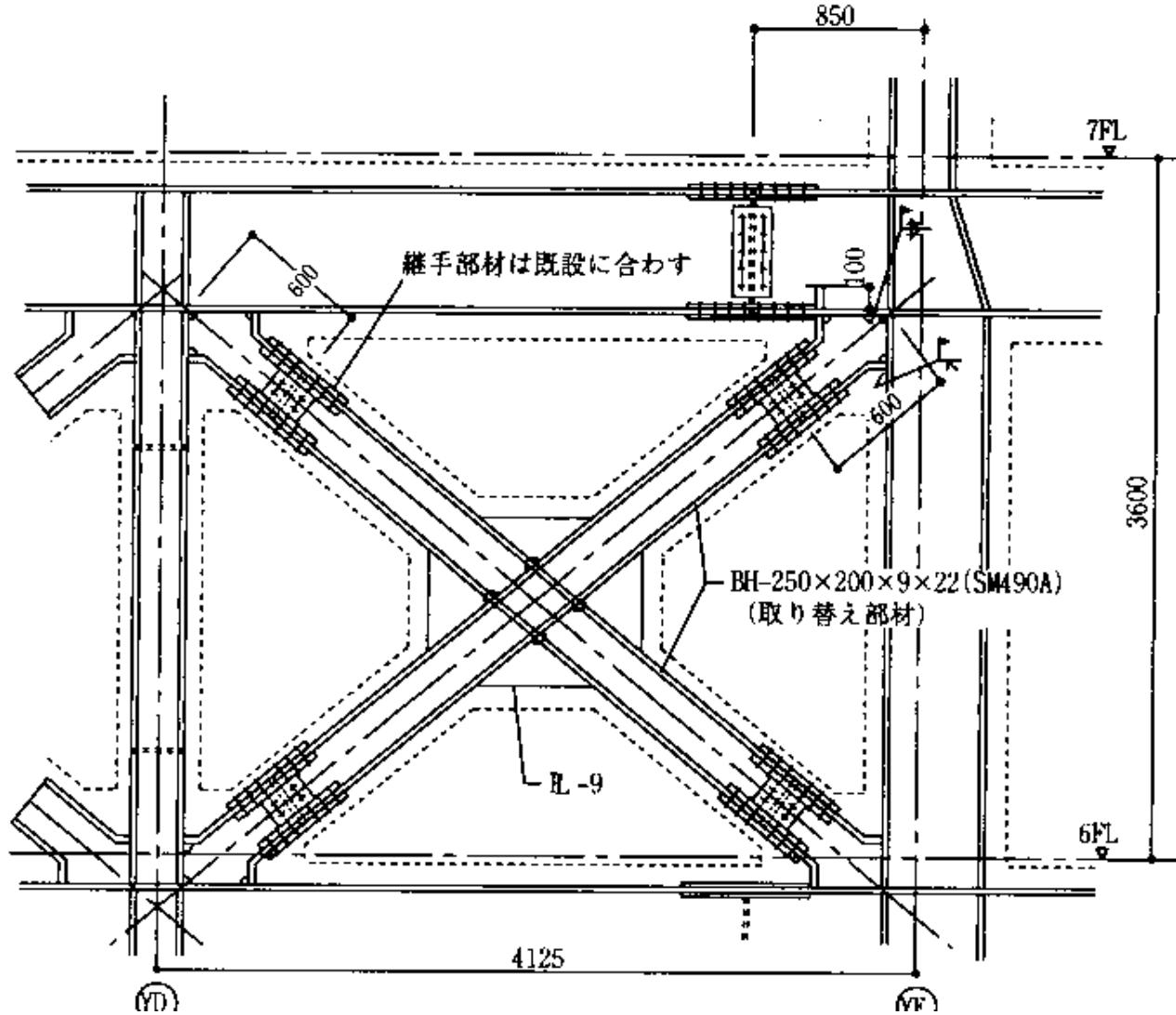
*Damage detail  
of joint plate*



M.Seki (BRI,IISCE)

# Permanent retrofit

*Retrofit detail of  
SRC brace*



M.Seki (BRI,IISSE)

# Permanent retrofit

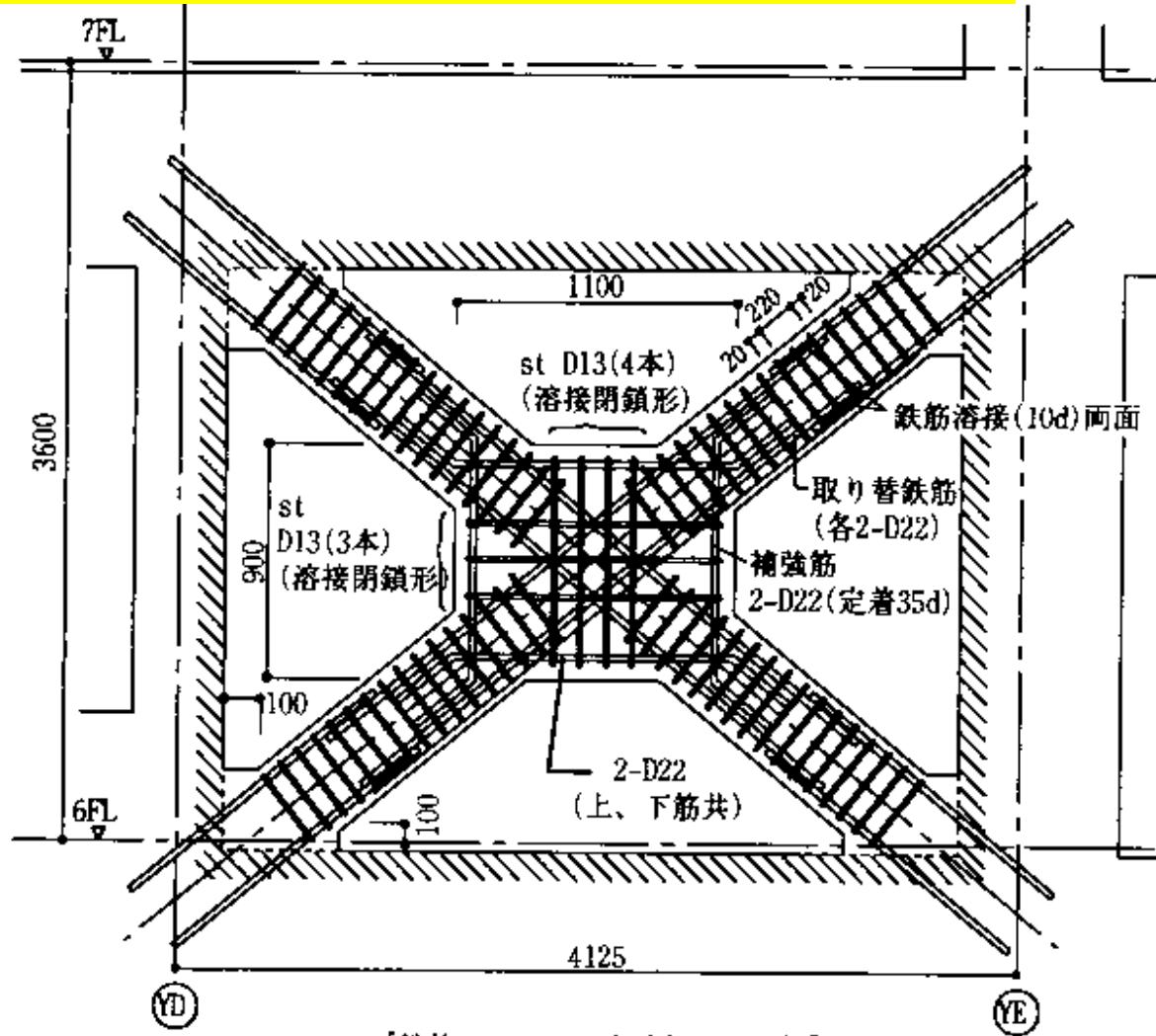
*Newly installed  
steel brace*



M.Seki (BRI,IISSE)

# Permanent retrofit

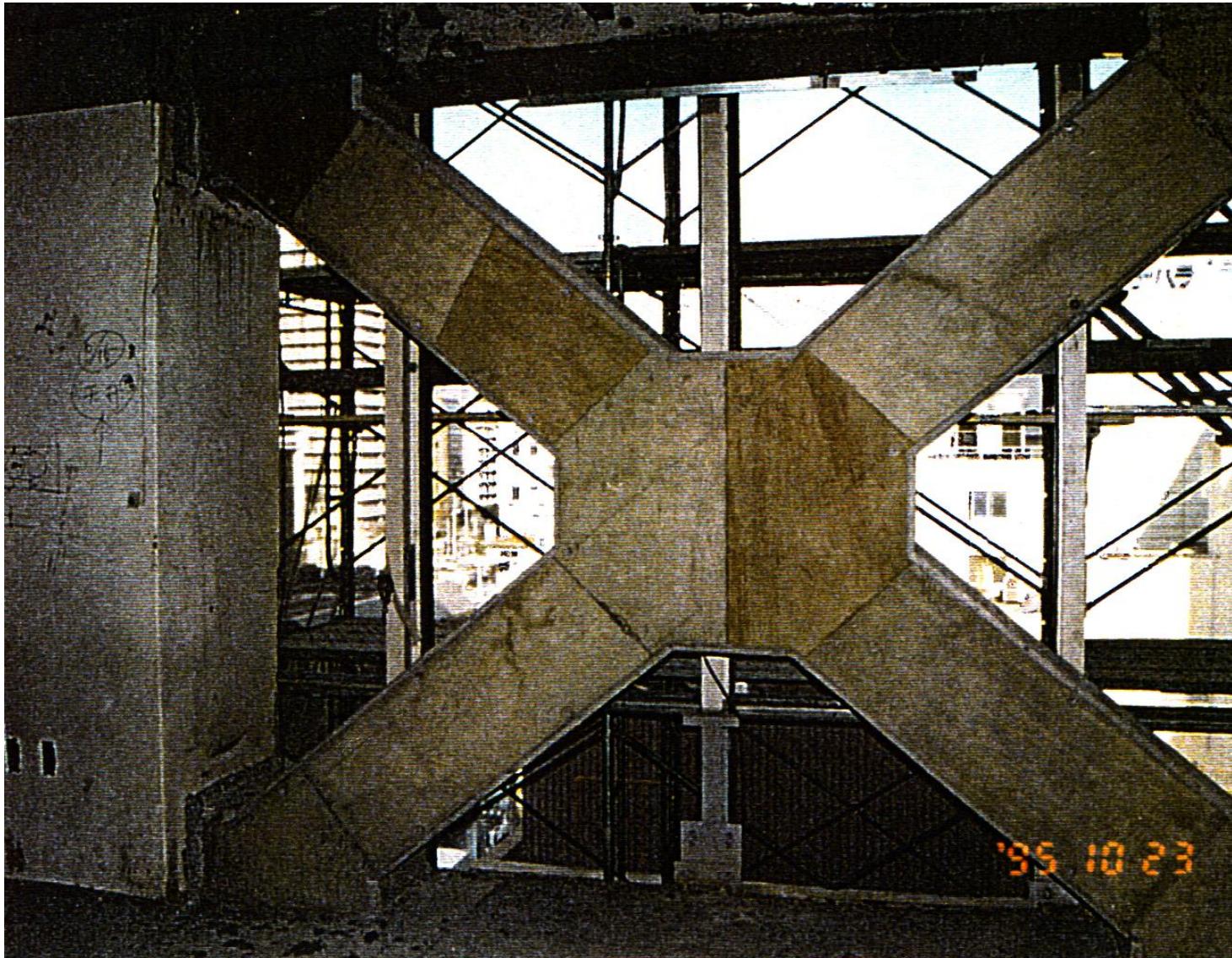
*Retrofit detail of  
SRC brace (Rebar)*



M.Seki (BRI,IISCE)

# Permanent retrofit

*After casting of  
concrete*



M.Seki (BRI,IISSEE)

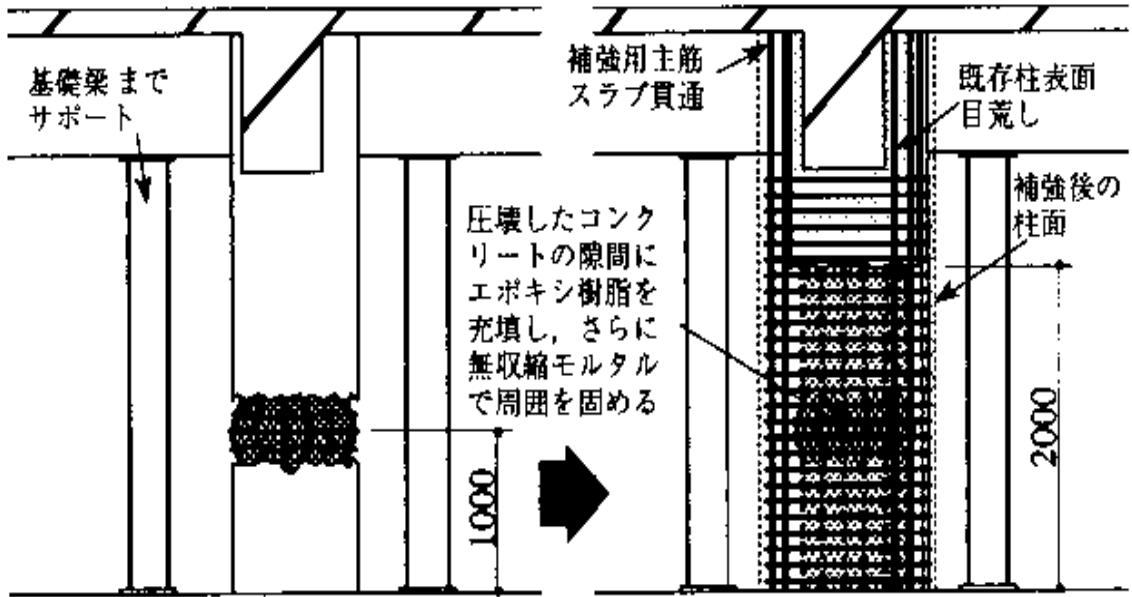
# Permanent retrofit

New steel brace



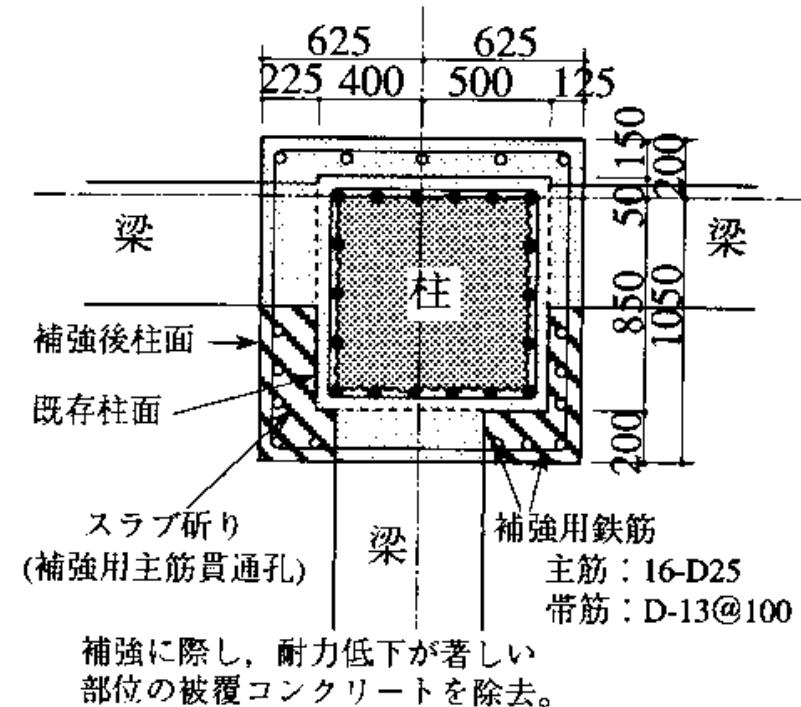
M.Seki (BRI,IISSE)

# Permanent retrofit



## Section

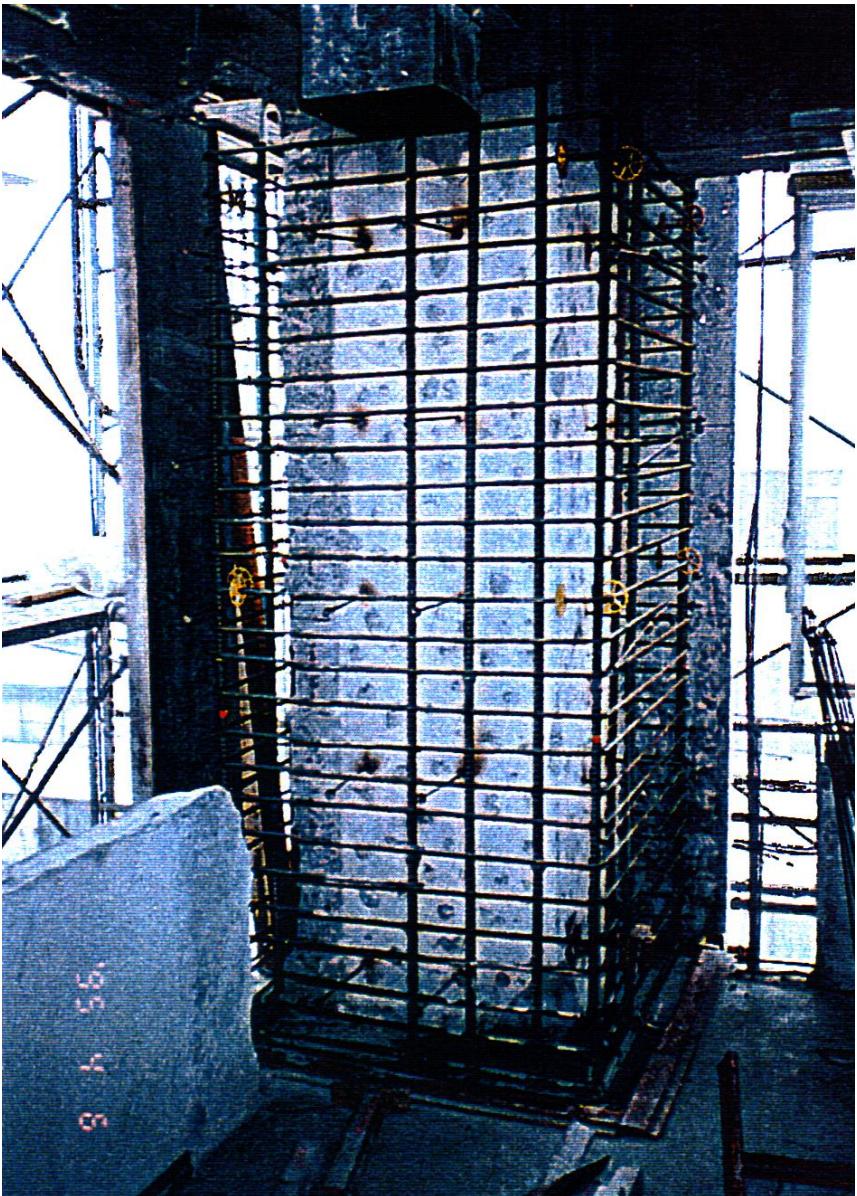
*Concrete jacketing for column*



## Plan

M.Seki (BRI,IISCE)

# Permanent retrofit



*New rebars for  
concrete jacketing*

M.Seki (BRI,IISSE)

# Permanent retrofit

Increasing of section  
at the bottom of column



M.Seki (BRI,IISSE)

# Permanent retrofit

## Steel plate jacketing



Taking off of damaged concrete portion

M.Seki (BRI,IISCE)

# Permanent retrofit

## Steel plate jacketing



Cutting the buckled  
steel bars



Steel bars connected by couplers

M.Seki (BRI,IISCE)

# Permanent retrofit

## Steel plate jacketing



M.Seki (BRI,IISER)

# Permanent retrofit



**Steel plate  
jacketing for  
steel building**

M.Seki (BRI,IISSE)

# Permanent retrofit

Steel plate jacketing  
and steel brace



M.Seki (BRI,IISSE)

# Permanent retrofit

Repair of  
beam bars



M.Seki (BRI,IISSEE)

# Permanent retrofit

*After jacketing  
by steel plate  
(Beam)*



M.Seki (BRI,IISSEE)

# Permanent retrofit

Welding retrofit of  
damaged beam plate



M.Seki (BRI,IISSE)

# Permanent retrofit

After welding of  
beam plates



M.Seki (BRI,IISSEE)

# Permanent retrofit

After welding of  
beam plates



M.Seki (BRI,IISEE)

# Permanent retrofit

RC column after  
binding the carbon  
fiber strand



M.Seki (BRI,IISSEE)

# Permanent retrofit

Binding the carbon  
fiber strand around  
the damaged  
column



M.Seki (BRI,IISCE)

# Current state of seismic retrofit in Japan Public Buildings

Definition of **Earthquake resistant ratio**

=Number of Earthquake resistant accomplished buildings/ Total number of buildings

## ■ Earthquake resistant accomplished buildings (2015)

Total ratio: **90.7 %** (165,665 buildings)

- (1)After completion by new 1981 seismic code (51.8%)
- (2)Higher capacity by seismic evaluation (13.4%)
- (3)Seismic retrofit done (25.5%)

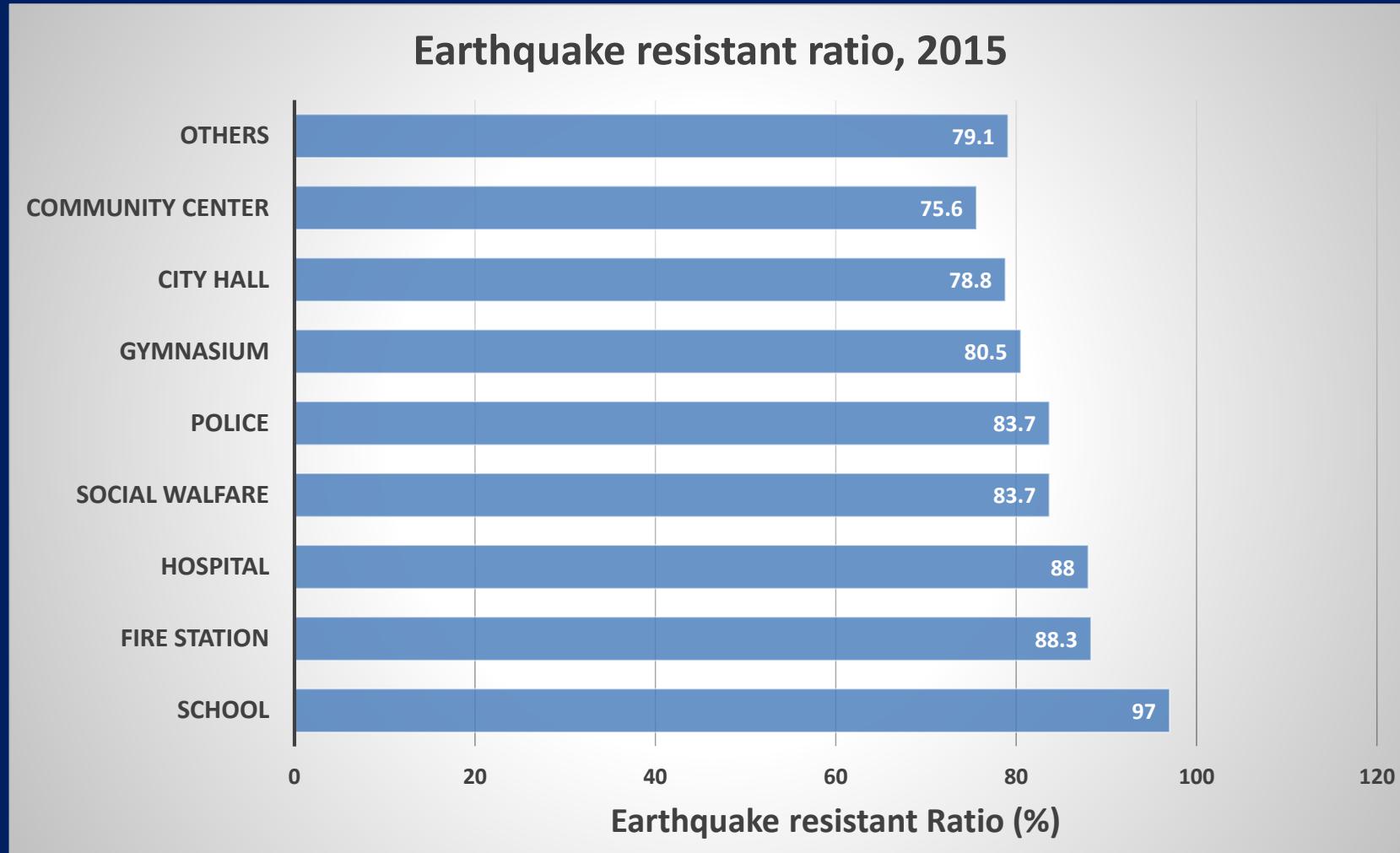
## ■ Vulnerable buildings (2015)

Total ratio: **9.1 %** (16,625 buildings)

- (1)Not yet retrofitted (4.0 %)
- (2)Not yet seismic evaluation for before 1981 designed buildings(5.2%)

# Current state of seismic retrofit in Japan

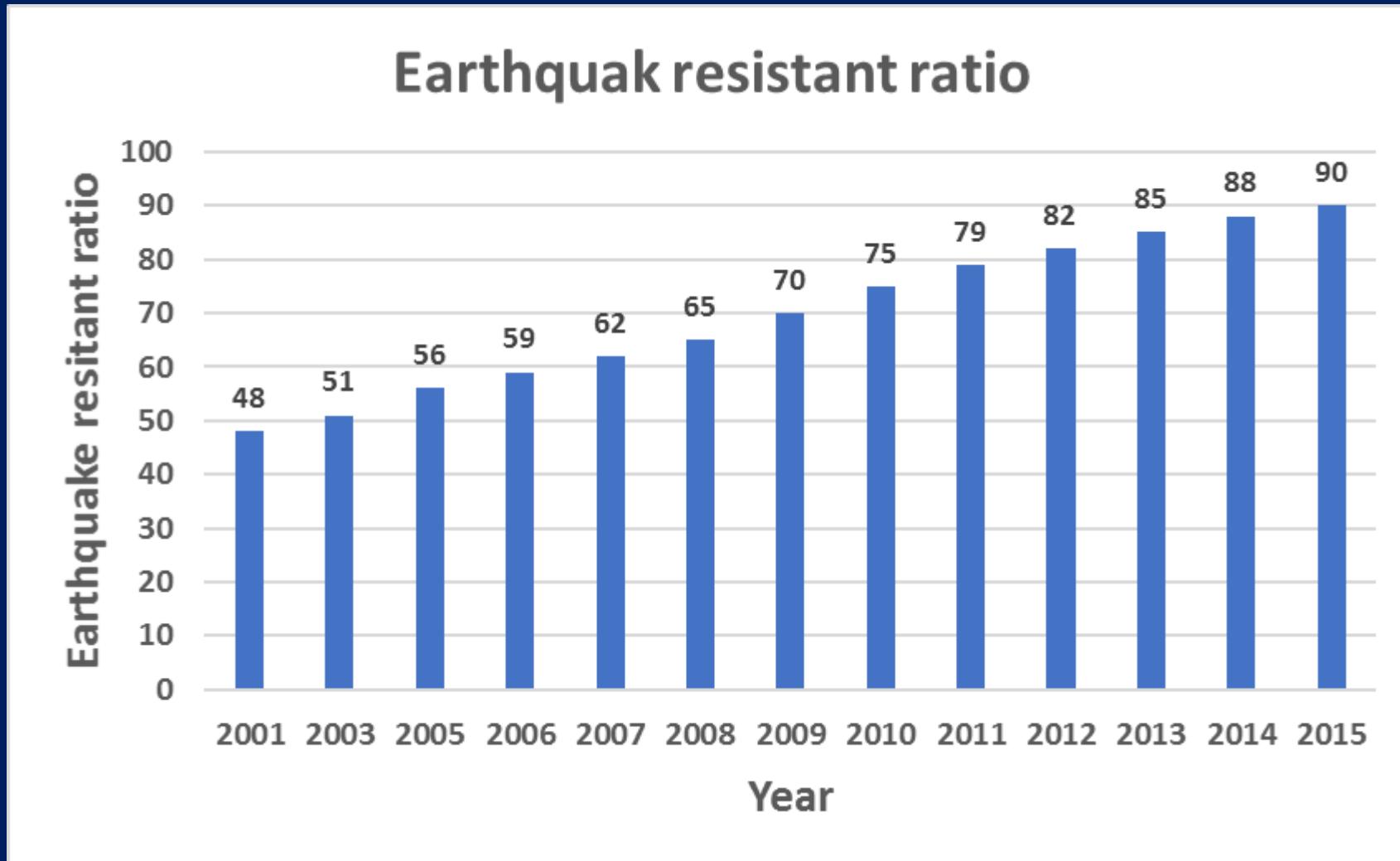
## Public Buildings



© fdma.go.jp

# Current state of seismic retrofit in Japan

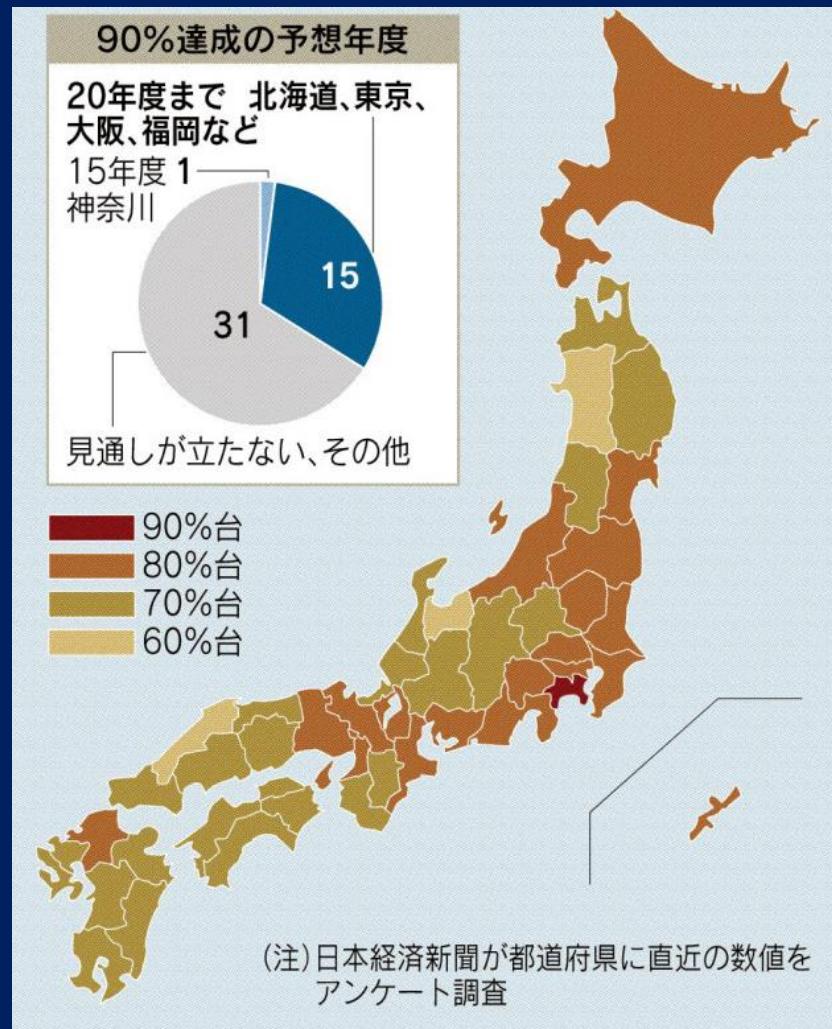
## Public Buildings



© fdma.go.jp

# Current state of seismic retrofit in Japan

## Private residential Buildings ,March 2016



Goal of earthquake  
resistance rate by  
Japanese government



90 % by 2020

# Conclusions

1. There are still lots of vulnerable existing buildings in Japan. Retrofit will be continuing in the future especially for the private buildings.
2. The simple and easy construction retrofit technology is necessary to be developed.
3. After strong earthquake, first of all the damaged buildings should be retrofitted in order to avoid the collapse as soon as possible.

**Thank you for  
your attention**

<http://ccers.utcb.ro/index.php/en/news>