

# Damage due to Earthquakes and Improvement of Seismic Performance of Reinforced Concrete Buildings in Japan

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# Summary

- ◆ History of Damaging EQ and Revision of Seismic Codes in Japan
- ◆ Damage to RC Buildings and Lessons from 1995 Kobe EQ
- ◆ Basic Concept of Seismic Capacity Evaluation
- ◆ Damage to RC Buildings due to recent EQs
  - 2011 East Japan EQ
  - 2016 Kumamoto EQ

# Damaging EQ and Code Revision (1)

1891 Nohbi EQ M8.0

1923 Great Kantoh EQ M7.9 death:140000

◆ *1924 Introduction of Seismic design to building code*  
*Allowable stress design*

1944 Nankai EQ M8 ?

1945 Toh-Nankai EQ M8 ?

1948 Fukui EQ M7.3 death:3895

◆ *1950 Building Standard Law*

1964 Niigata EQ M7.5 death:26

1968 Tokachi-oki EQ M7.9 death:52

Damage to RC buildings (**shear failure**)

◆ *1971 Revision (requirement for minimum hoop spacing changed from 30cm to 10cm)*



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# Damage to RC Buildings

(1968 Tokachi –oki EQ)





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# Damage to RC Buildings (1978 Miyagiken-oki EQ)



# Damage to RC Buildings (1978 Miyagike-oki EQ)



# Collapse of RC Building with soft (1978 Miyagike-oki EQ)



# Damaging EQ and Code Revision (2)

## ◆ 1977 Guideline of Seismic Evaluation and Retrofit of Existing Buildings

1978 Miyagi-ken-oki EQ M7.4 Death:28

## ◆ 1981 Revision (*Ultimate State Design*)

$$C_B = C_o \times D_s \text{ (not less than 0.3 for RC)}$$

$C_B$  : Design Base Shear Coefficient

$C_o$  : Design Spectrum

(not less than 1.0 g for peak acc. )

$D_s$  : Reduction Factor by Ductility  
( not less than 0.3 for RC)



# Damaging EQ and Code Revision (3)

|      |                         |      |                        |
|------|-------------------------|------|------------------------|
| 1983 | Nihonkai-chubu EQ       | M7.7 | death:104<br>(Tsunami) |
| 1993 | Kushiro-oki EQ          | M7.8 | death:2                |
| 1993 | Hokkaido-nansei-oki EQ  | M7.8 | death:230<br>(Tsunami) |
| 1994 | Hokkaido-tohou-oki EQ   | M8.1 | no death               |
| 1994 | Sanriku-harukaoki EQ    | M7.5 | death:3                |
| 1995 | Hyogoken-Nambu(Kobe) EQ | M7.2 | death:6430             |

✓Severer damage to existing buildings

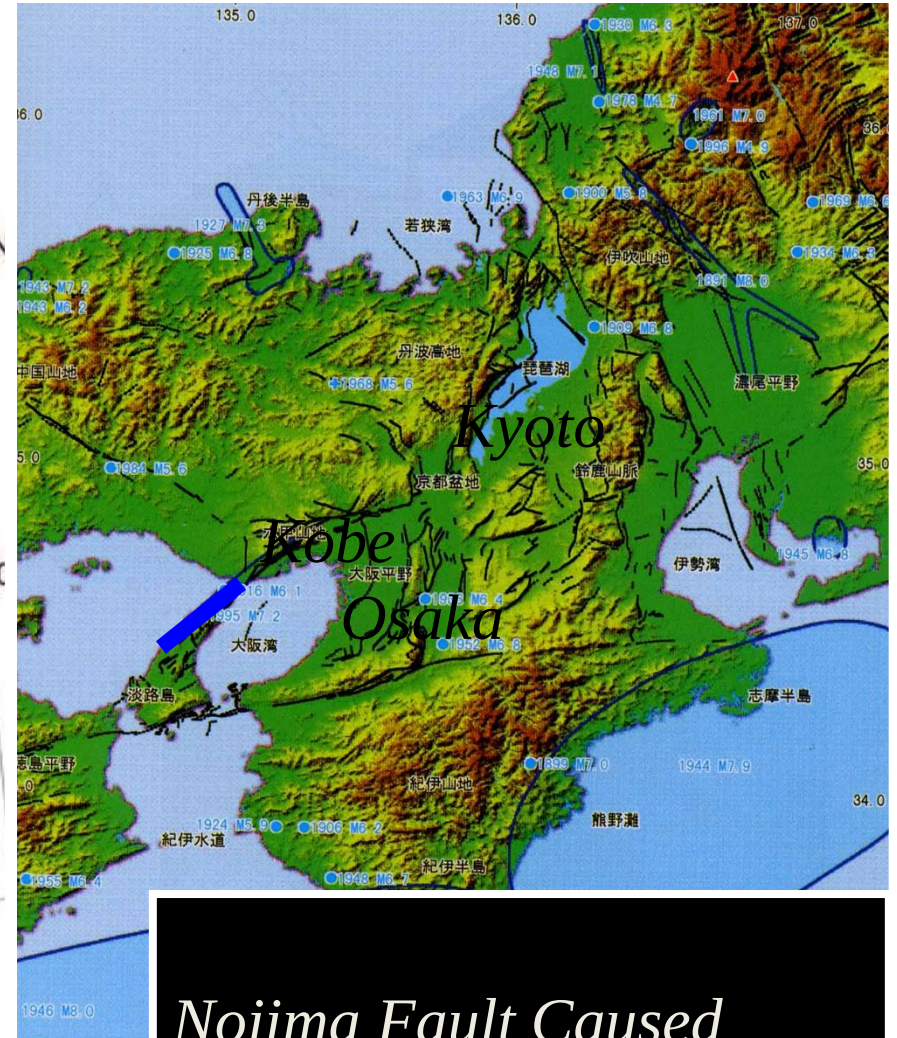
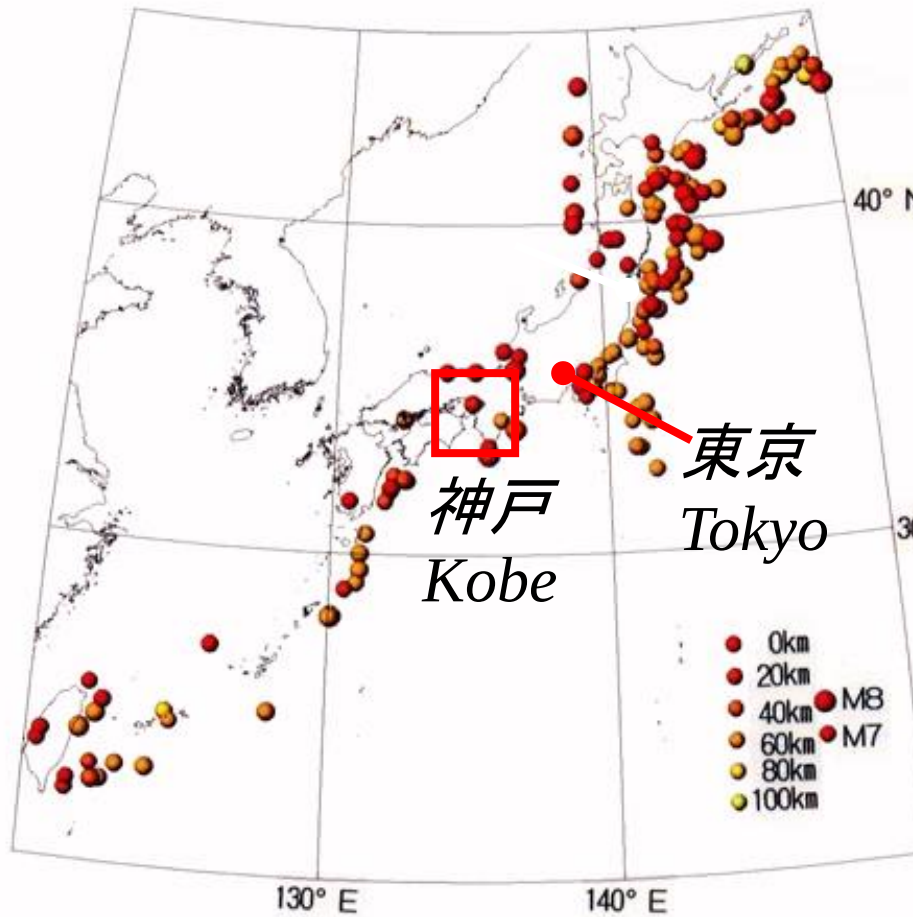
◆ 1995 Law for Promotion of Seismic  
Evaluation and Retrofit



# Damage to RC buildings and lessons from 1995 Kobe Earthquake



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*Nojima Fault Caused  
1995 Hyogo-ken Nanbu  
Earthquake*



# Collapse of RC Building with soft first story



(1995 Kobe EQ)





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# Total collapse of RC Buildings



Total collapse  
(1995 Kobe EQ)



# Damage in old and new Buildings



Few hoops (pre-1971)

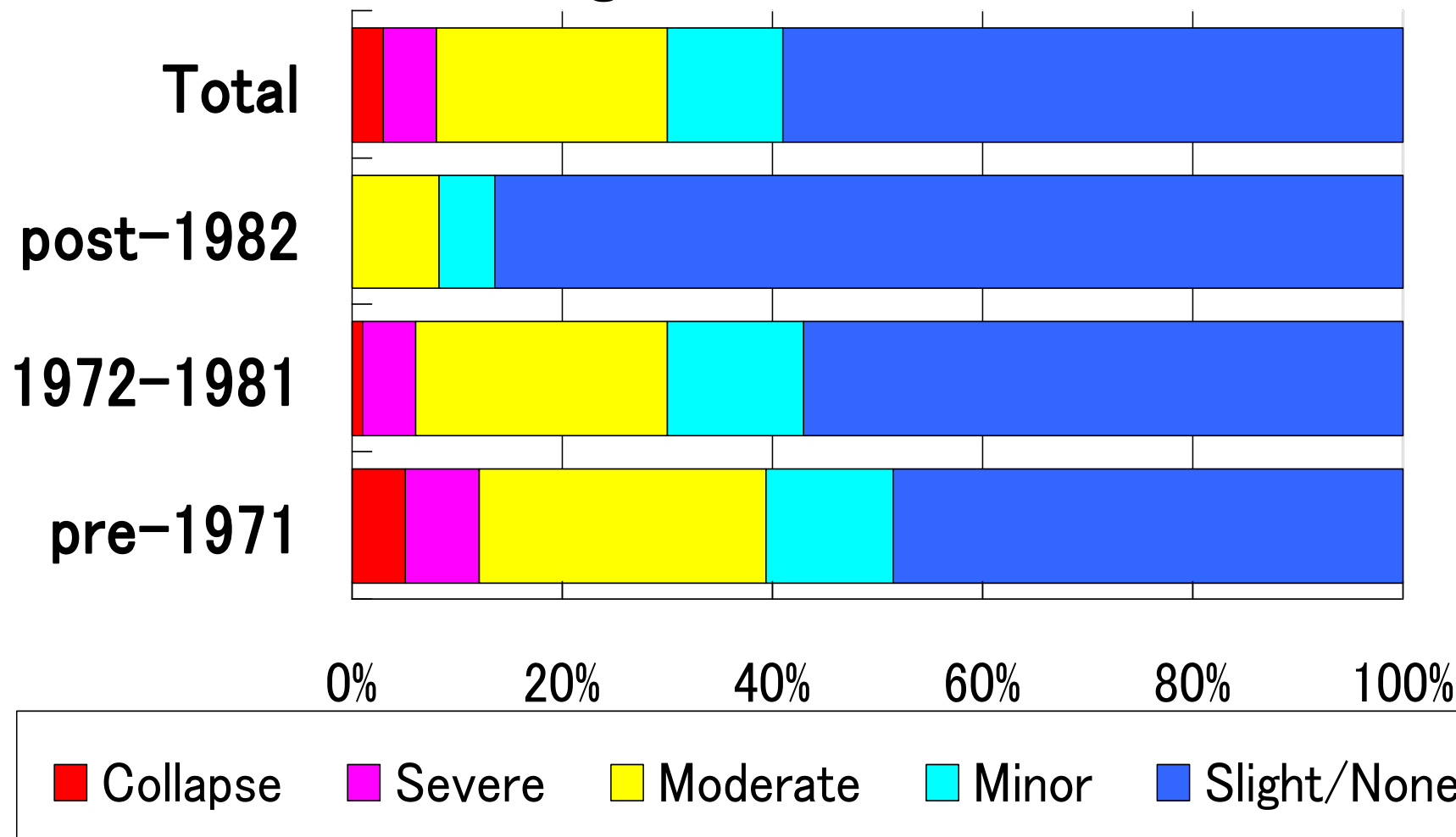


Hoop spacing is 10cm (Post-1971)



# Damage Statistics for RC school buildings

631 RC school buildings



# New Law to Promote Seismic Retrofit

◆ 1995 Oct.

## New Law to promote Seismic Evaluation and Retrofit of Pre-code Revision

- School, Hospital, Theater, Department Store, Hotel, Market etc.  
( $\geq 3$  stories and  $\geq 1,000\text{m}^2$  total floor area)
- Equivalent capacity required in the current code
- Japanese *Guideline for Seismic Evaluation and Retrofit* are applied.

# Basic Concept of Japanese Seismic Evaluation Guideline

# Seismic Capacity Evaluation

**Is-Index** defined in the Japanese Seismic Evaluation Guideline (1977, revised in 2001)

$$I_s = E_0 \times S_D \times T$$

$E_0$  : Basic structural seismic capacity index

$S_D$  : Shape index ( 0.4 - 1.0 )

$T$  : Age index ( 0.5 - 1.0 )

# Basic Structural Index $E_0$

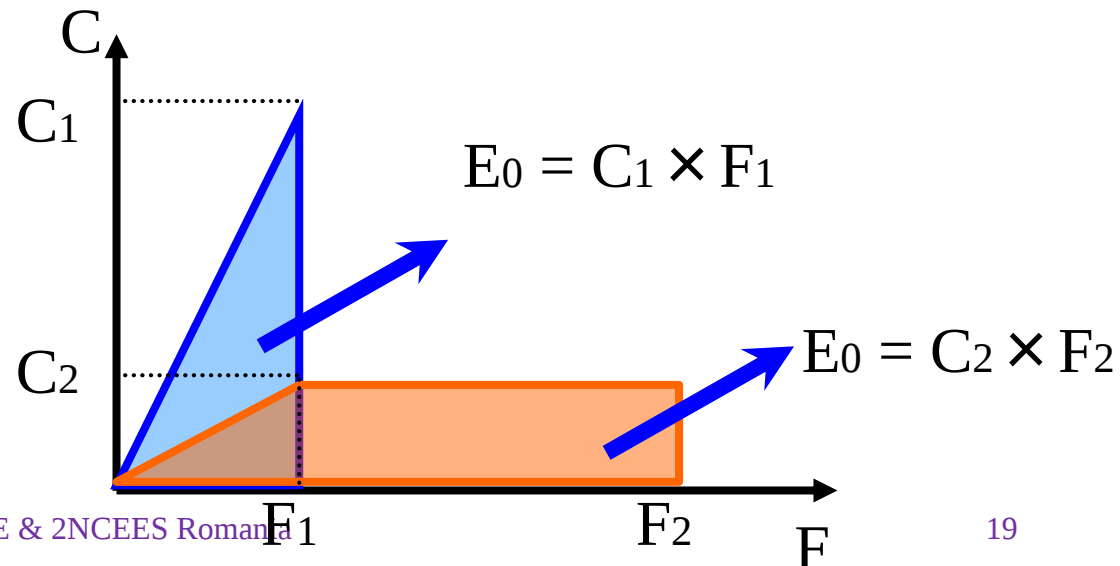
$$E_0 = \phi \times C \times F$$

$\phi$ : Mode Shape Factor (1.0 for 1<sup>st</sup> story)

$C$ : Strength Index (Story Shear Coefficient )

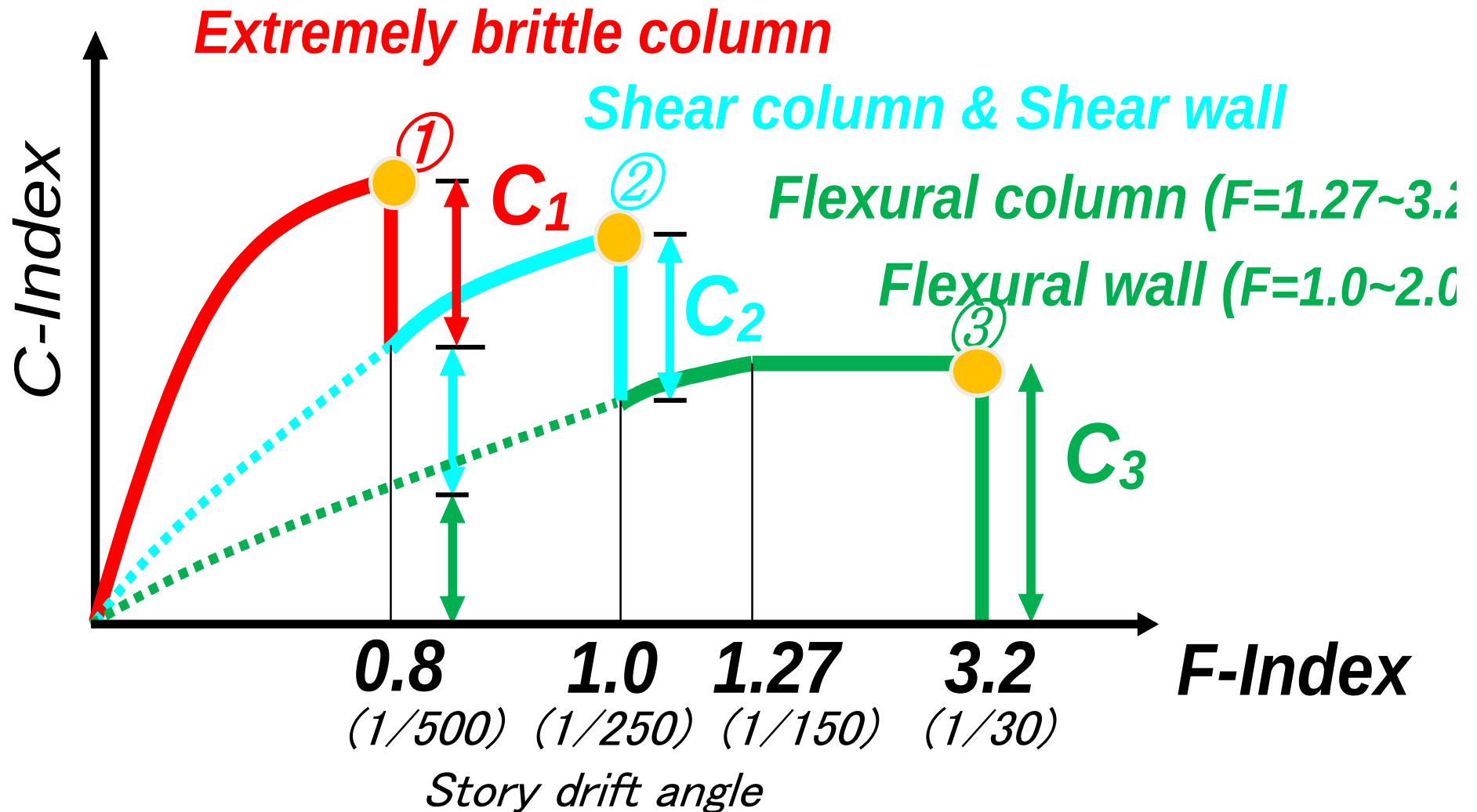
*= lateral strength / building weight*

$F$ : Ductility Index (0.8, 1.0-3.2)





# Basic Structural Index $E_0$ -(2)

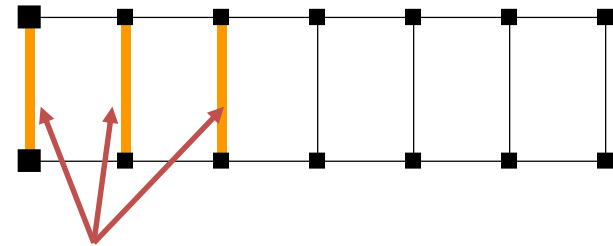
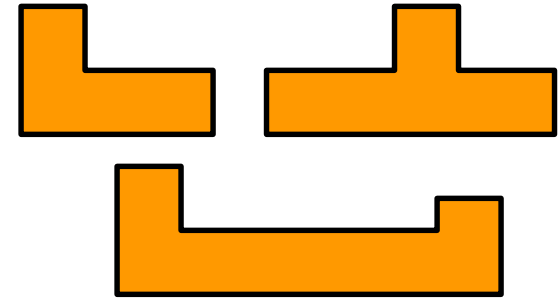




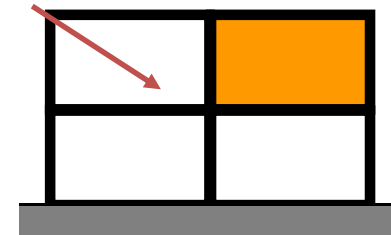
# Shape Index $S_D$

A factor to modify *E<sub>o</sub>-index*  
due to structural  
irregularity

- ◆ Irregular shaped plan
- ◆ Unbalanced distribution of  
stiffness (strength)
  - Torsion
  - Soft story mechanism



Shear wall



# Age Index T

A factor to allow for the deterioration of original performance

◆ Structural Cracking and deflection

- Crack by uneven settlement, shear crack
- Deflection of a slab and/or beam

◆ Deterioration and aging

- Rust of reinforcing bar
- Crack by concrete expansion
- Crack by a fire disaster

# Seismic Capacity Evaluation

**Is-Index** defined in the Japanese Seismic Evaluation Guideline (1977, revised in 2001)

$$I_s = E_0 \times S_D \times T$$

$E_0$  : Basic structural seismic capacity index

$S_D$  : Shape index ( 0.4 - 1.0 )

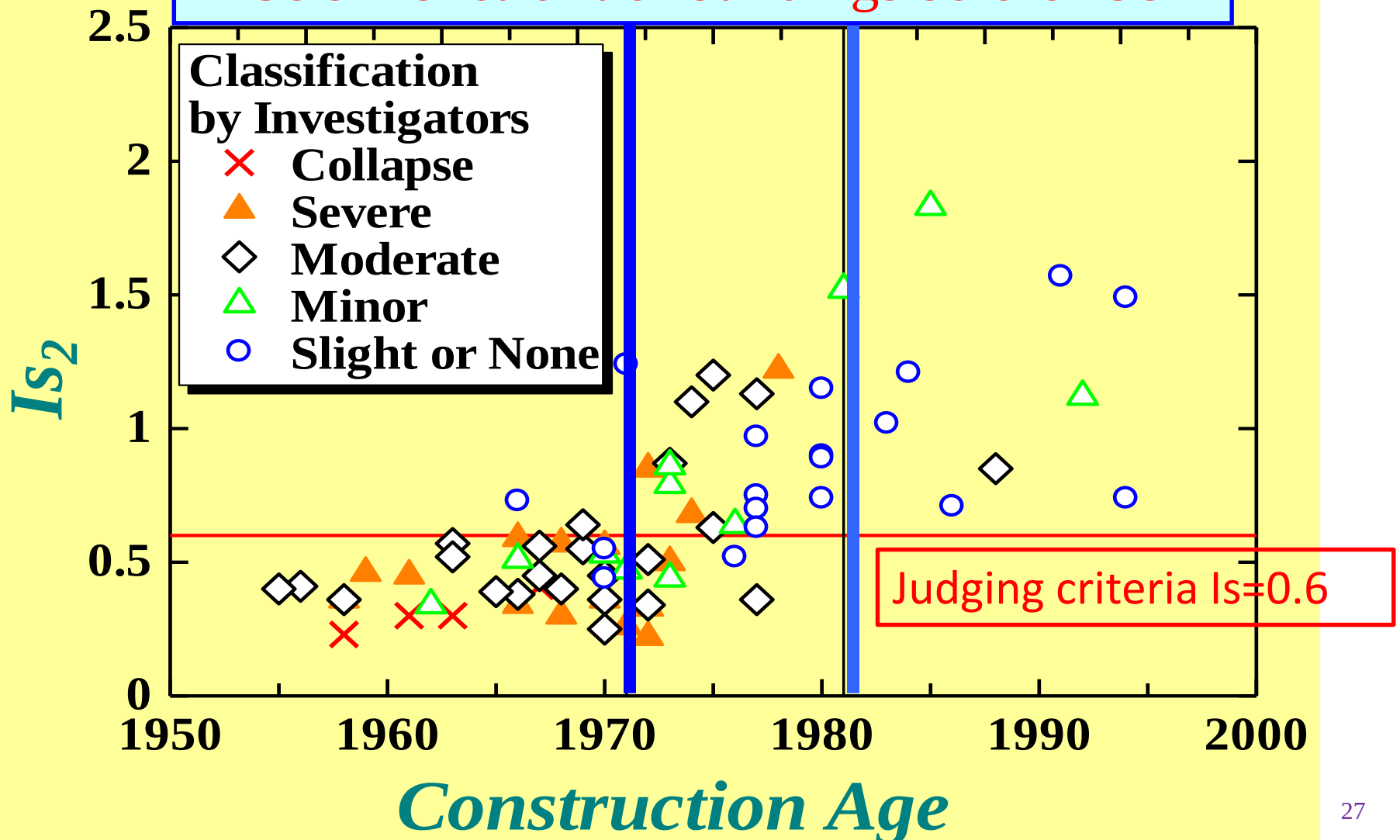
$T$  : Age index ( 0.5 - 1.0 )



## Seismic Capacity $I_s$ index vs. Construction Age

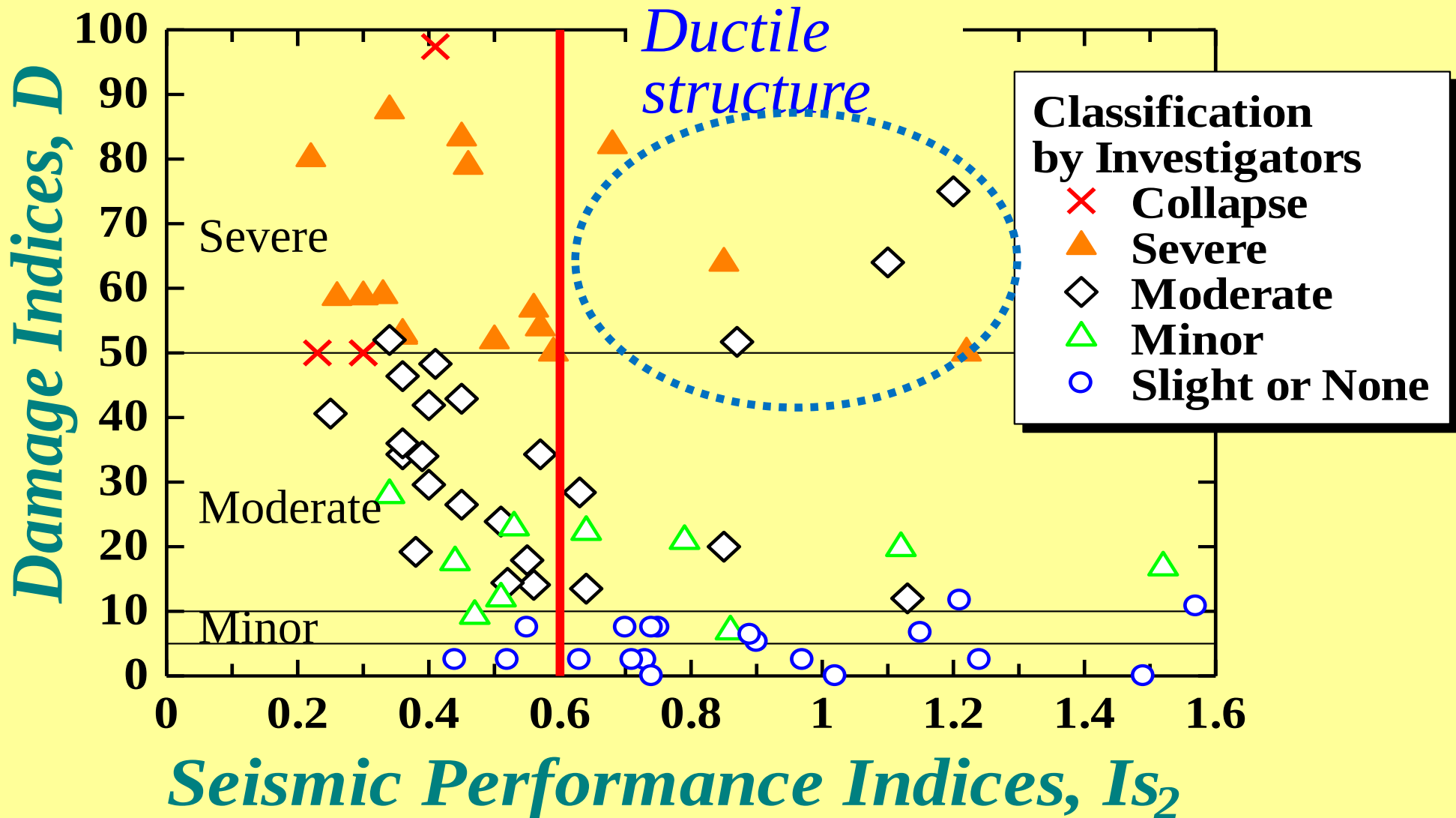
No severe damage when  $I_s > 0.6$

→ Seismic retrofit of buildings before 1981





# Damage Level vs. Seismic Capacity Is





# Damaging EQ and Code Revision (4)

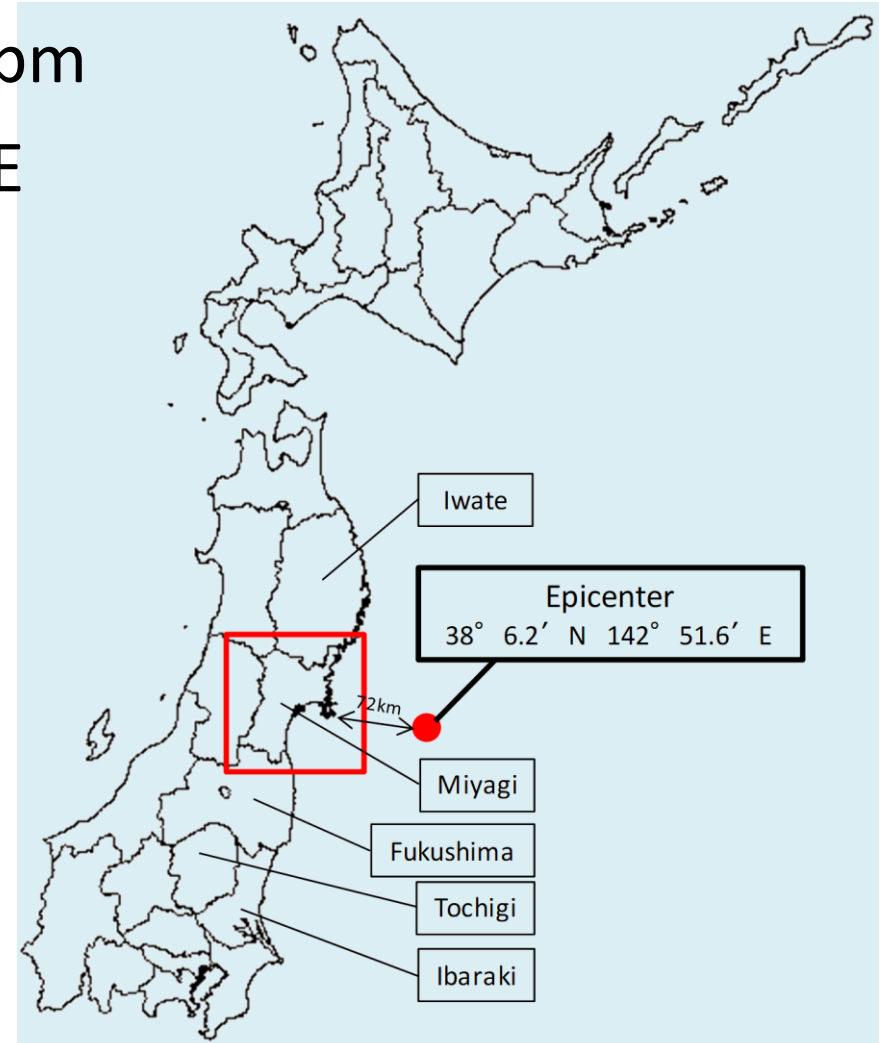
## ◆ 2000 *Performance-based Design*

|      |                     |      |                                            |
|------|---------------------|------|--------------------------------------------|
| 2000 | Geiyo EQ            | M6.7 | death:2                                    |
| 2004 | Niigata-ken-chuetsu | M6.8 | death:68                                   |
| 2007 | Miyagi-ken-oki EQ   | M6.8 | death:15                                   |
| 2011 | Great East Japan EQ | M9.0 | death:15894,<br>missing: 2561<br>(Tsunami) |
| 2016 | Kumamoto EQ         | M7.3 | death: 88                                  |

# Damage to RC buildings due to 2011 East Japan Earthquake

# Summary of 2011 East Japan EQ

- ◆ Date: March 11, 2011 at 14:46 pm
- ◆ Location:  $38.06^{\circ}$  N  $142.51^{\circ}$  E
- ◆ Depth: 24km
- ◆ Magnitude: 9.0





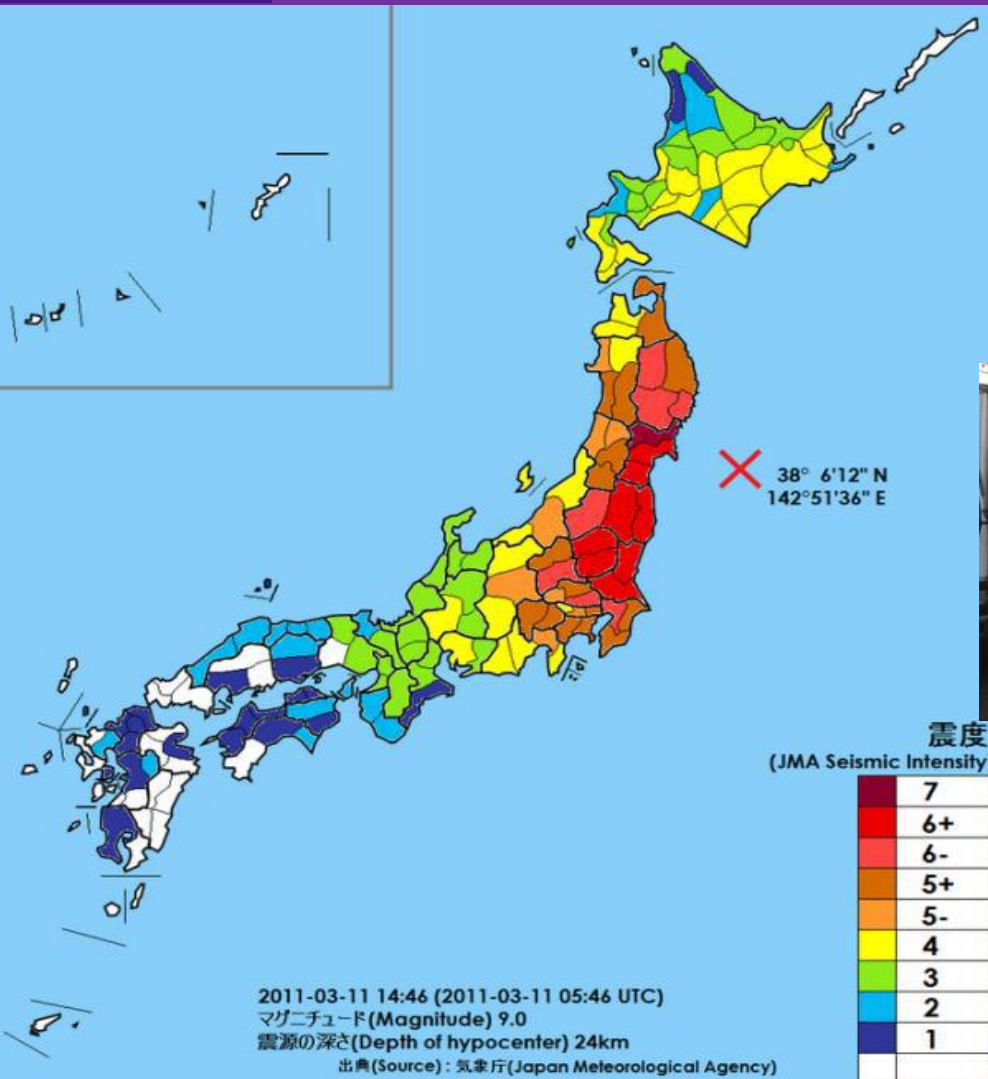
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# 2011 East Japan Earthquake

2011/03/11 14:46(JST)



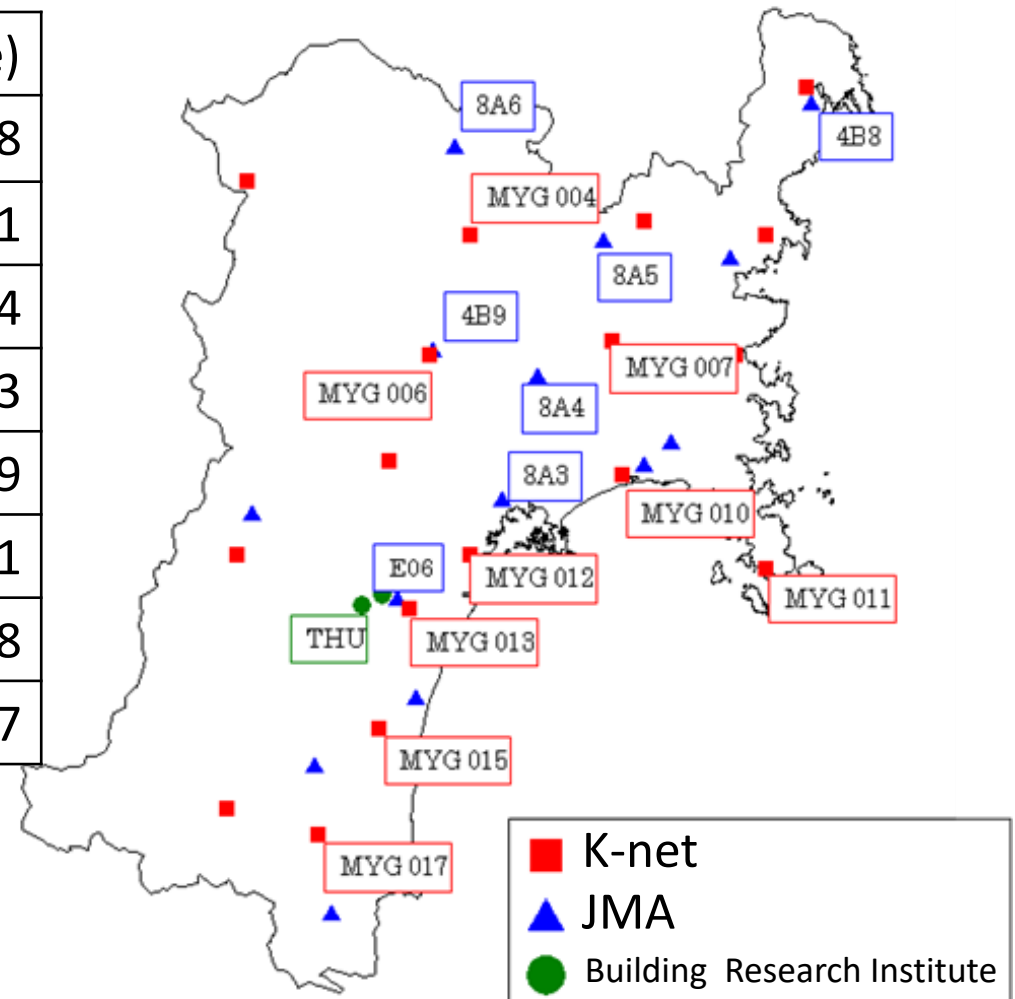
Some old RC building  
was Damaged





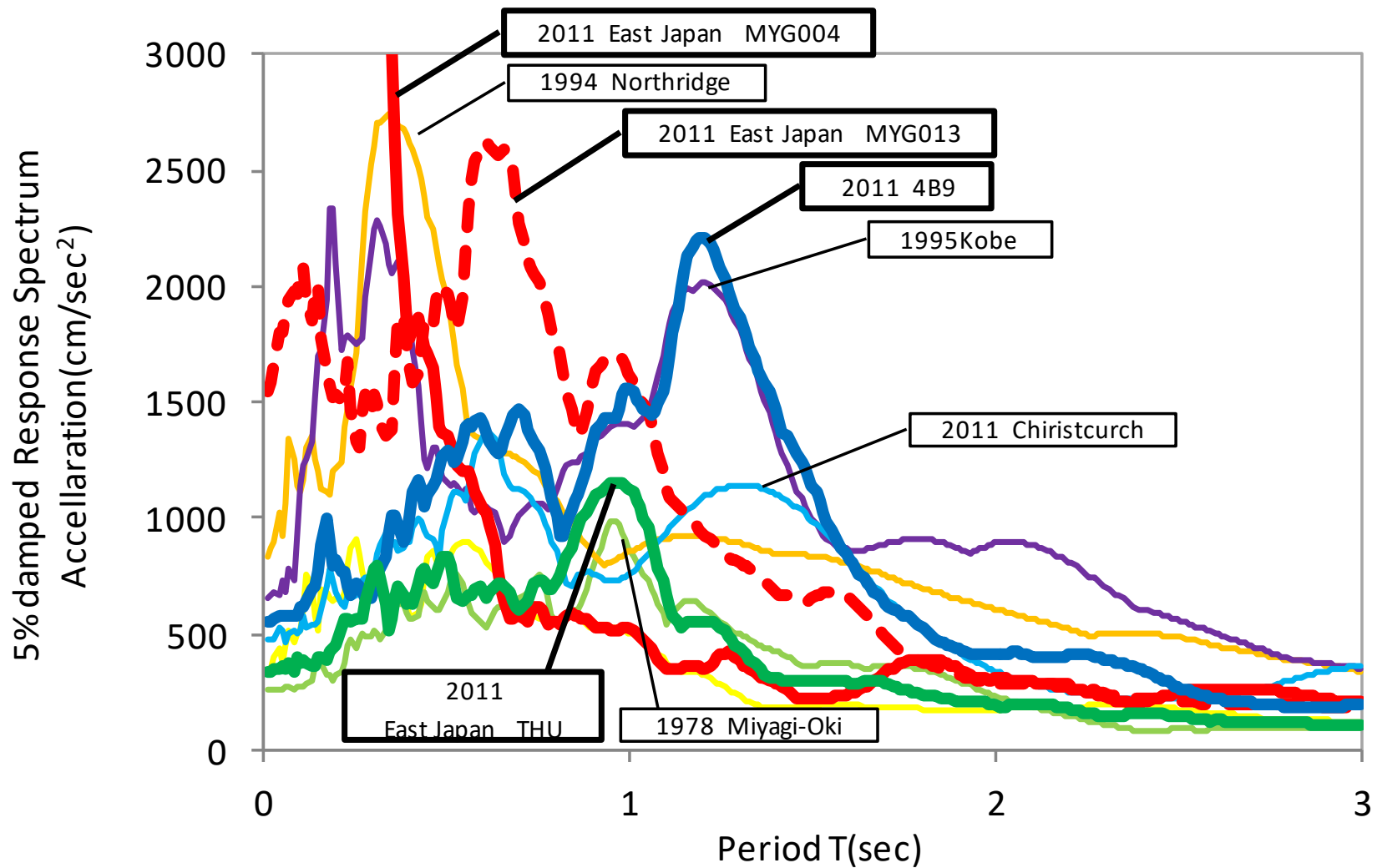
# Seismic record in Miyagi pref.

| Station               |    | PGA (gal) | PGV (kine) |
|-----------------------|----|-----------|------------|
| MYG004<br>(Tsukidate) | NS | 2699      | 118        |
|                       | EW | 1269      | 51         |
| MYG013<br>(Sendai)    | NS | 1517      | 84         |
|                       | EW | 982       | 43         |
| THU<br>(Sendai)       | NS | 332       | 49         |
|                       | EW | 330       | 61         |
| JMA 4B9<br>(Furukawa) | NS | 550       | 78         |
|                       | EW | 456       | 87         |

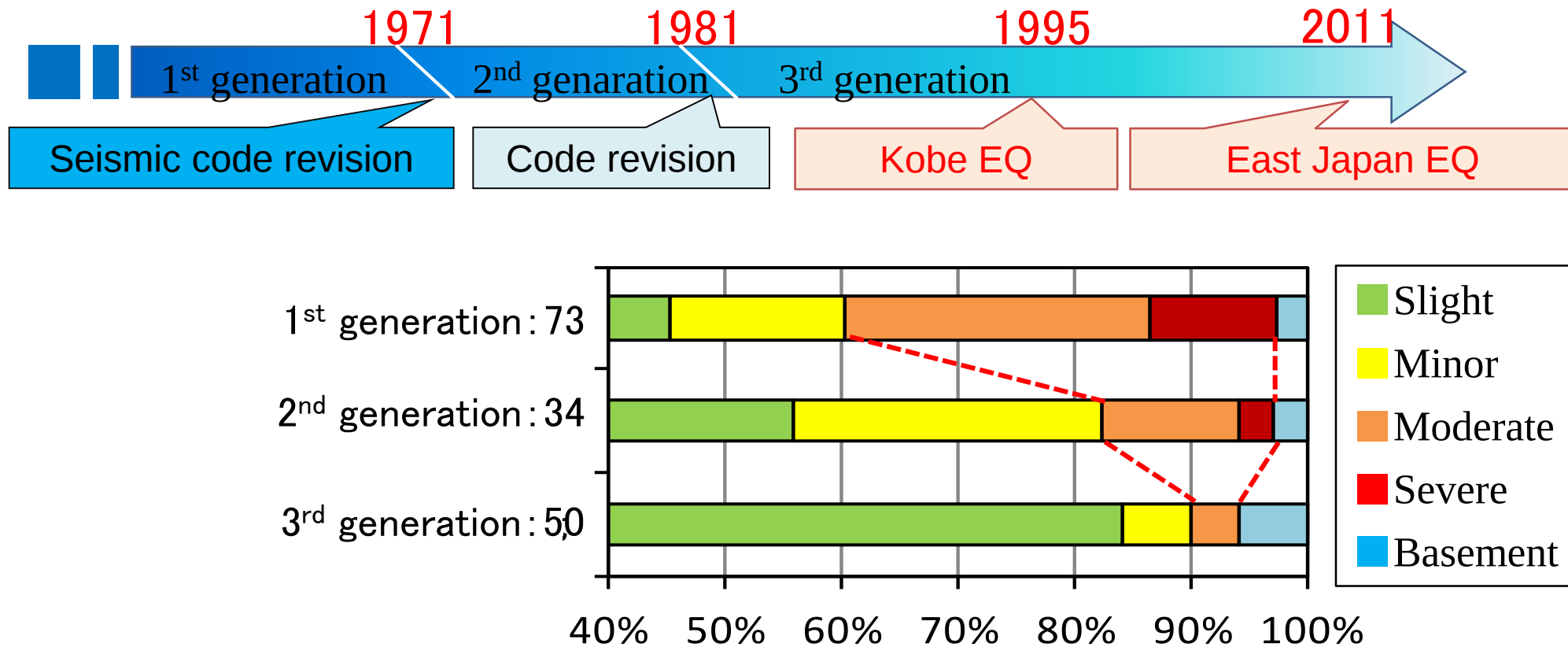




# Acceleration spectrum

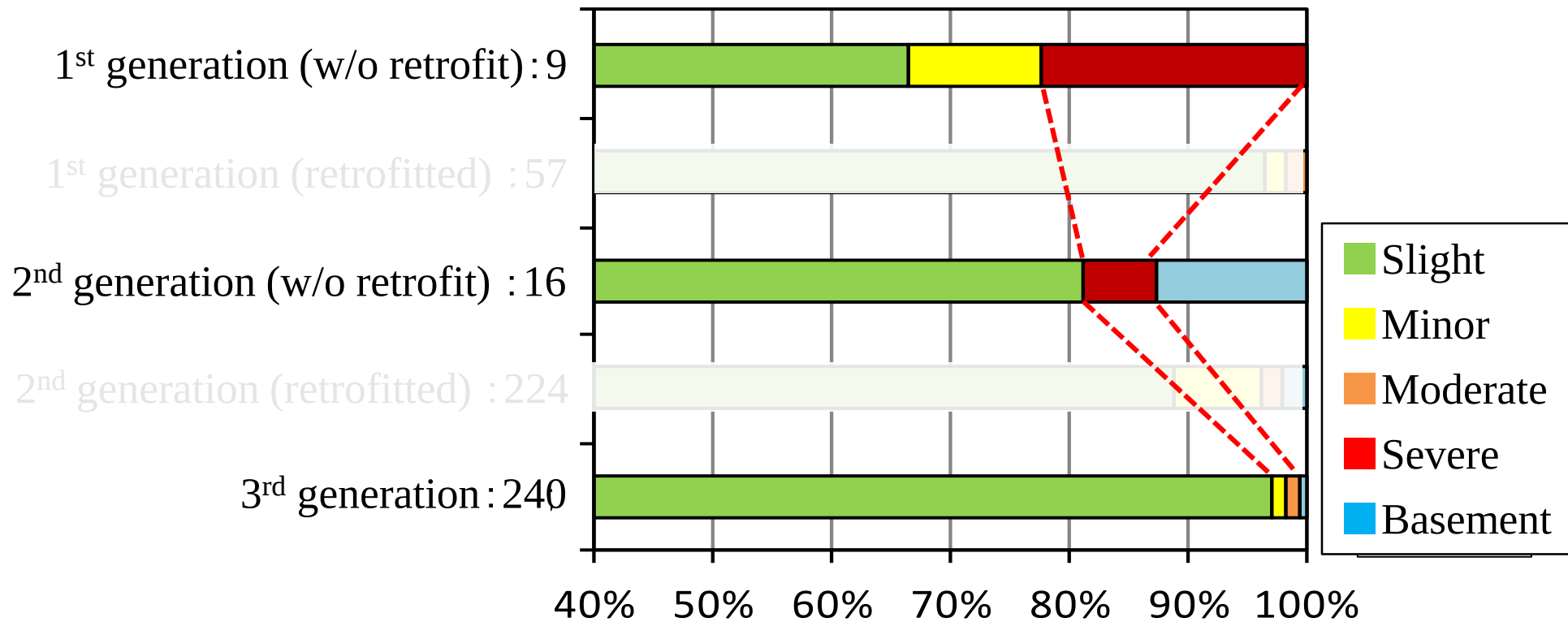


# Damage ratio of RC school buildings due to 1995 Kobe Earthquake



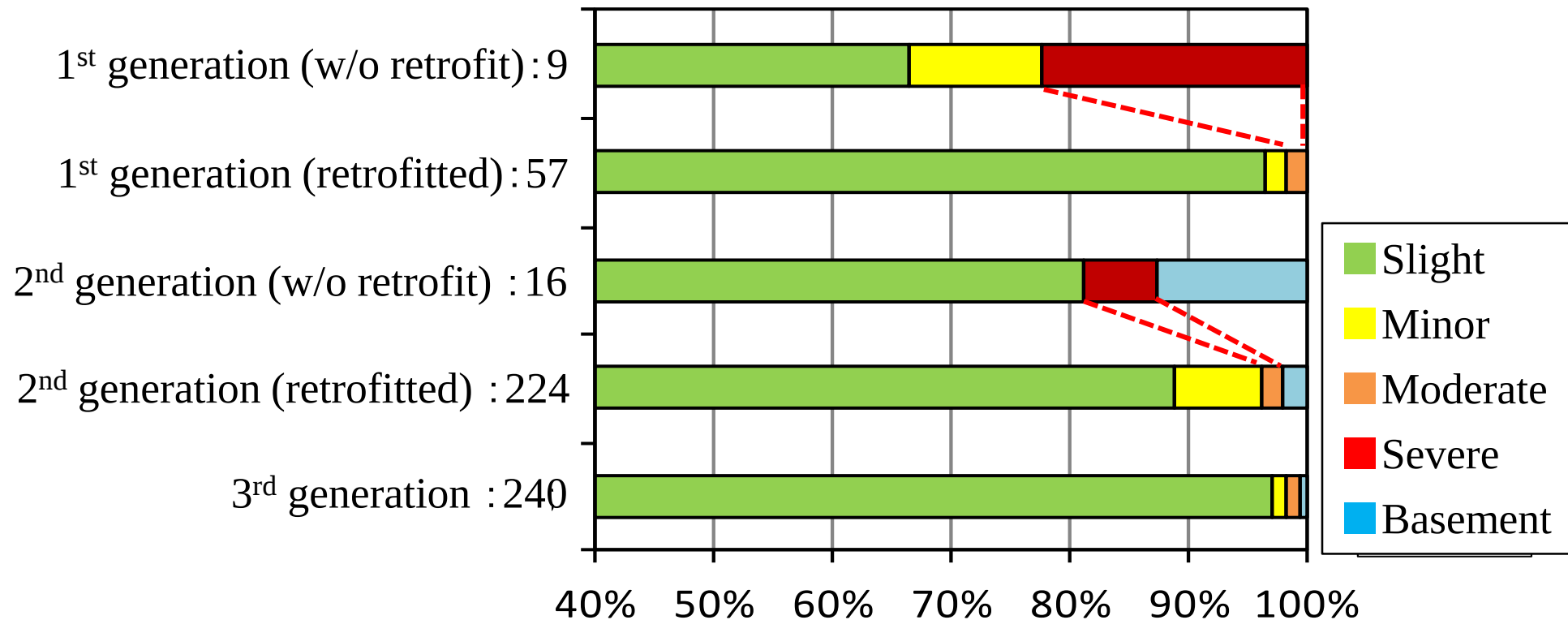
Less damage for new buildings owing to seismic code revision

# Damage ratio of RC school buildings due to 2011 East Japan Earthquake



Less damage to new buildings

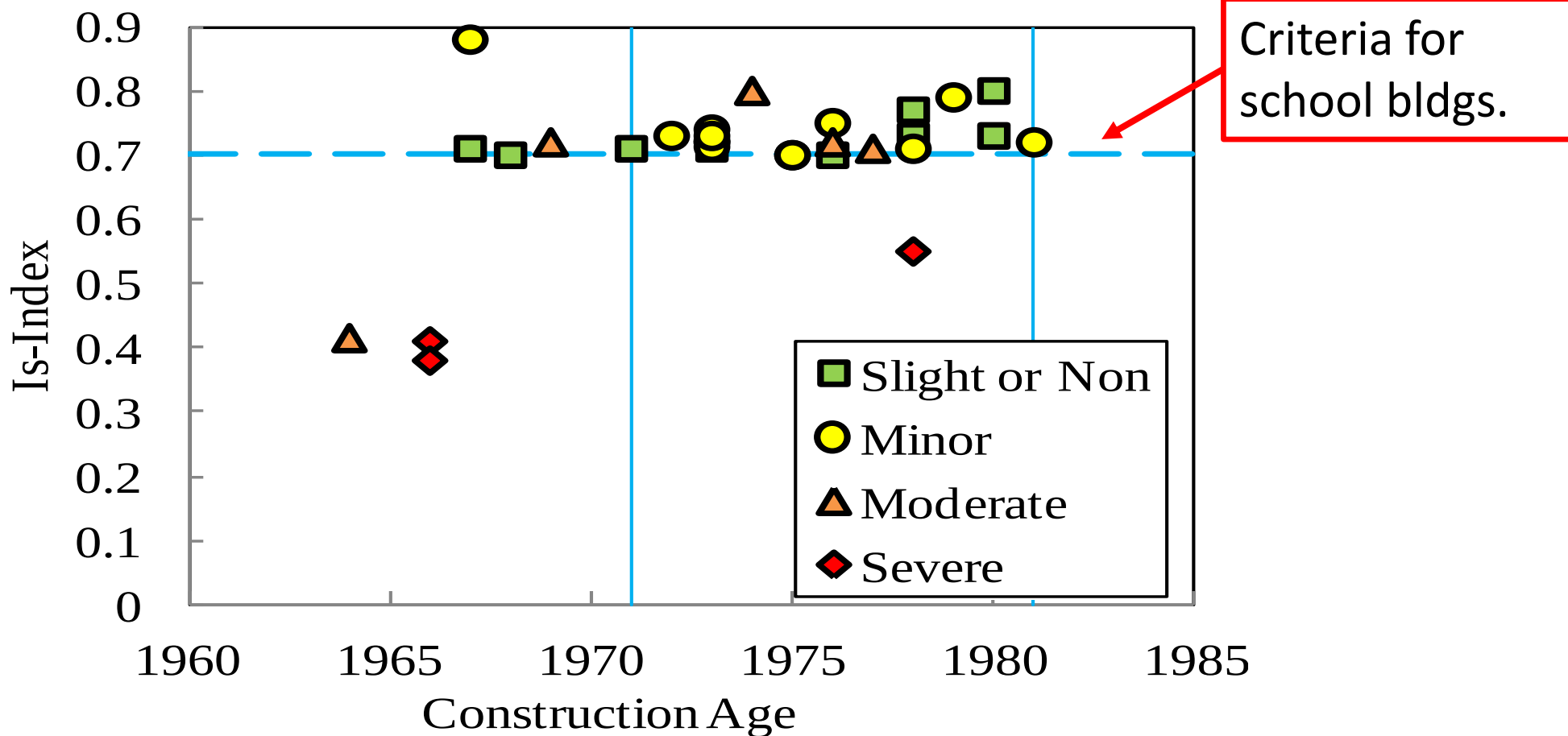
# Damage ratio of RC school buildings due to 2011 East Japan Earthquake

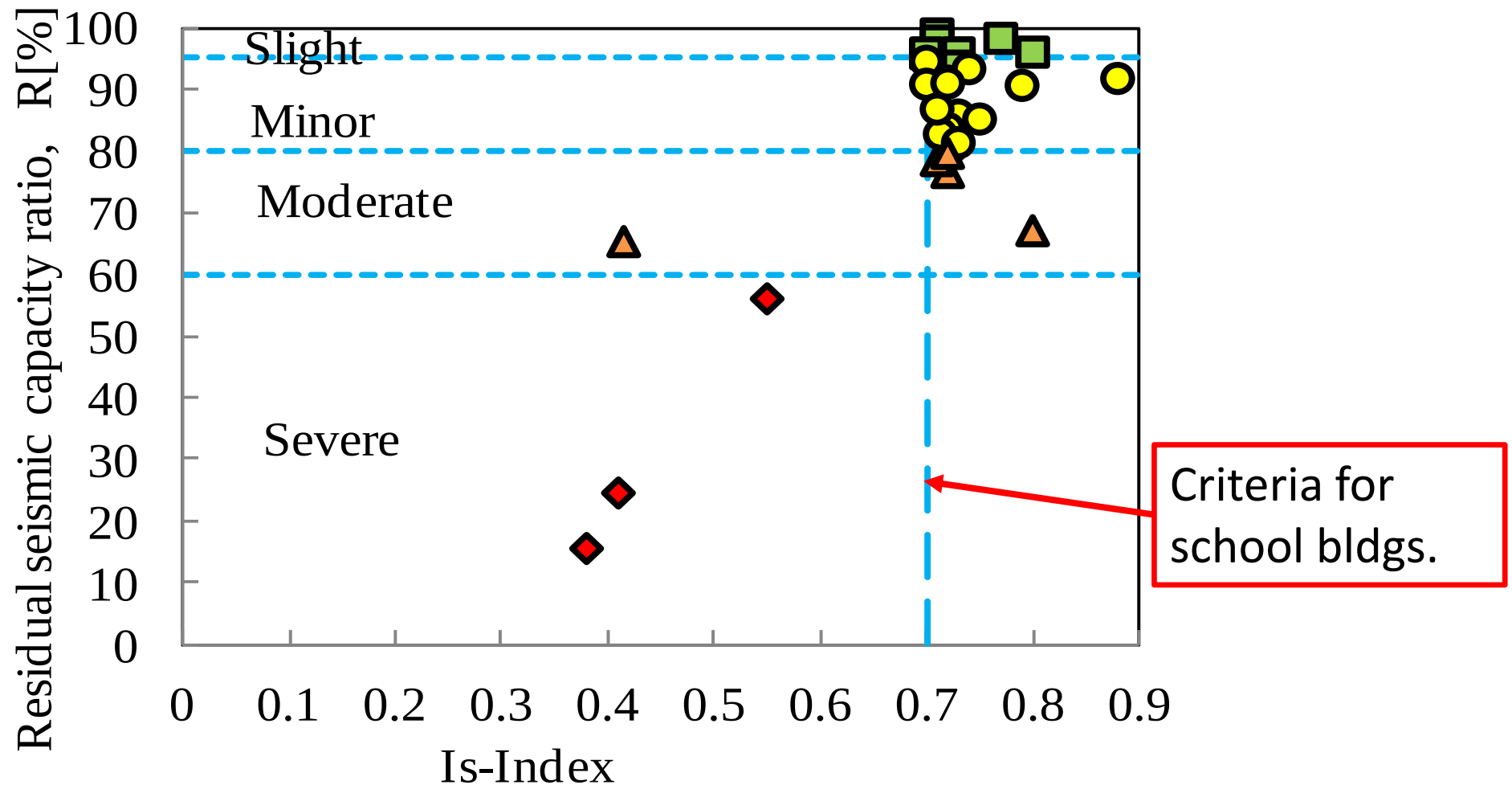


Less damage for retrofitted buildings

# Seismic Capacity $I_s$ index vs. Construction Age

## 2011 East Japan





# Summary of damage

- ◆ Seismic capacity of existing RC school buildings in Miyagi prefecture were much improved owing to *seismic evaluation & retrofit*.
- ◆ Good correlation between Is-index and damage level is observed.

however,

- ◆ Some of *retrofitted* and *evaluated-safe* buildings suffered from damage, even though they escaped collapse. Those buildings were un-functional and some were demolished.



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# Building of civil eng. and architecture, Tohoku Univ.

Accelerometer

9F



Strong motion Observe

1968年 Constructed

1978年 Miyagi Oki earthquake

Moderate damage



2001年 Seismically Retrofitted



2011年 Great East Japan earthquake

Severe damage

experience large earthquake

retrofitted  $\Rightarrow$  severe damage

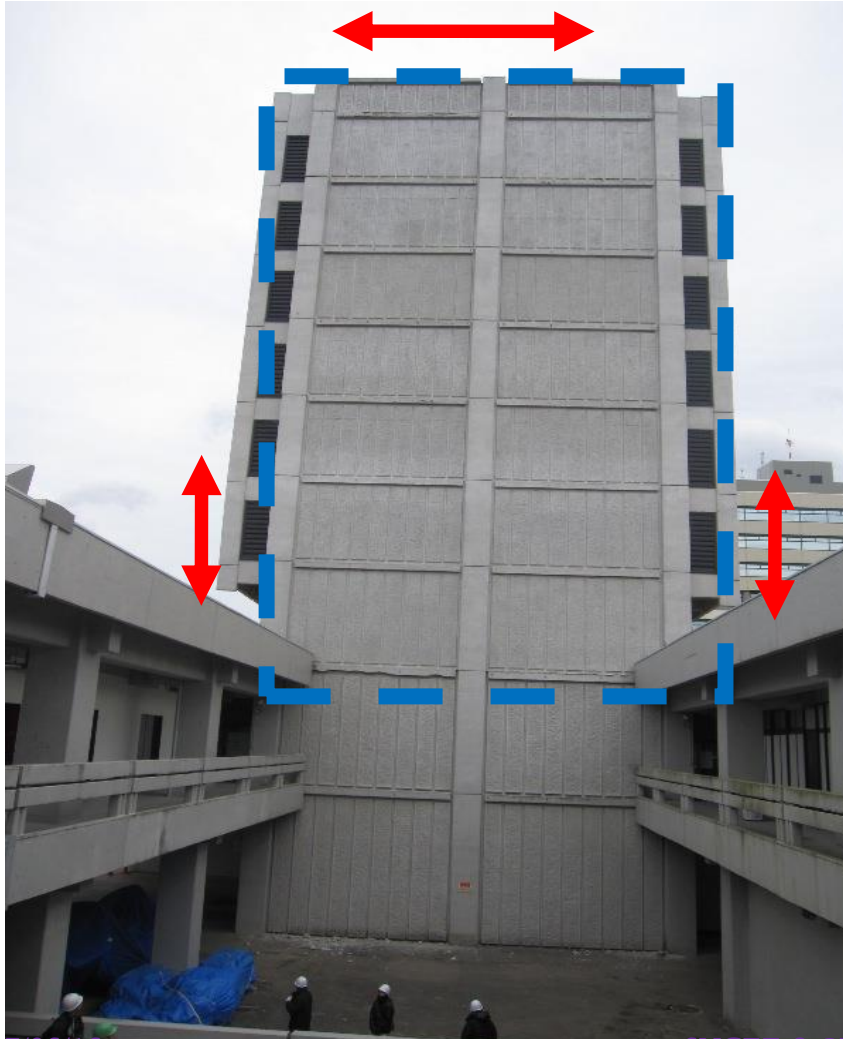
Strong motion was observed

over 40 years





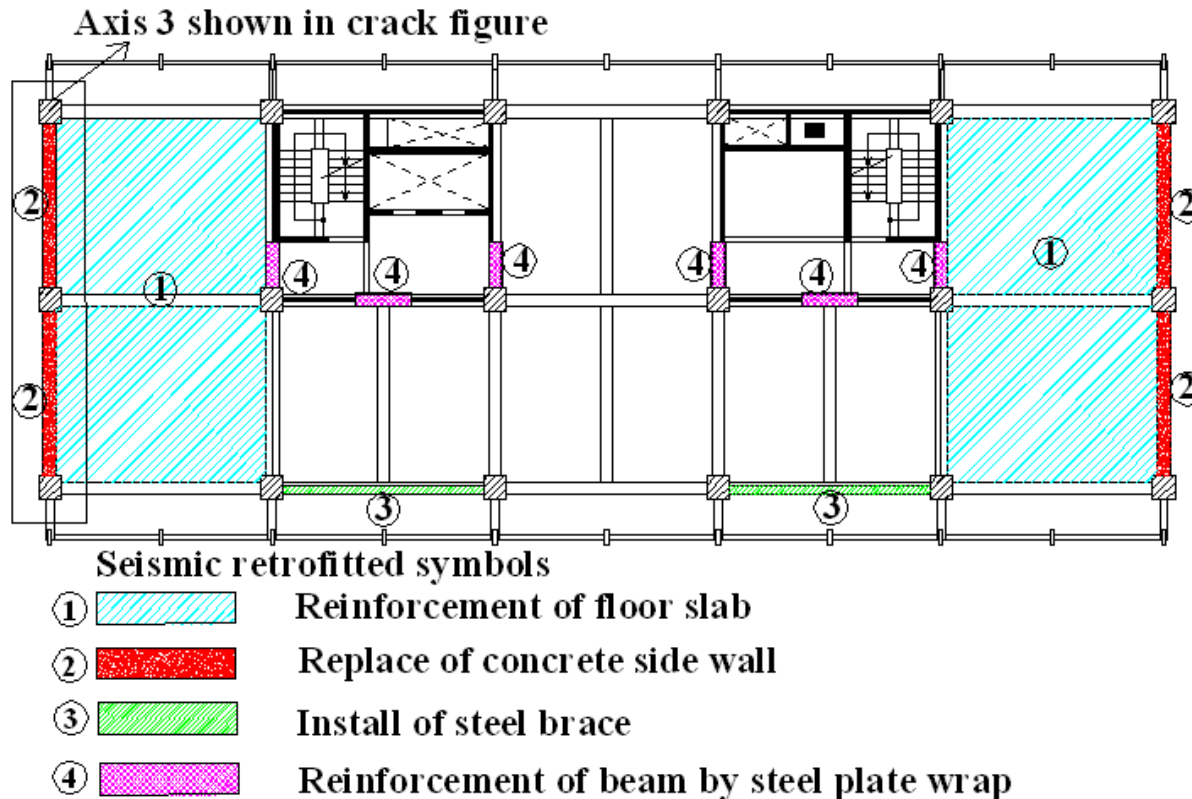
# Damage to Building of civil eng.



- ◆ 3<sup>rd</sup> story was most severely damaged.
- ◆ Large displacement occurred in shear wall.
- ◆ Spalling and crush of concrete, fracture and buckling of rebars are observed in corner columns.

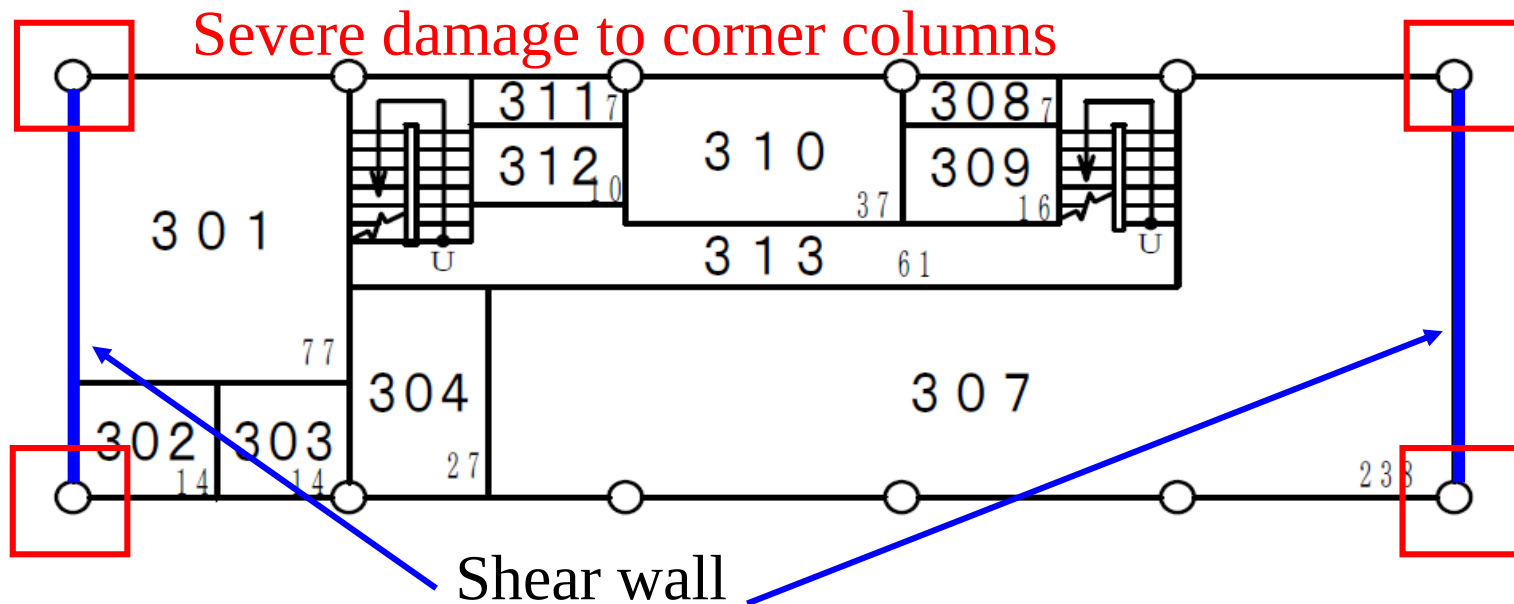
# 3<sup>rd</sup> floor plan and seismic retrofit

- ◆ Damage levels are classified by residual seismic capacity ratio  $R$ .
- ◆ Correlation between damage level and  $I_s$ -index are observed.

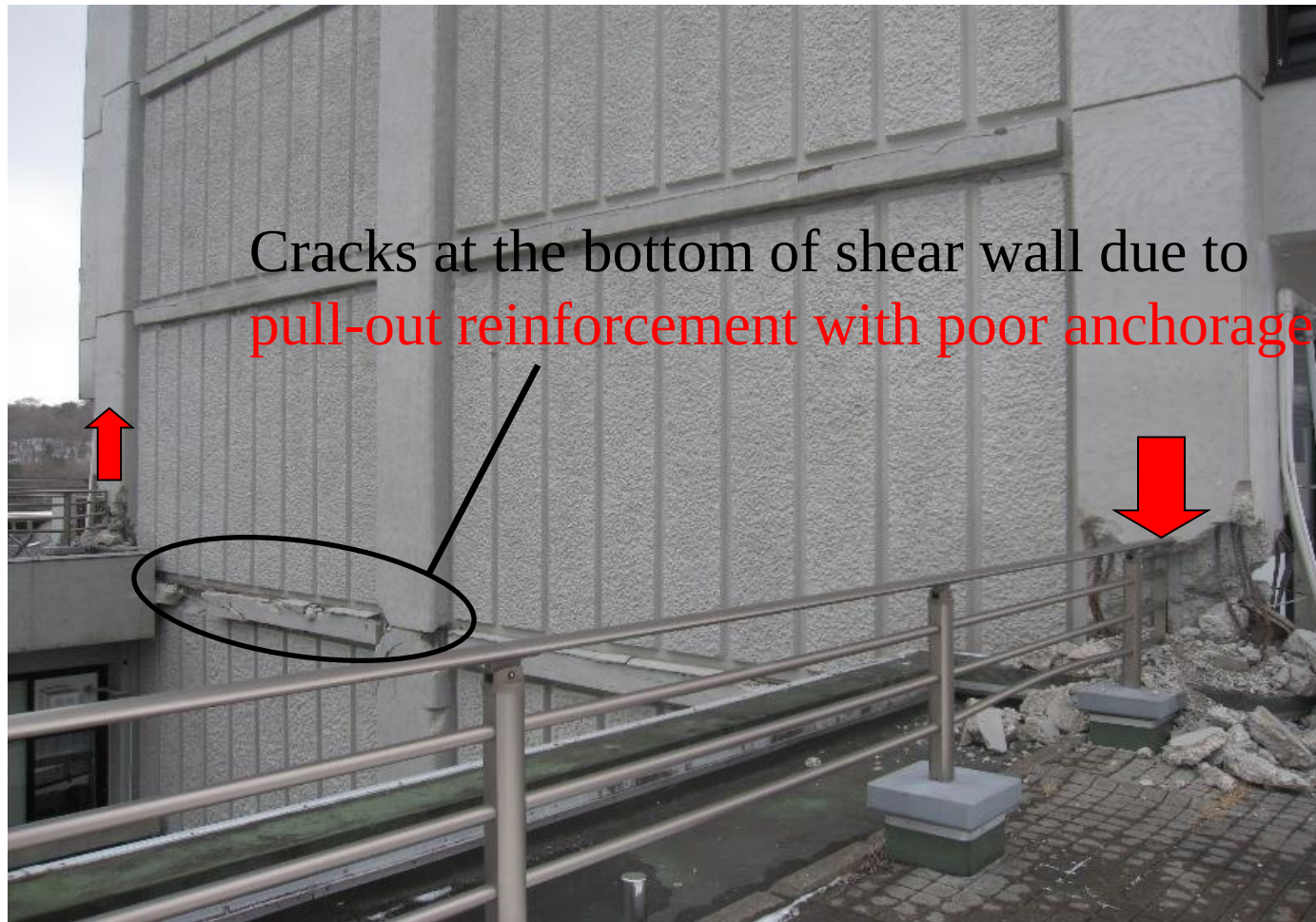




# Damage to columns in 3<sup>rd</sup> story



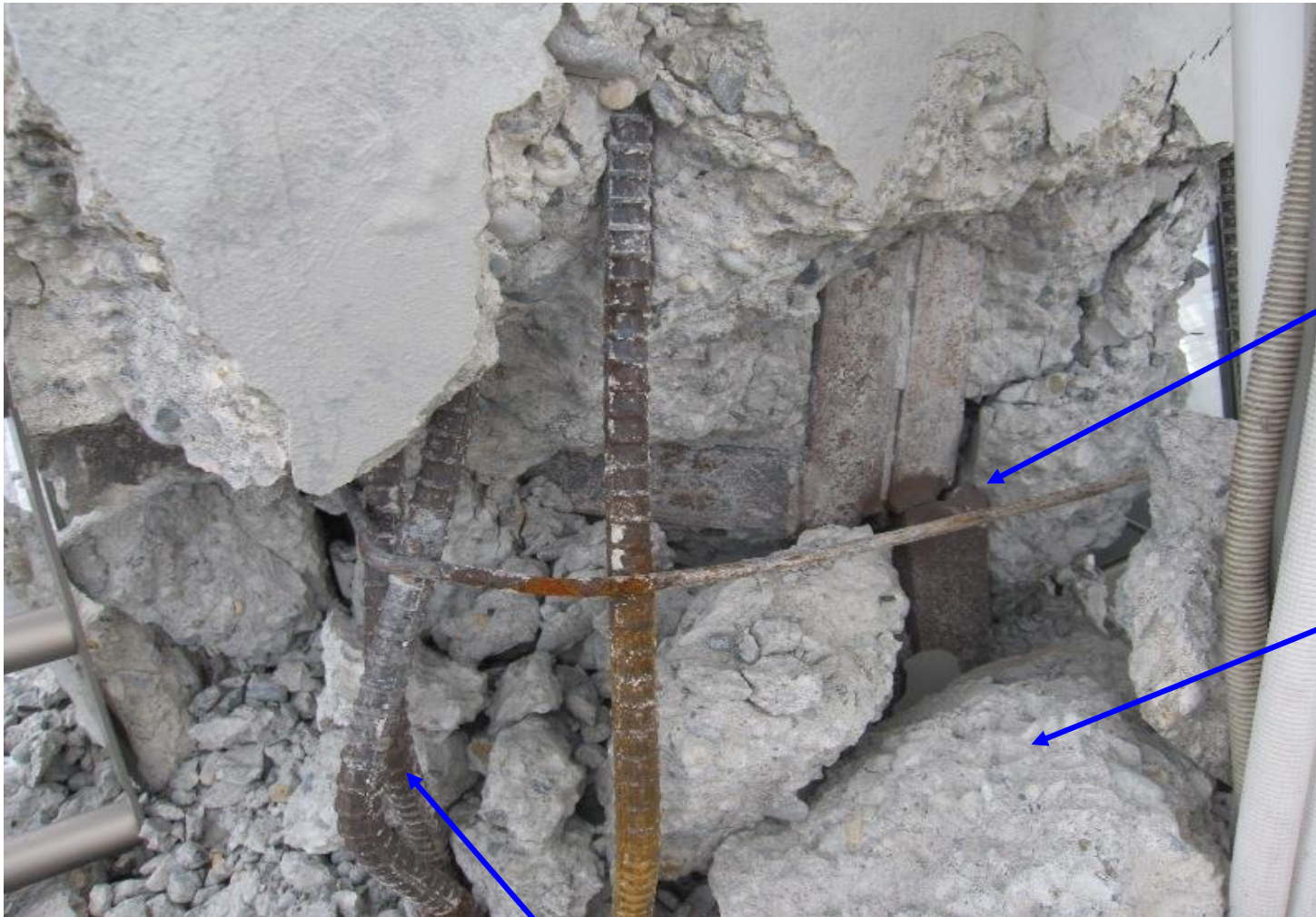
# Damage to shear wall and columns in 3<sup>rd</sup> story



High axial load in column due to overturning moment



# Damage to corner columns in 3<sup>rd</sup> story



Fracture of steel

Crush of concrete

Buckling



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# Primary School Buildings

3 stories RC building,

Const. in 1974

◆ West side structure

$I_s = 0.8 > I_{s0}(\text{required})$

No Retrofit was needed

**moderate** damage

◆ East side structure

Seismically retrofitted

**slight** damage



East ← → West





# Seismic Capacity

| East Building <i>before</i> retrofit | C    | F | SD   | T    | Is   |
|--------------------------------------|------|---|------|------|------|
|                                      | 0.66 | 1 | 0.88 | 0.98 | 0.57 |

| East Building <i>after</i> retrofit | C    | F | SD   | T    | Is   |
|-------------------------------------|------|---|------|------|------|
|                                     | 0.88 | 1 | 0.88 | 0.98 | 0.75 |



Slight damage

| West Building | C   | F    | SD   | T    | Is  |
|---------------|-----|------|------|------|-----|
|               | 0.5 | 1.75 | 0.93 | 0.98 | 0.8 |



Moderate

**Ductility = Damage**

# Shear failure of column and wall observed in un-retrofitted west building



Short column failed in shear



# Damage classification of “*Post-earthquake Damage Evaluation Standard*” used in Japan



II



III



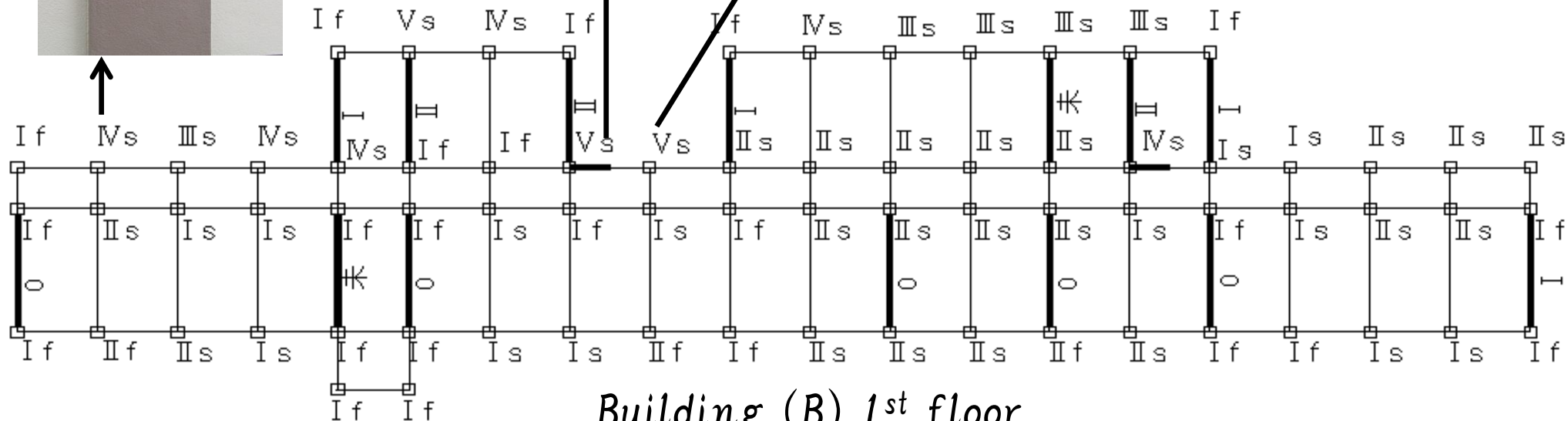
IV



V



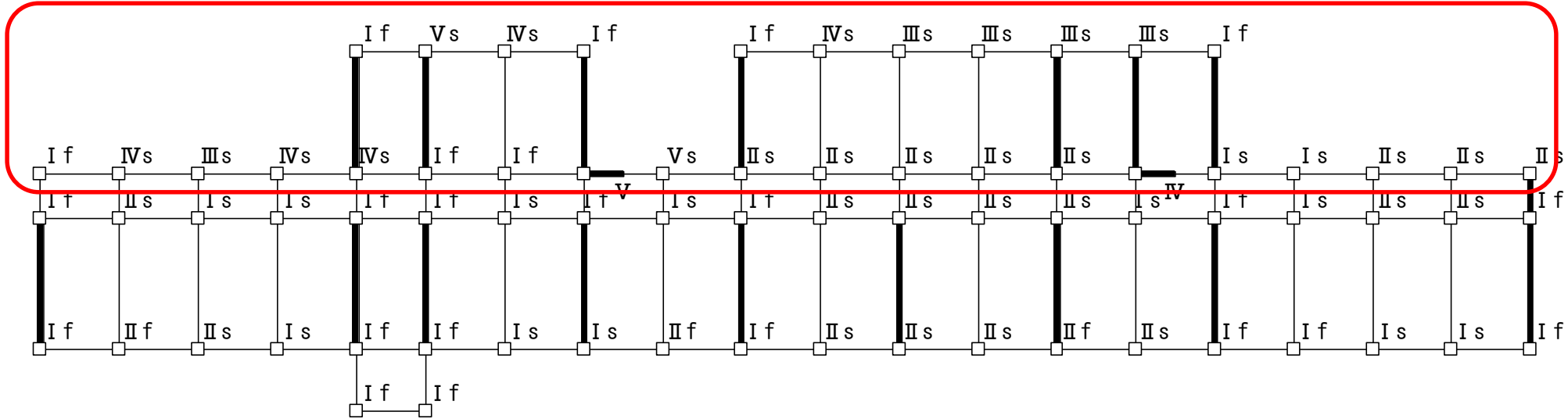
# Damage distribution in west building



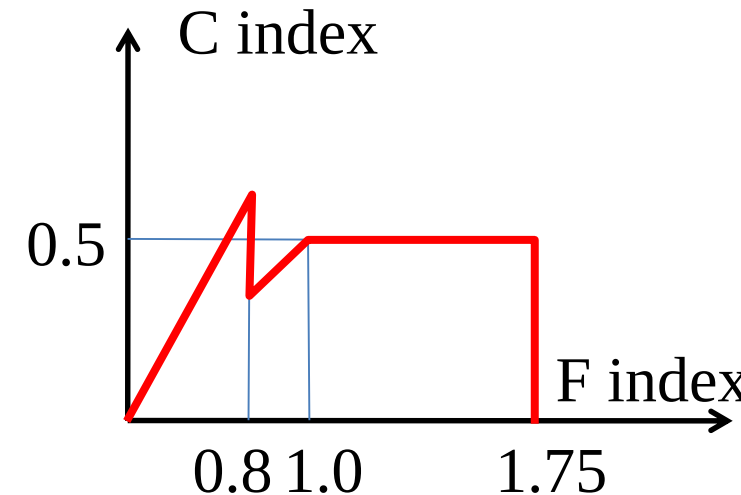
Building (B) 1<sup>st</sup> floor



# Damage distribution



- ◆ Damage was concentrated to short columns in North side corridor.
- ◆  $I_s = \text{strength index} \times \text{ductility} = C \times F = 0.5 \times 1.75 = 0.875$  by ignoring brittle short column.
- ◆ The damage pattern agree with seismic evaluation results.



# Less damage to retrofitted building



Seismically retrofitted by steel bracing

Effect of seismic retrofit was observed



# Damage to non-structural concrete walls in apartment

- ◆ Const. in 1979
- ◆ 11 story, SRC
- ◆ Enough is-index, but...





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# Damage to non-structural elements



RC non-structural walls failed in shear

- ◆ RC moment frame structure escaped from major damage.
- ◆ However,... Demolished.





# Damage to Non structural element

## ◆ Gym

constructed about 10 years ago

- Rehabilitated after Iwate-Miyagi EQ in 2008
- Again damaged by 2011 earthquake



# Non structural element damage (Junior high school gym in Kurihara city)



Large parts of the ceiling fell down  
(3/11, and also in 4/7)



The fixed parts after 2008 EQ  
escaped damage



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# Damage by tsunami

## Onagawa town



## Minami Sanriku town





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# Damage by tsunami



Most of students and teachers were killed by tsunami.



# Damage by tsunami



Passage collapsed due to tsunami.



Bottom of column of passage.

# Damage to buildings due to 2016 Kumamoto Earthquake



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# Affected area & Epicenter





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# Damage in Mashiki town

- ◆ Local destructive damage
- ◆ Some of current code timber houses suffered collapse or sever damage





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# Madage in Mashiki town

## ◆ Damage to steel and RC structures





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# Damage in Minami Aso village

## ◆ Land slides around Aso Mt.





# Results of Quick Inspection in Kumamoto

|               | inspected<br>number | Green  | yellow | Red   |
|---------------|---------------------|--------|--------|-------|
| Kumamoto city | 30,487              | 14,126 | 10,514 | 5,847 |
| Ratio[%]      | 100                 | 46     | 35     | 19    |
| Mashiki town  | 9,769               | 3,006  | 2,957  | 3,806 |
| Ratio[%]      | 100                 | 31     | 30     | 39    |
| Uto city      | 1,265               | 506    | 531    | 228   |
| Ratio[%]      | 100                 | 40     | 42     | 18    |

# Damage to RC buildings

- ◆ Un-retrofitted buildings designed by old seismic code.
  - ◆ Soft first story collapse.
  - ◆ Non-structural elements (nonstructural wall, ceiling etc.).
  - ➔ Most of the damage was similar to those observed in the past earthquakes
- Important point is to speed up retrofit schemes to existing vulnerable buildings



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# Building designed by old seismic code without retrofitting

## ◆ Buildings of high school C

4-story RC building constructed in 1960  
Shear failure of columns



4-story RC building  
constructed in 1964  
Shear failure of wall

# Building designed by old seismic code without retrofitting

## ◆ City hall (5-story, RC)

Story collapse occurred at the 4<sup>th</sup> story  
There was future plans to rebuild because of its  
low seismic capacity index,  $I_s$ .



# Building with soft first story

## ◆ Apartment K

- 7-story, RC, designed by old seismic code, without retrofitting
- L shape plan, core wall for EV and staircase located on one side.





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# Collapse in soft first story



E & 2NCEE



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# Damage to non-structural concrete walls

## ◆ Apartment G

- Damage to structure elements is slight, nonstructural wall failed in shear.



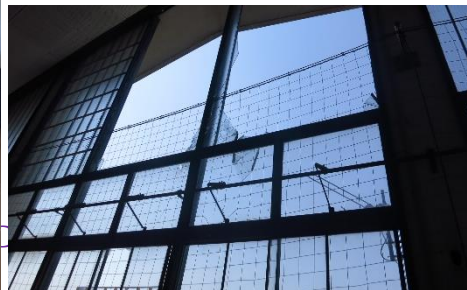


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# Damage to non-structural elements

## ◆ School gym

- Falling of ceiling, exterior wall (window sash and glass)
- Damage of the steel frame-RC column joint



# Damage Statistics of Public School Buildings in Kumamoto City

◆ Public school buildings for damage assessment  
RC buildings + corridors connecting buildings  
(121 in total) were assessed.

Note 1: Total number of buildings and passages is 911.

Note 2: Ratio of buildings satisfying the current design code is 100%.



# Concluding remarks

## - preparedness for coming earthquakes -

### ◆ Seismic upgrading

- Progressive for Public buildings. Almost completed for school bldgs. Private buildings are the problem.
- Damage to disaster management facilities may be a big obstacle to recovery of damaged community.
- Soft first story collapse was repeated.
- Collapse of old timber housed induced casualty.

### ◆ Non-structural elements

- Non-structural damage does not affect safety but function.
- Fall of ceiling boards may cause casualty.
- Structural engineer should be responsible seismic design of whole building structure including non-structural elements.